

*São Paulo School of Advanced Science on Atmospheric Aerosols:  
properties, measurements, modeling, and effects on climate and health  
São Paulo, 26 July 2019*



# The Close Links Between the Biological Functioning of Amazonia and Climate

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An aerial photograph of a wide, meandering river flowing through a dense, lush green forest. The river's path is highly irregular, forming several large, interconnected loops and curves. The water in the river is a muddy, brownish-green color. The surrounding forest is thick and vibrant green, with some areas appearing slightly darker, possibly due to shadows or different tree species. The overall scene is a vast, natural landscape.

**Amazonia: a unique region, with global impacts on the hydrological cycle, carbon balance and socioeconomical issues**

**Amazonia is a key component of the Earth System**



# AMAZON ECOSYSTEMS AT A GLANCE

A satellite view of Earth from space, showing the Amazon basin in South America. The Amazon river system is clearly visible, flowing through the dense green forest. The surrounding landmasses and the blue oceans are also visible.

## Maintenance of global carbon cycle

- 15% of global NPP and a key carbon sink for anthropogenic CO<sub>2</sub>
- Stores between 100 to 120 billion ton of carbon in the biomass

## Climate stabilization

- Key heat source for the atmosphere
- Annual rainfall = 2400 mm

## Powerful hydrology

- 18% of fresh water flow into the global oceans
- Amazon river discharge of 220,000 m<sup>3</sup>/s

## Helps to maintain cultural and ethnic diversity

- Over 300 indigenous populations, language diversity

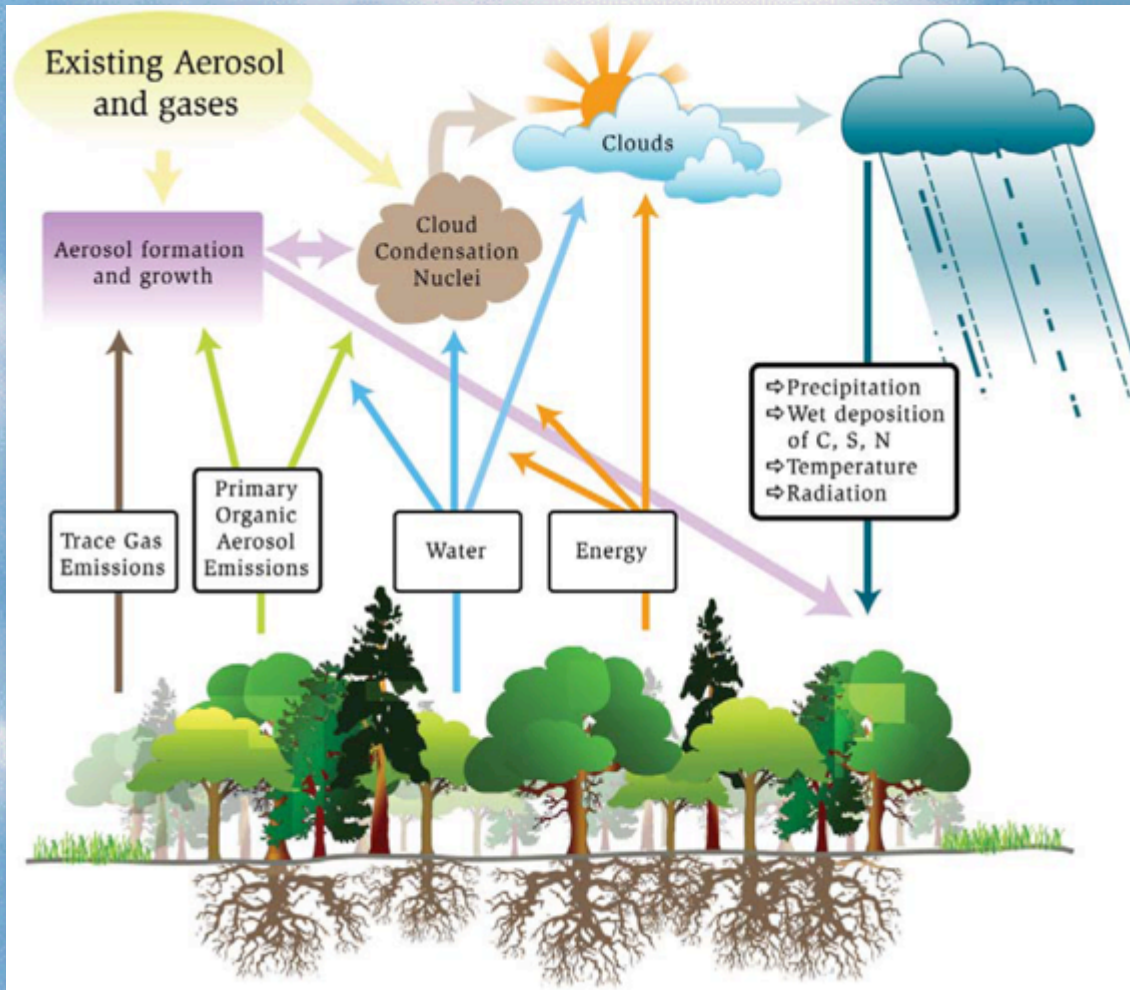
## Biodiversity richness

- > 10% of species

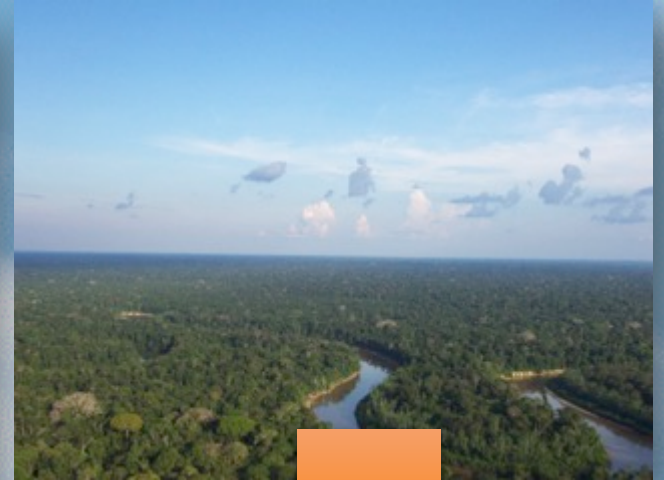


# There are strong and complex links between the forest biology, and the physics and chemistry of the atmosphere

## Natural System



## The Transition





# Amazonia as a Complex Nonlinear Interactive System

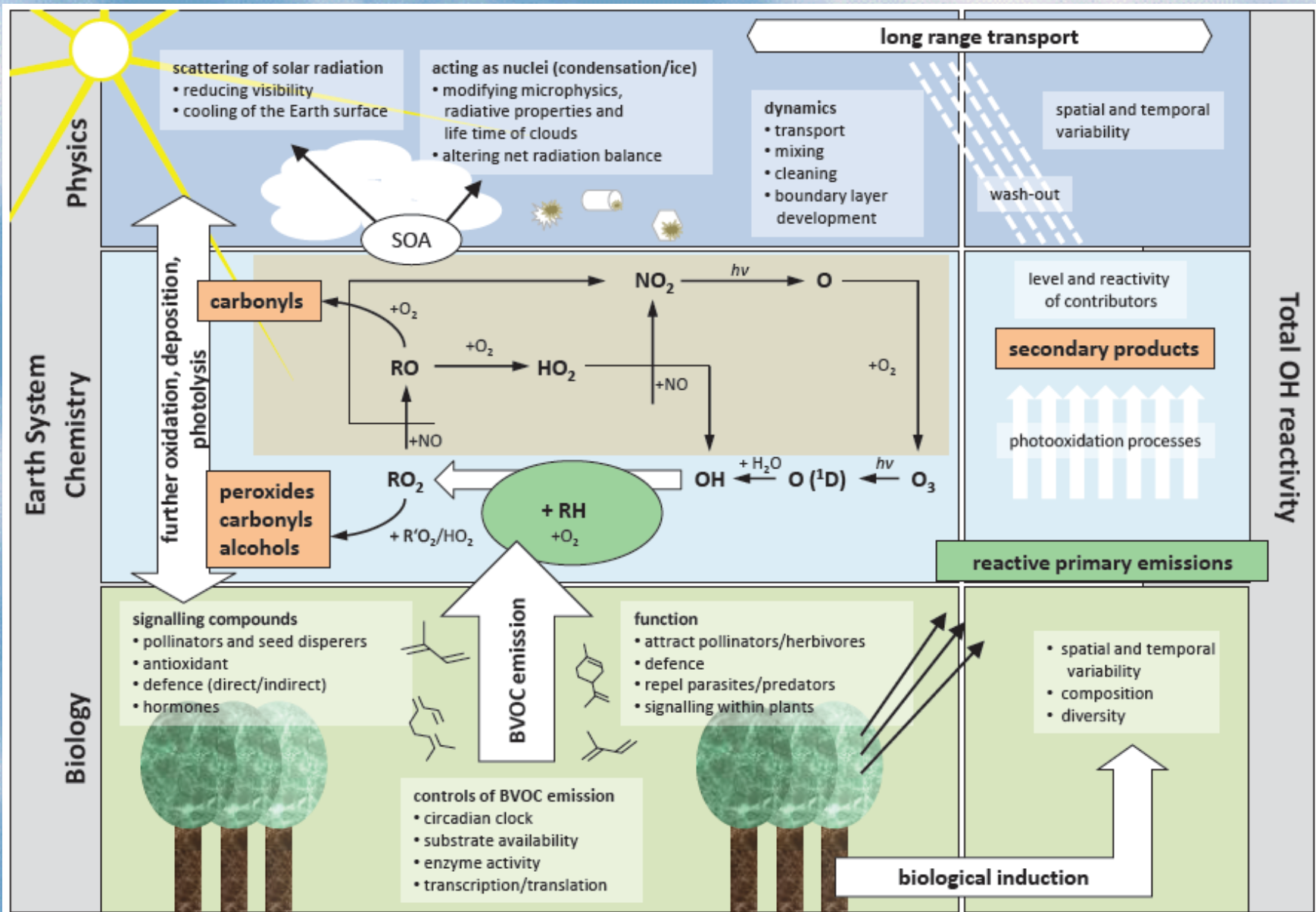


Illustration from Anke Nölscher

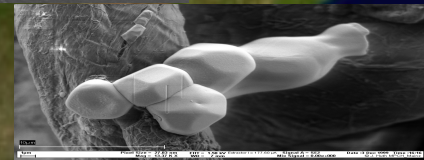
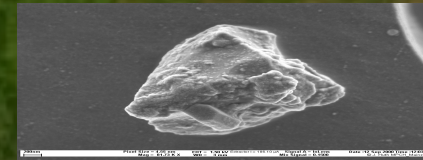
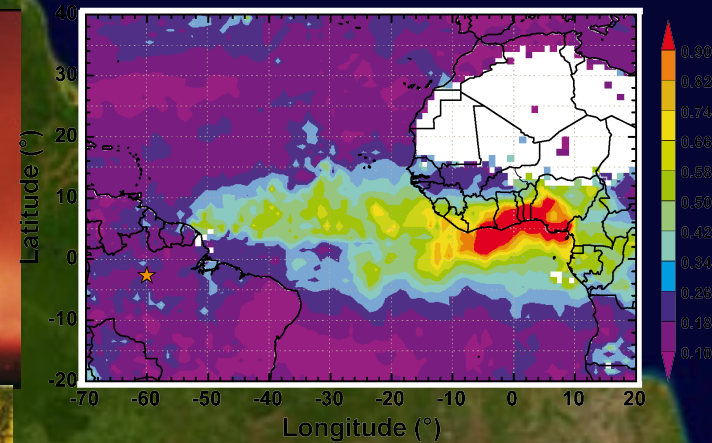
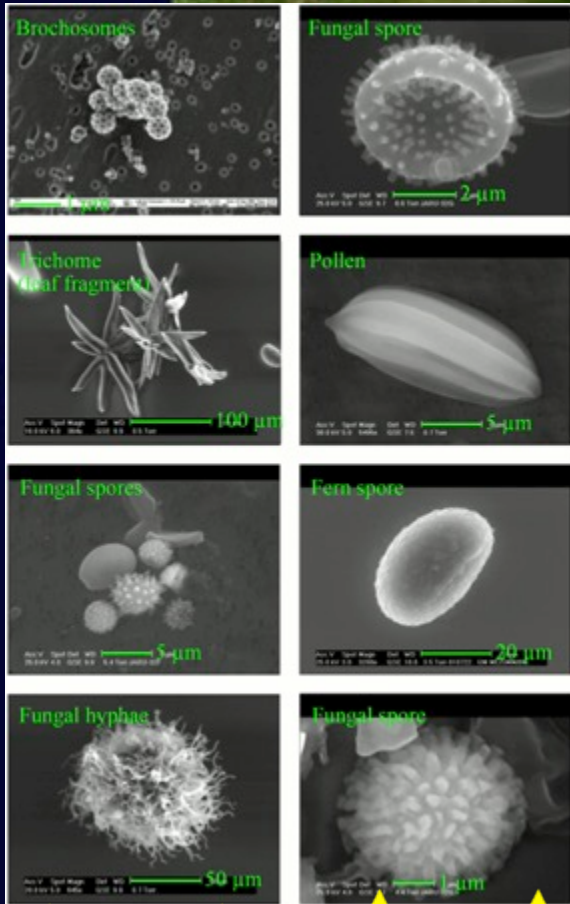


# Amazonia: 3 different types of aerosols

Biogenic (primary and SOA)

Biomass Burning

Dust from Sahara



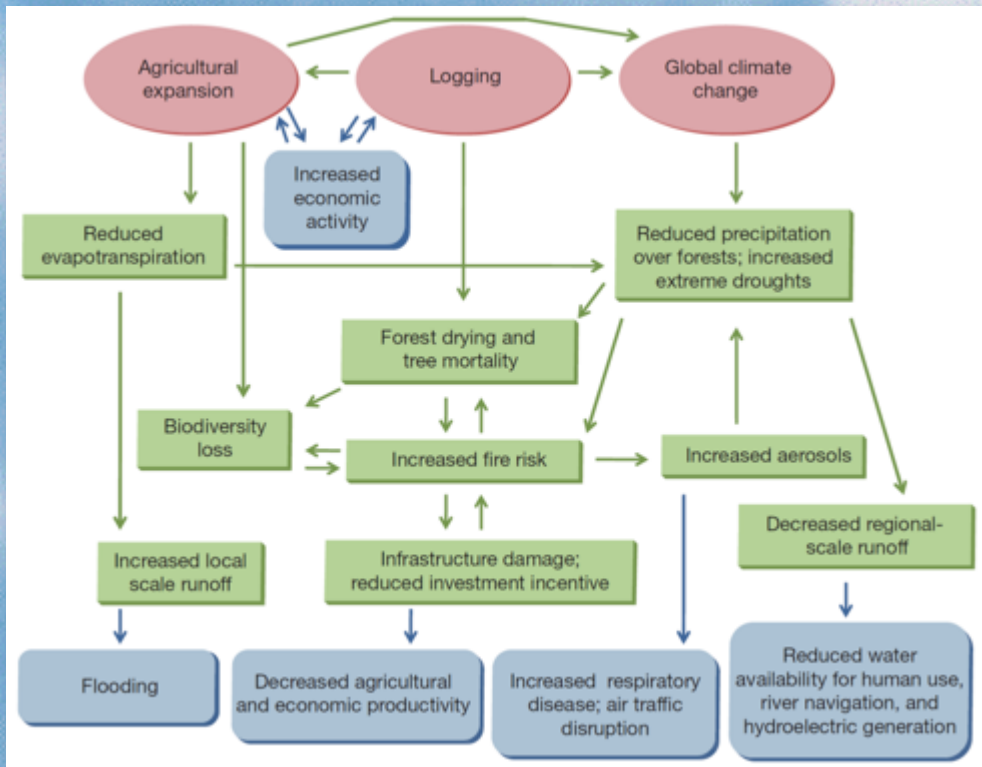
Each with VERY different properties and impacts



## The Amazon basin in transition


Eric A. Davidson<sup>1</sup>, Alessandro C. de Araújo<sup>2,3</sup>, Paulo Artaxo<sup>4</sup>, Jennifer K. Balch<sup>1,5</sup>, I. Foster Brown<sup>1,6</sup>, Mercedes M. C. Bustamante<sup>7</sup>, Michael T. Coe<sup>1</sup>, Ruth S. DeFries<sup>8</sup>, Michael Keller<sup>9,10</sup>, Marcos Longo<sup>11</sup>, J. William Munger<sup>11</sup>, Wilfrid Schroeder<sup>12</sup>, Britaldo S. Soares-Filho<sup>13</sup>, Carlos M. Souza Jr<sup>14</sup> & Steven C. Wofsy<sup>11</sup>

**Agriculture expansion and climate variability are critical ingredients on Amazonian transition. Energy balance and hydrological cycles changes are already observed in Amazonia.**



**Interactions between land use change and climate change are major drivers for changes in Amazonia.**



An aerial photograph showing a large-scale fire in a forested area. A thick, billowing plume of white and orange smoke rises from a cleared section of the forest, contrasting sharply with the dense green canopy of the surrounding forest. The fire appears to be spreading along the edge of the cleared area.

**But, the reality of agricultural expansion  
in the Amazon is one of fire and forest  
destruction**





**Deforestation**





Fire...



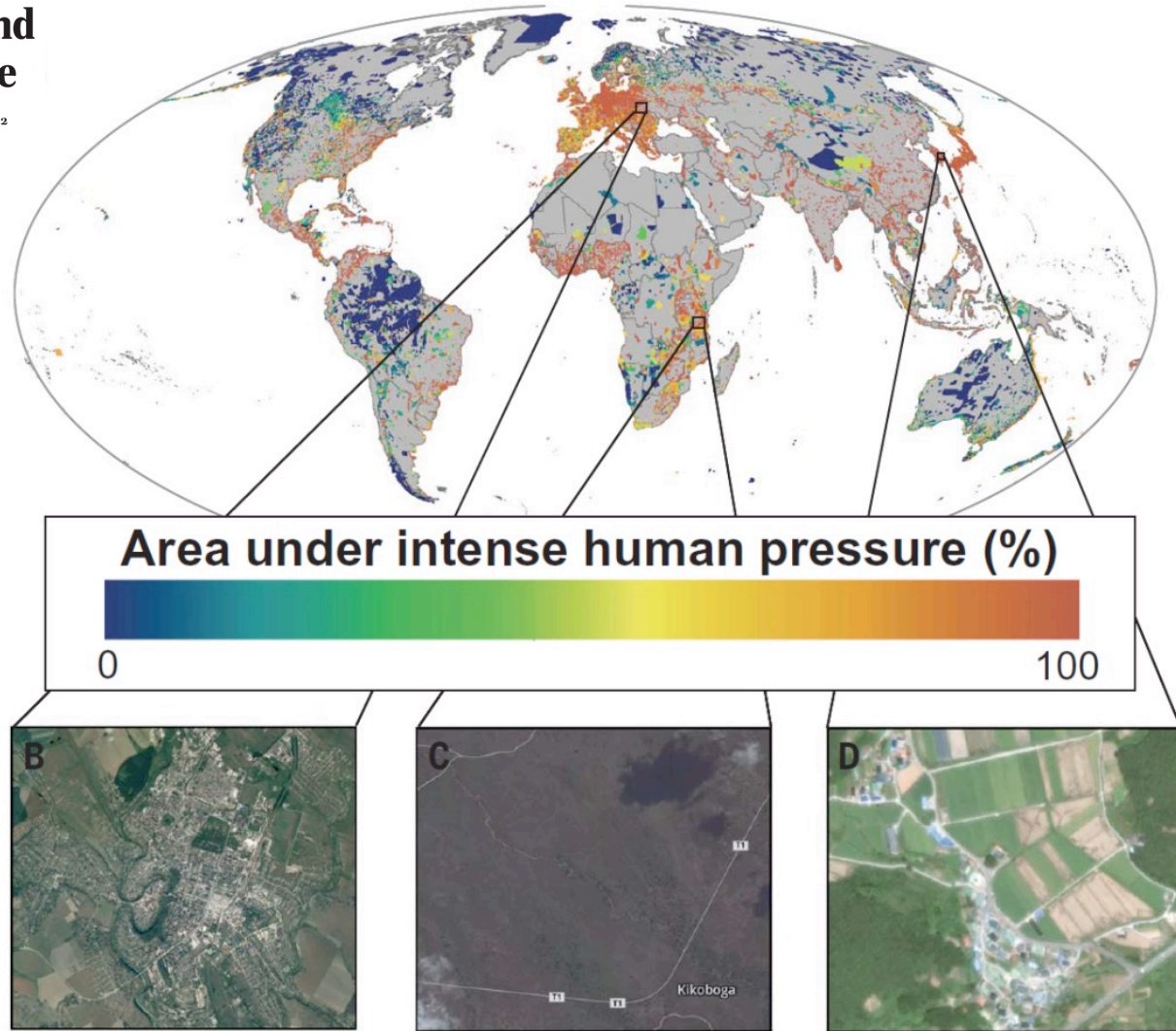


Selective logging...



# One-third of global protected land is under intense human pressure

Kendall R. Jones,<sup>1,2\*</sup> Oscar Venter,<sup>3</sup> Richard A. Fuller,<sup>2,4</sup> James R. Allan,<sup>1,2</sup> Sean L. Maxwell,<sup>1,2</sup> Pablo Jose Negret,<sup>1,2</sup> James E. M. Watson<sup>1,2,5</sup>



**Fig. 1. Human pressure within protected areas.** (A) Proportion of each protected area that is subject to intense human pressure, spanning from low (blue) to high (orange) levels. (B) Kamianets-Podilskiy, a city within Podolskie Tovtry National Park, Ukraine. (C) Major roads fragment habitat within Mikumi National Park, Tanzania. (D) Agriculture and buildings within Dadohaehaesang National Park, South Korea. [Photo credits: Google Earth]

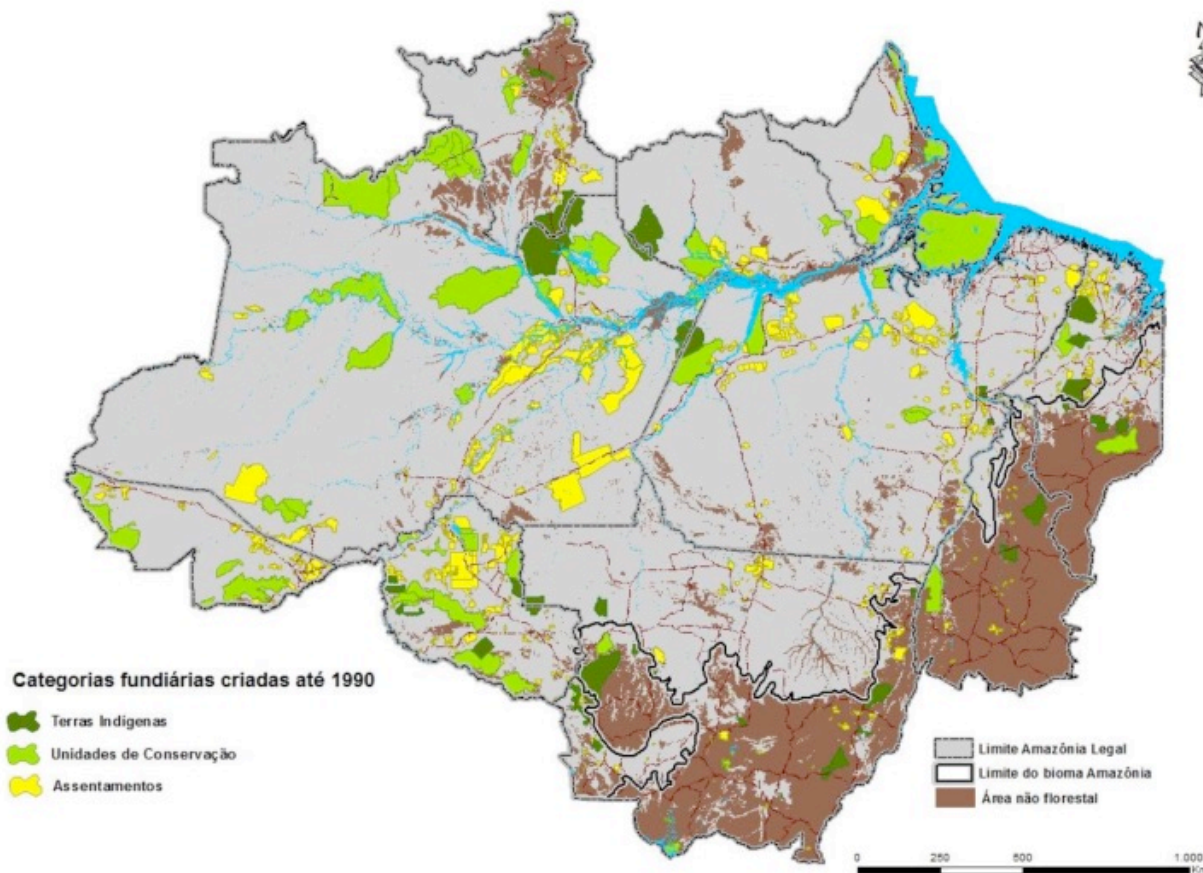


# Protected Areas – Brazilian Amazon

## 1990

# Indigenous Lands:  
54  
Area: 11 million ha

# Protected Areas: 65  
Area: 33 million ha





# Protected Areas – Brazilian Amazon

Contribuições do INCT-MC



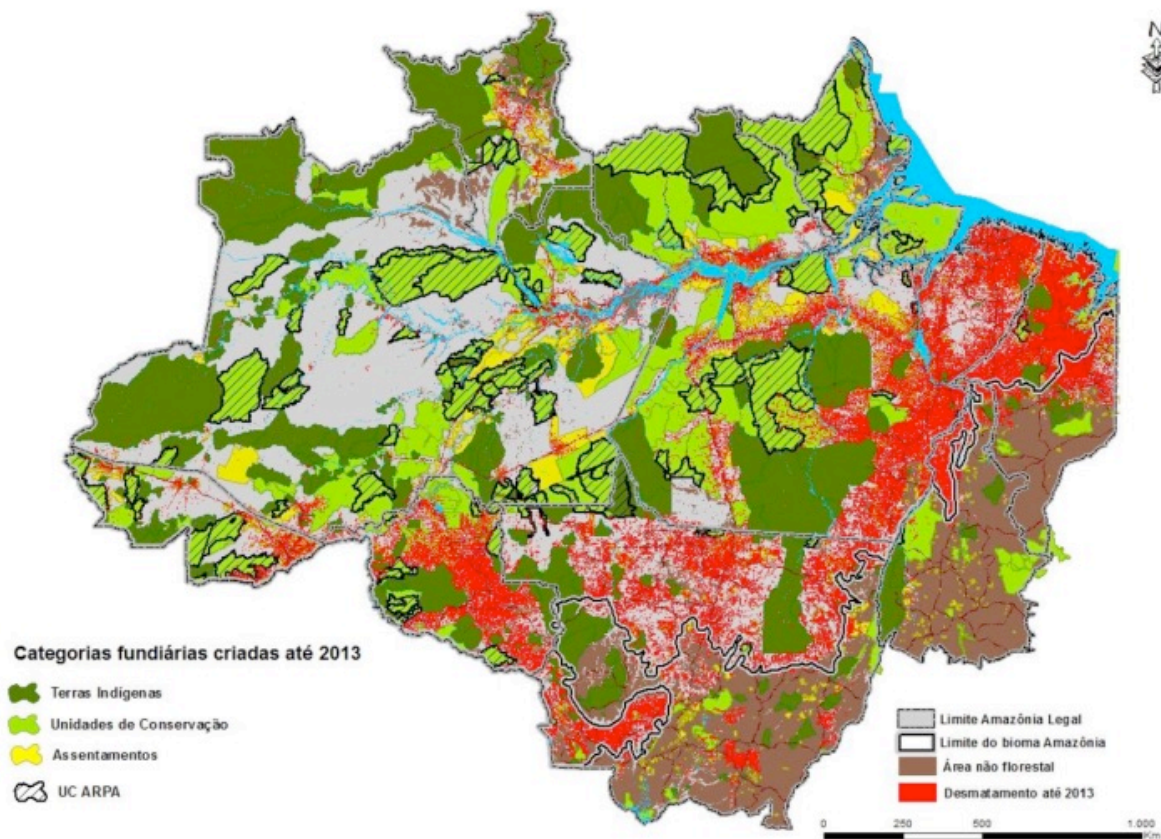
## 2013

# Indigenous Lands:  
381

Area: 112 million ha

# Protected Areas: 311

Area: 125 million ha





# Amazonia as a Complex Nonlinear Interactive System

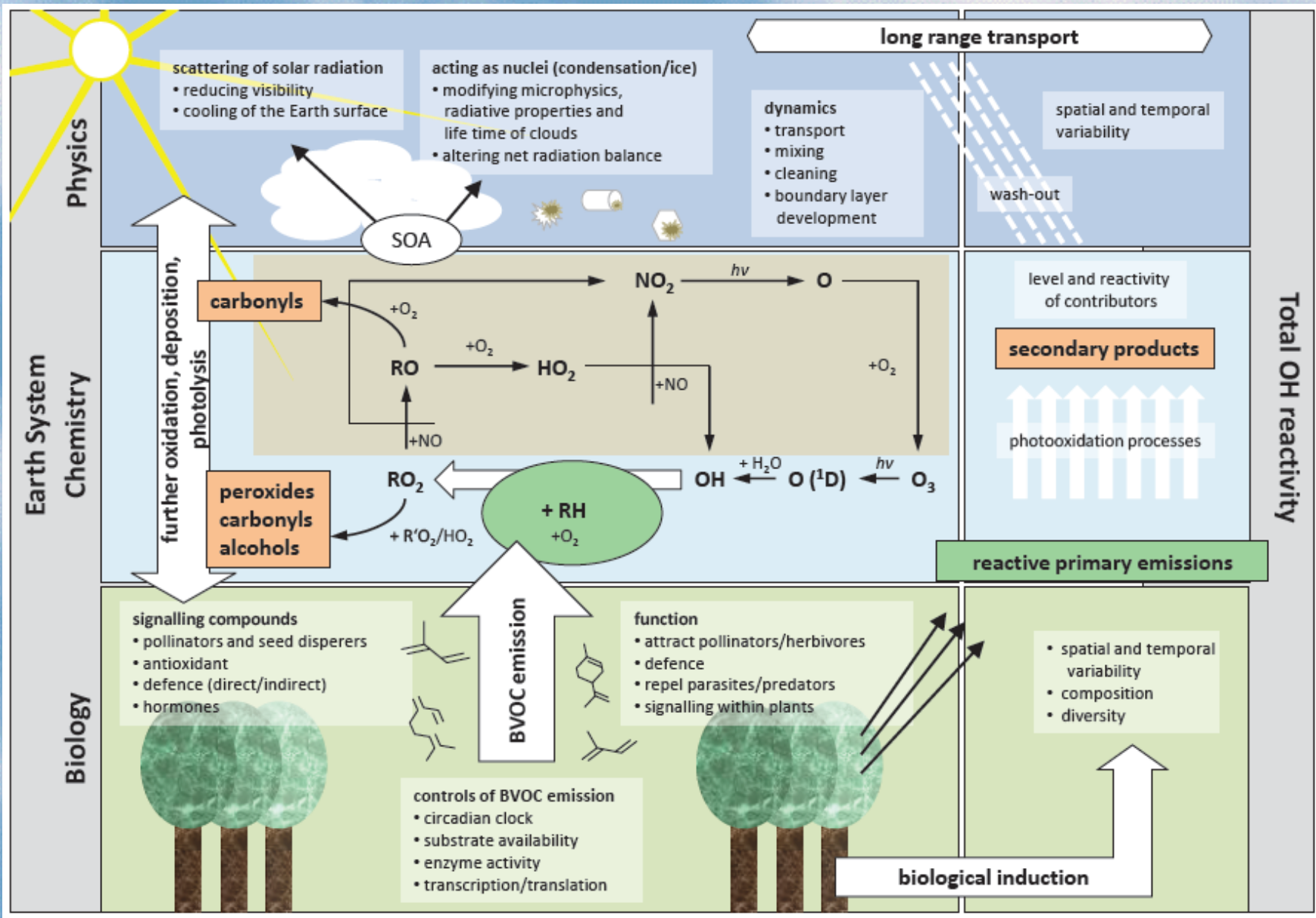
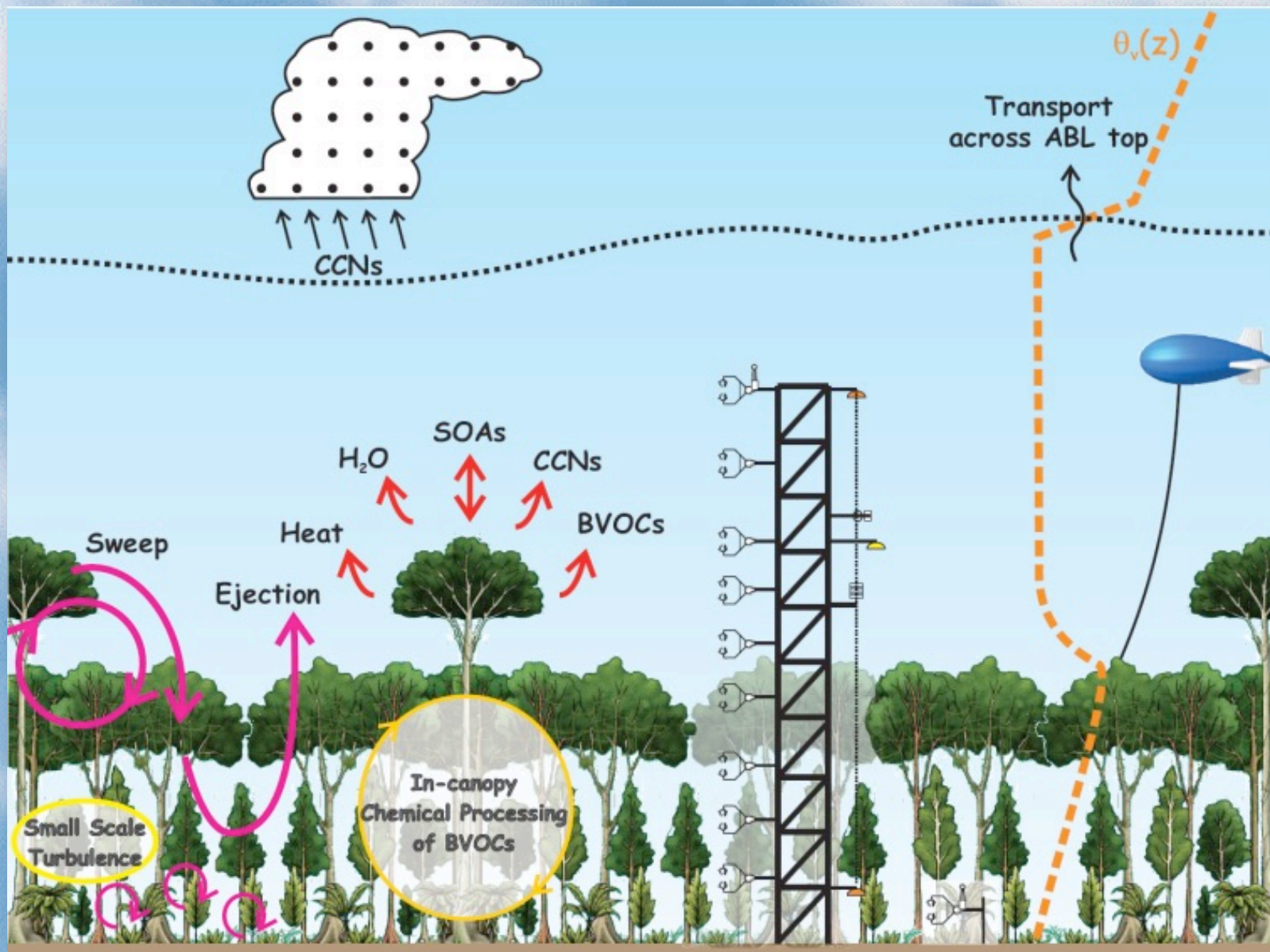


Illustration from Anke Nölscher



# From VOCs, SOA, CCN to the canopy processes in Amazonia

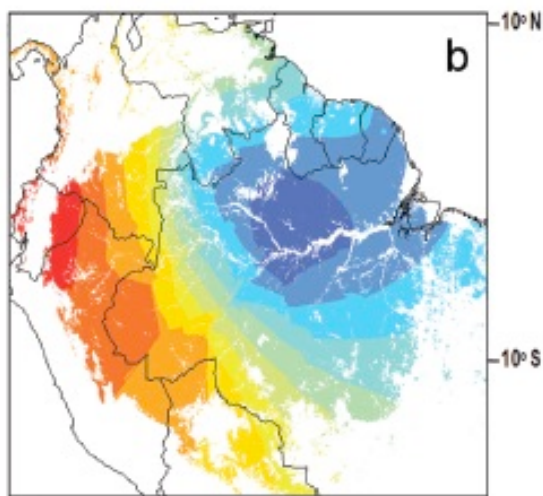
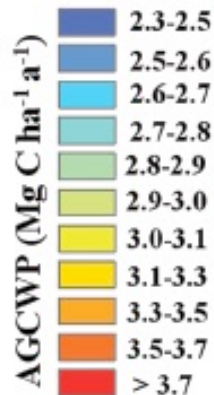




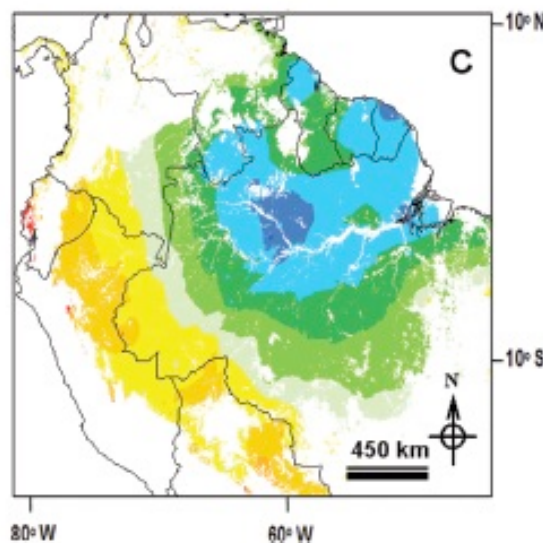
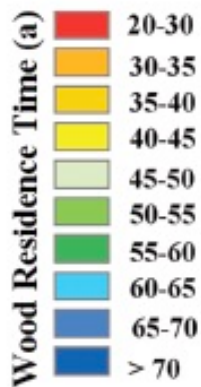
# High spatial variability in productivity, residence time, and biomass in Amazonia

## Productivity and Mortality

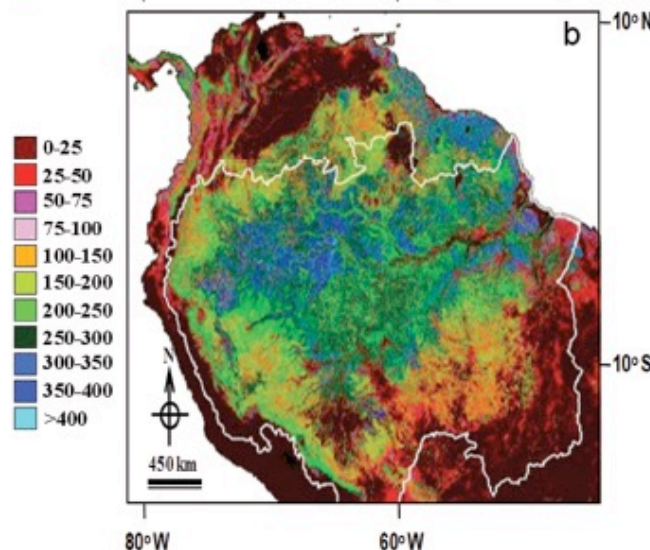
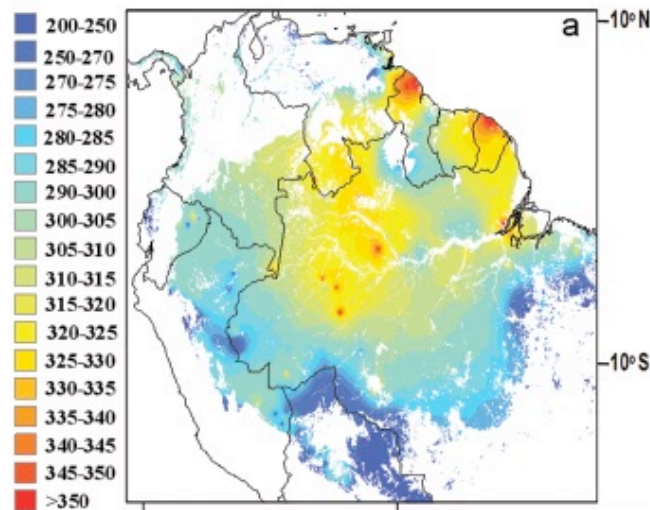
### Productivity



### Mortality

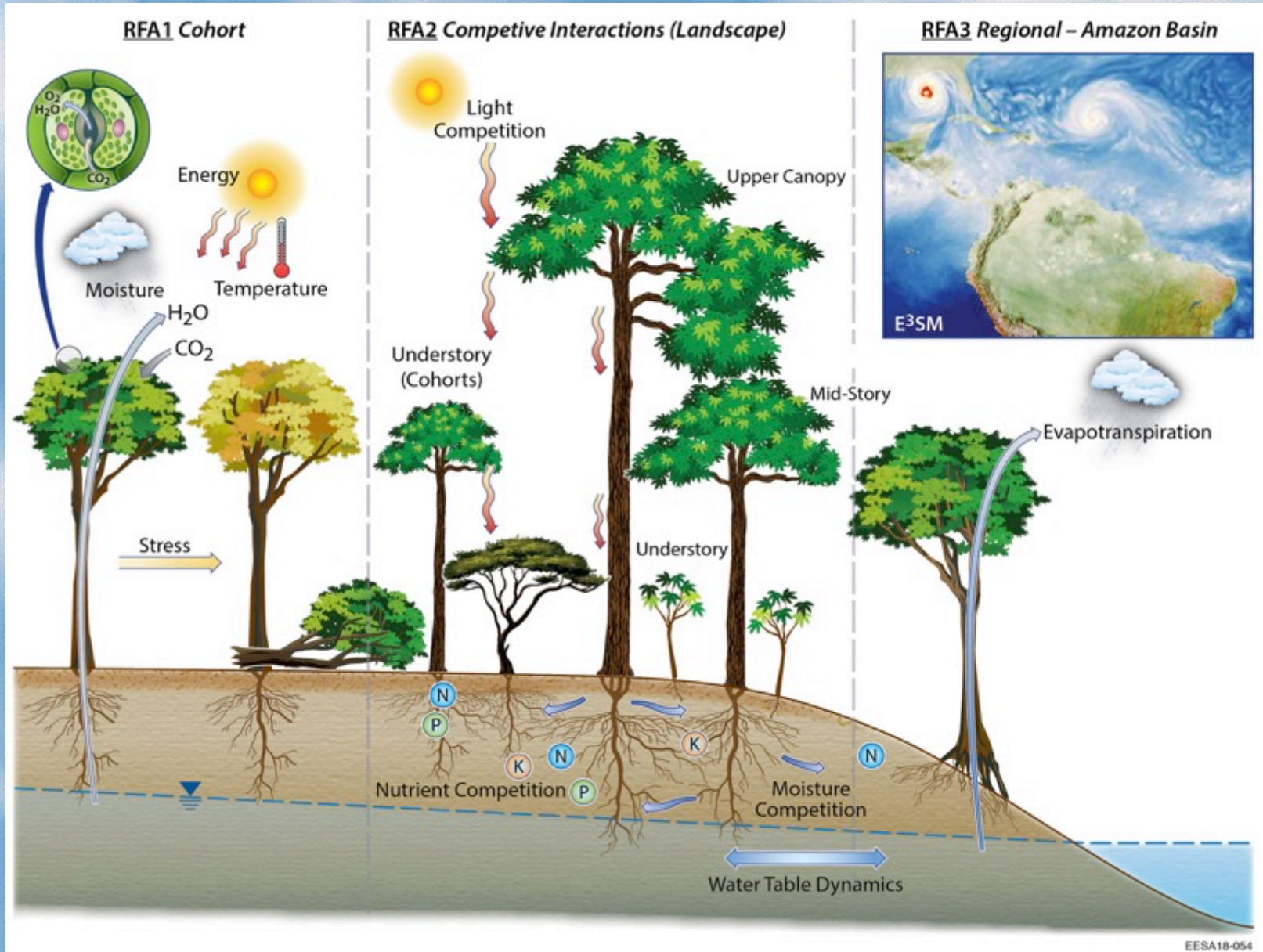


Above Ground Biomass - Units:  $\text{Mg dry weight ha}^{-1}$  (Malhi, 2006, Saatchi, 2007)





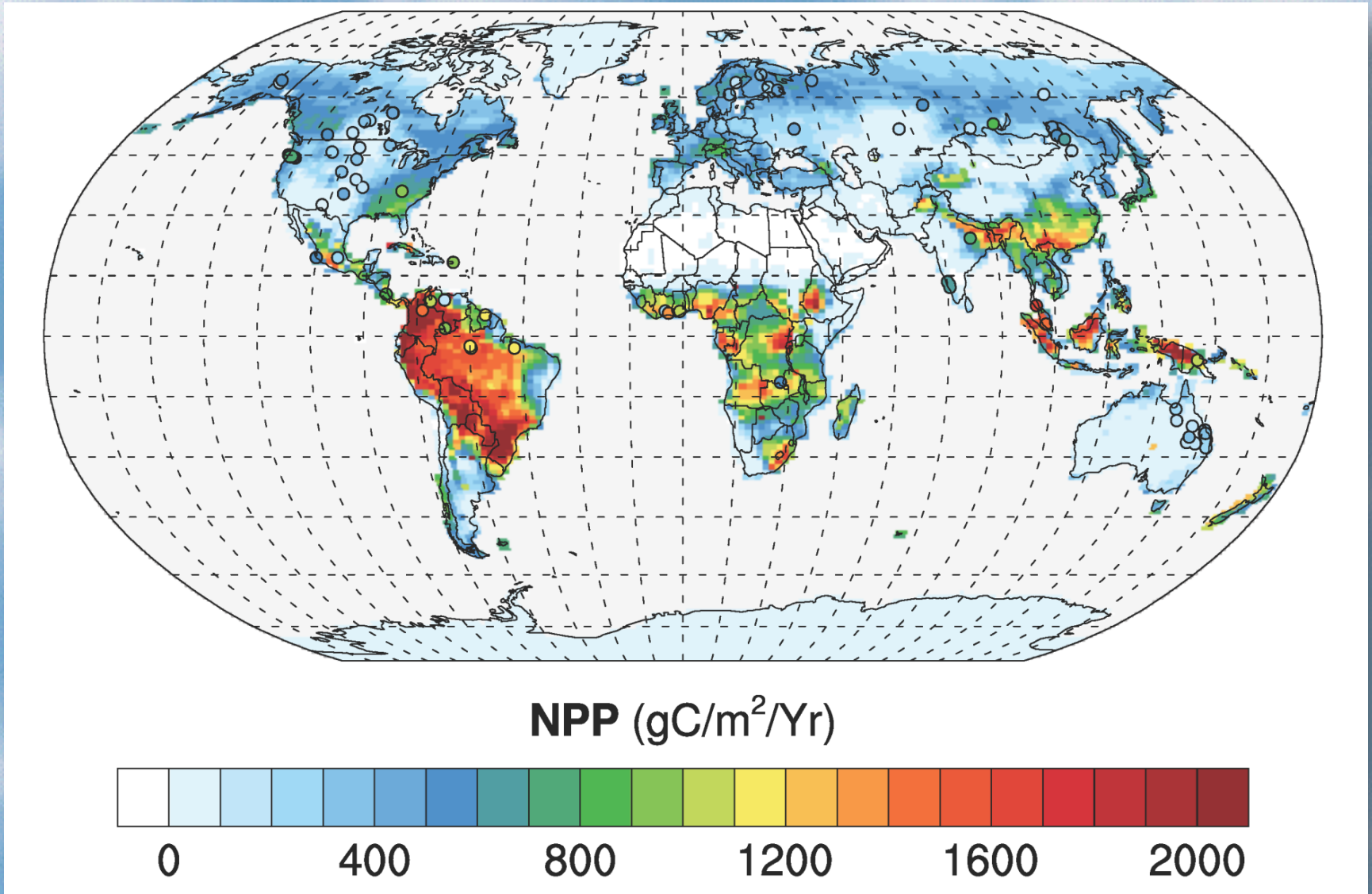
# Close links between carbon balance and hydrological cycle



EESA18-054



# Global Net Primary Productivity NPP: South America is key...





# Satélites monitorando ciclo do carbono e variáveis acessórias

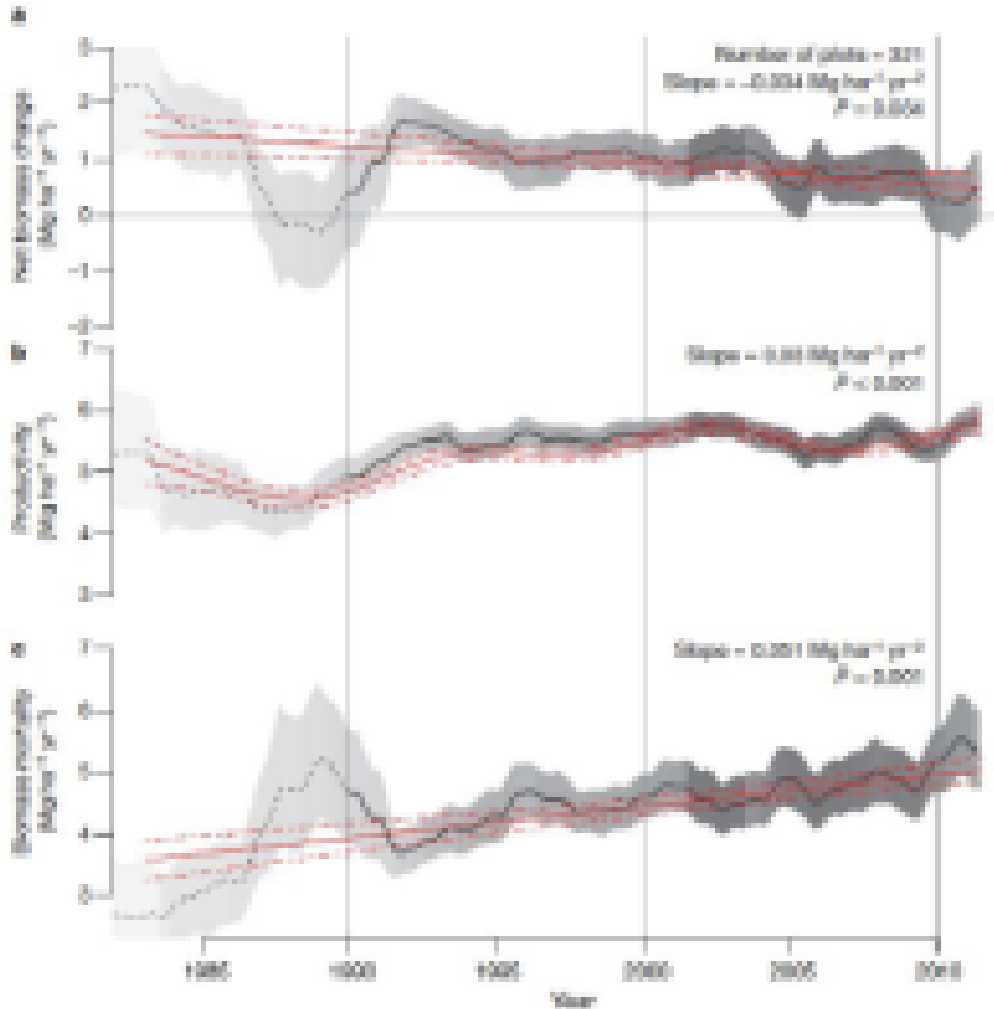








**Carbon cycling: Amazonia stores about 120 Tg C. If only a small fraction goes to or from the atmosphere, large changes in atmospheric CO<sub>2</sub> will occur.  
How tropical forests processes affects carbon, water and energy fluxes?**



(Brienen et al., 2015)

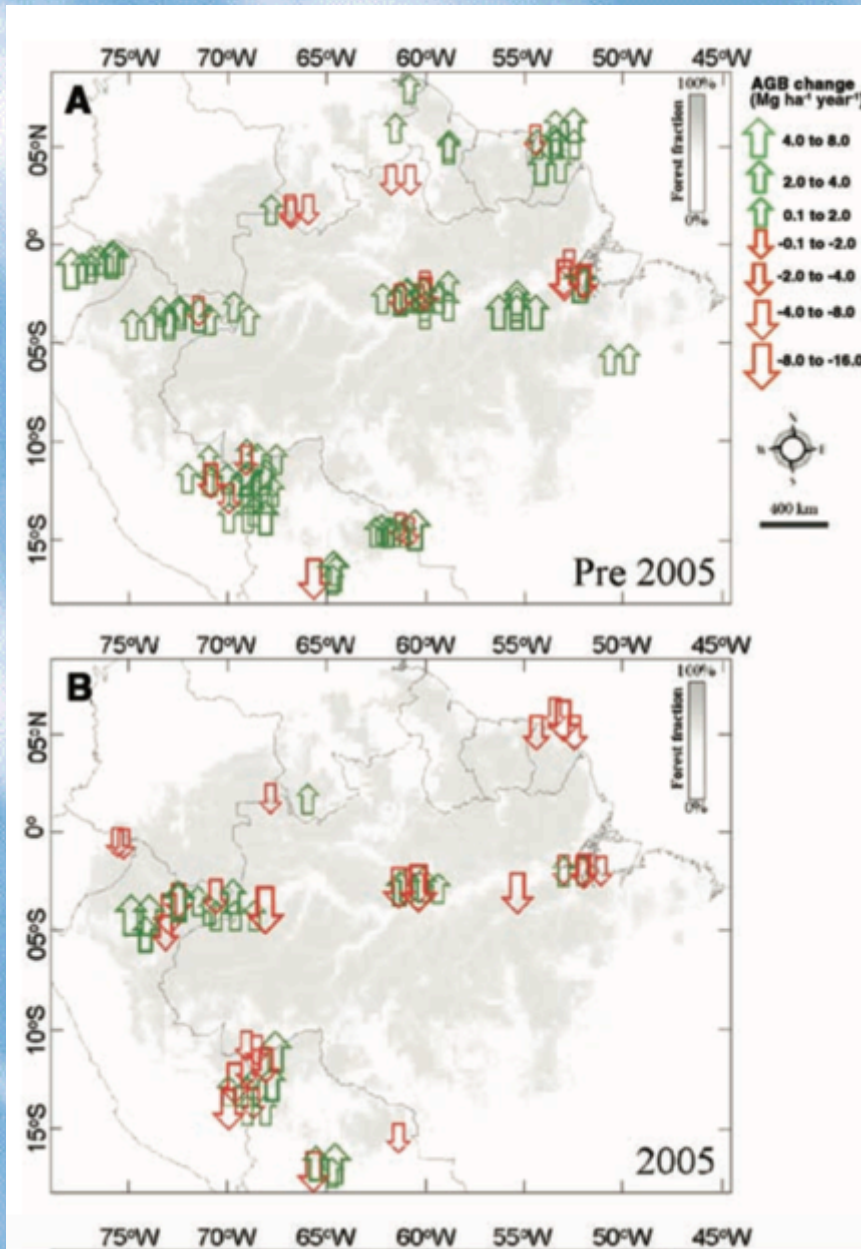
**Net carbon flux:  
Today: ZERO**

**Tree mortality:  
significant INCREASE**

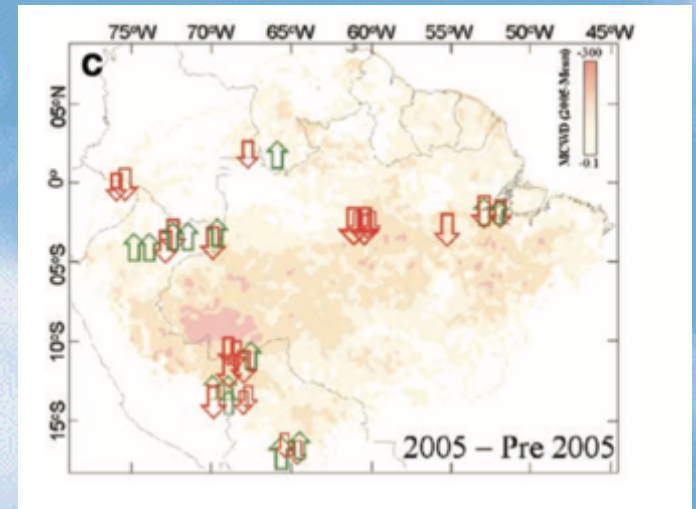


# Above ground biomass and drought sensitivity (2005)

ABG Change  
Pre 2005



ABG Change  
in 2005

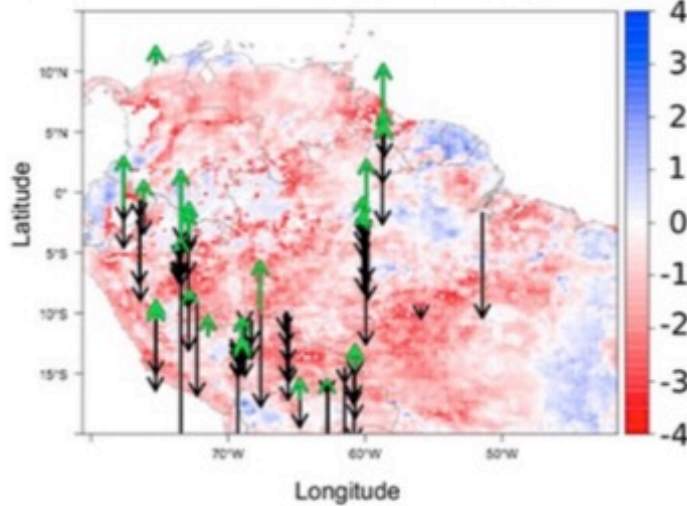


2005 - Pre 2005

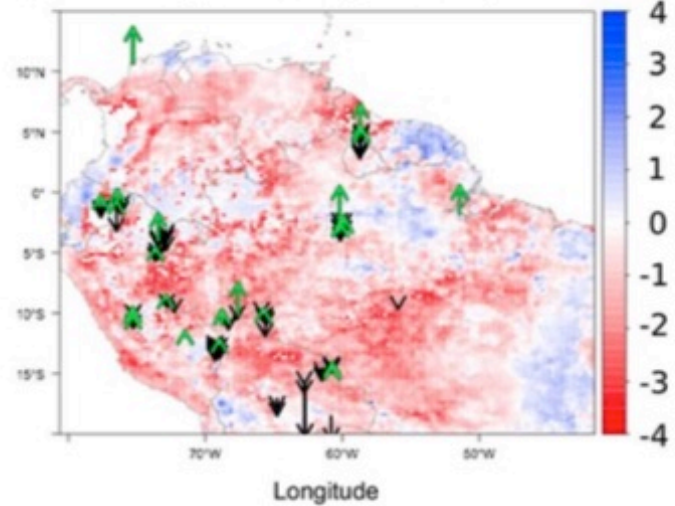


# Above ground biomass and drought sensitivity (2010)

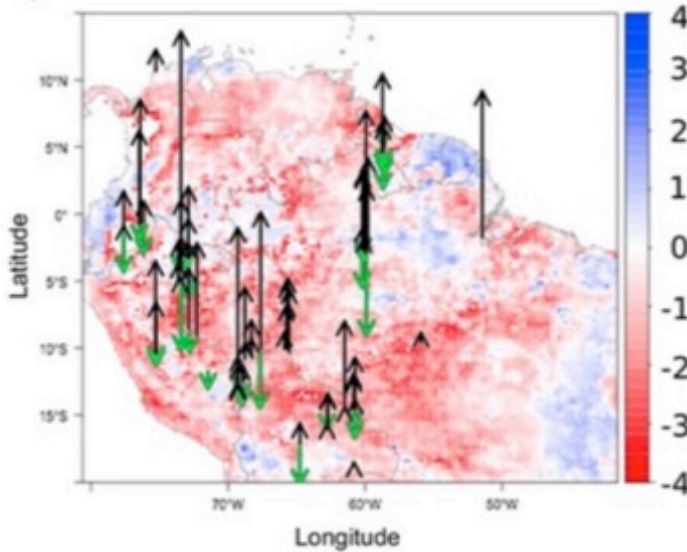
a) *B* net change anomaly



b) *B* productivity anomaly



c) *B* mortality anomaly

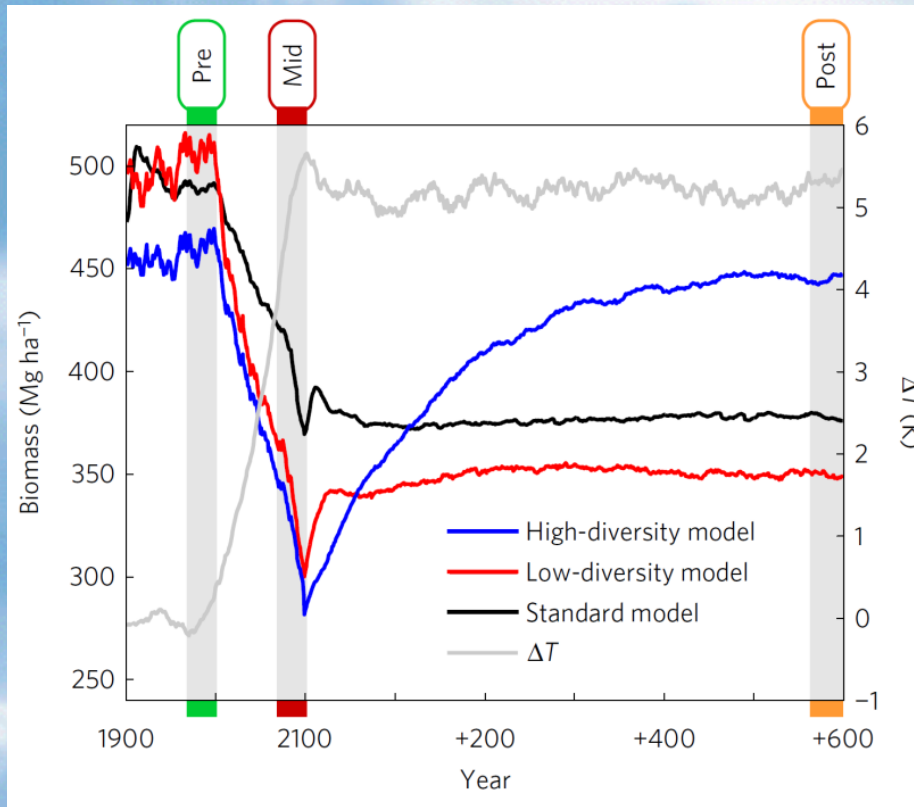


**2010**

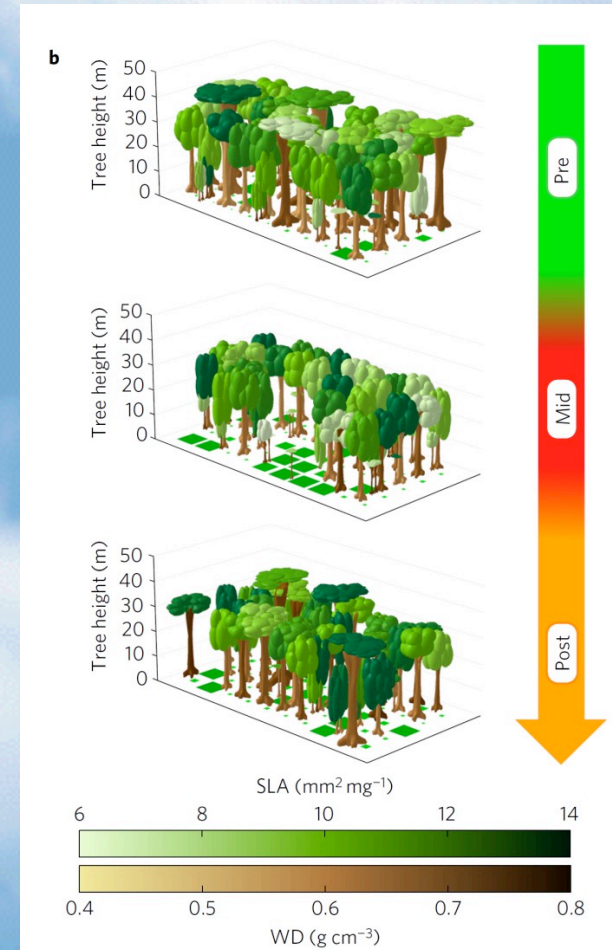
Feldpausch et al. 2016, *Global Biogeochemical Cycles*



# Simulated rainforest biomass under climate change and different plant trait diversity

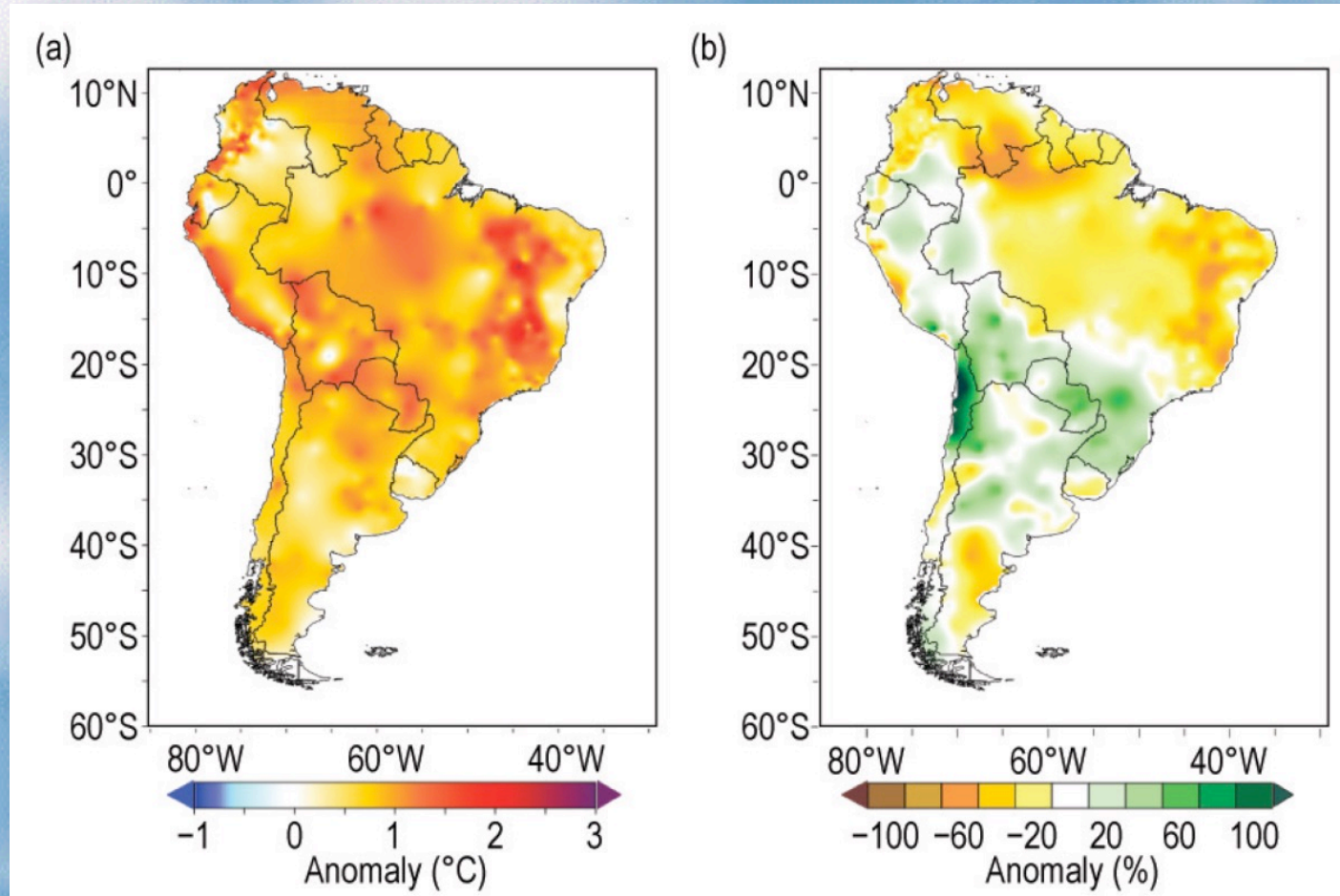


Annual biomass over 800 simulation years for 400 ha of Ecuadorian rainforest from three different versions of the vegetation model LPJmL under a severe climate change scenario (RCP 8.5 HadGEM2). 17: annual temperature difference to the mean temperature of pre-impact time (1971–2000) in K.



Forest height structure recovers with biomass. Visualization of model output showing 0.5 ha of the 400 ha of Ecuadorian rainforest in a selected year during pre-, mid-, and post-impact time, respectively (top to bottom). Different crown (stem) colors denote different SLA (WD) values of individual trees.

# South American (a) temperature anomalies (°C) and (b) precipitation anomalies

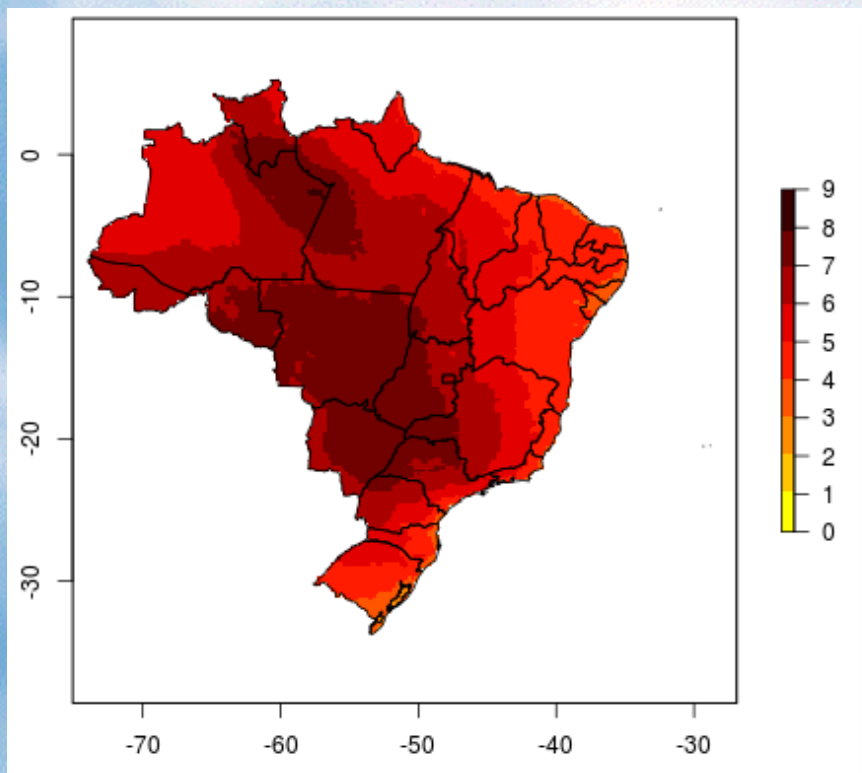


*base period: 1981–2010.*

*Source 2016: State of the Climate in 2015, Bull. Amer. Meteor. Soc., 97 (8), 2016.*

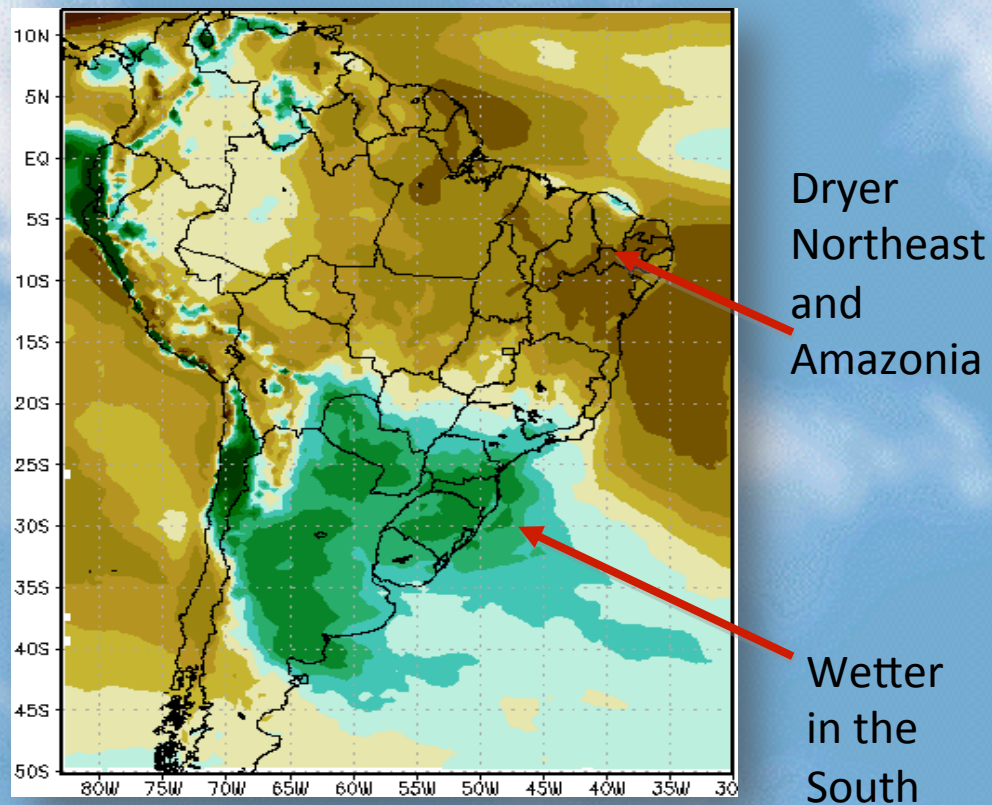


# Average increase in temperature expected for Brazil under RCP8.5



**2071-2100**

# Changes in precipitation expected for 2071-2100





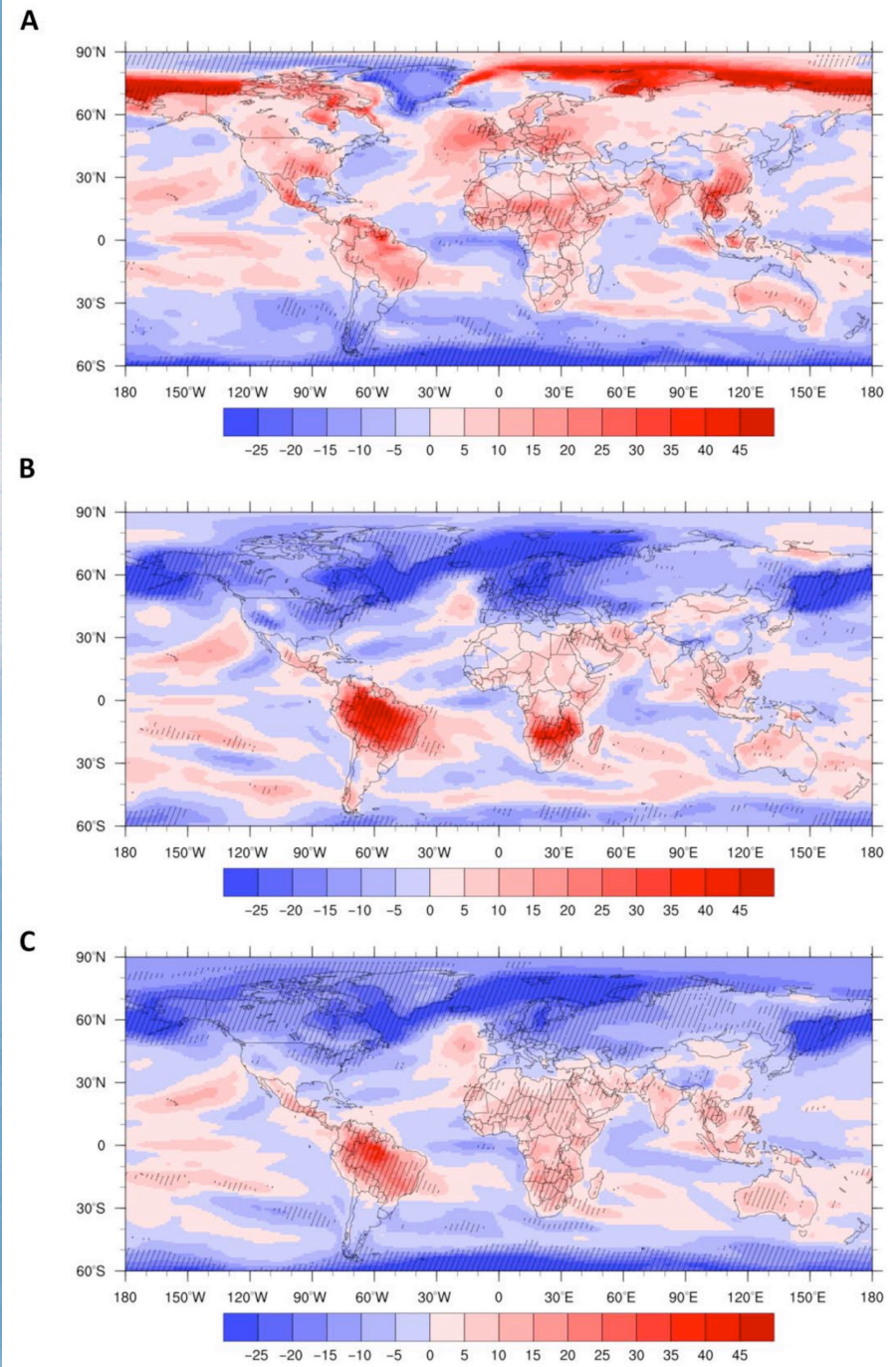
# Climate models predict increasing temperature variability in poor countries

Relative changes of Standard Deviation of monthly temperature anomalies until the end of the 21st century. Averaged over 37 climate models

A) Boreal summer [JJA]

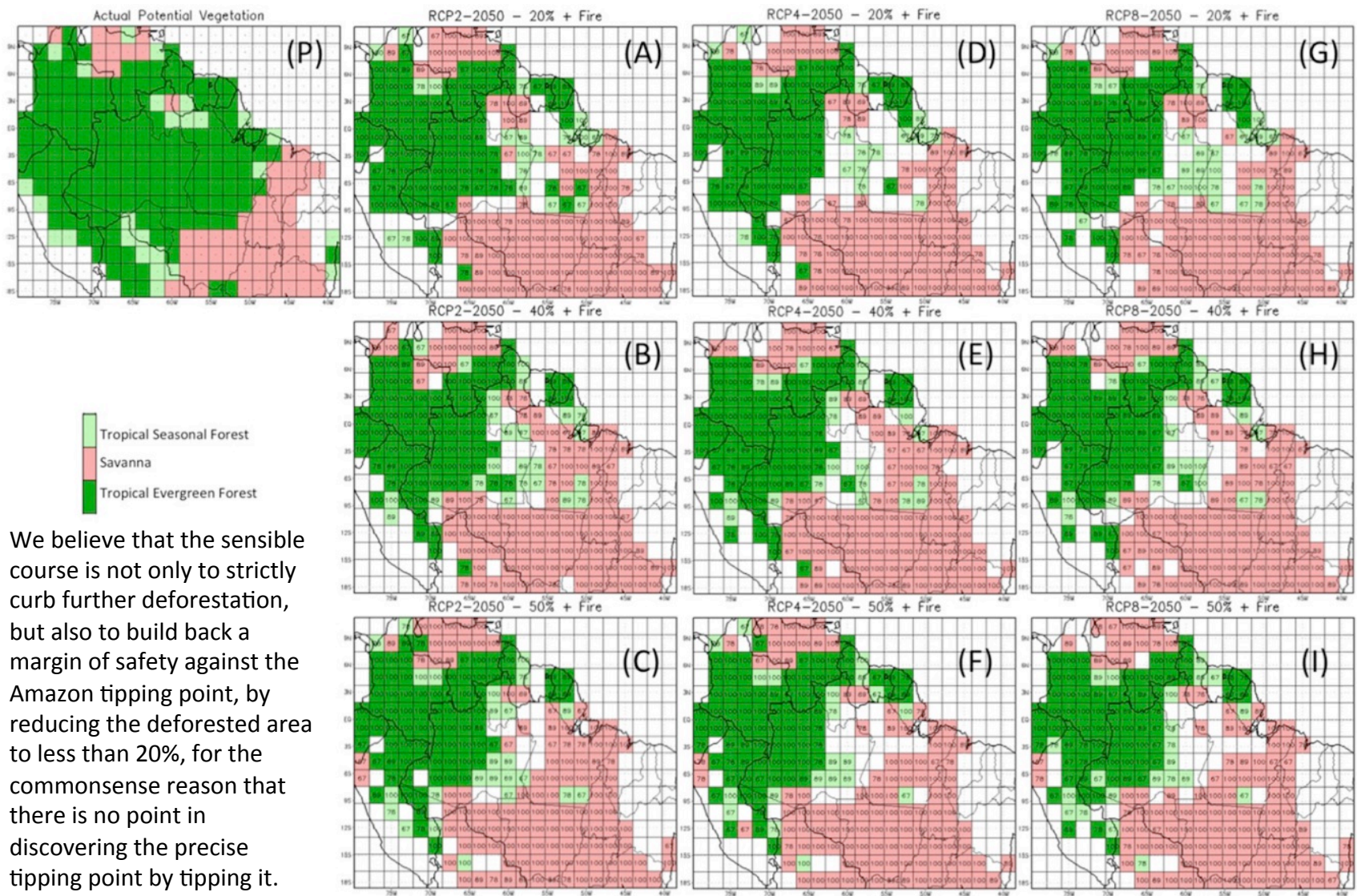
B) austral summer [DJF]

(C) the whole year





# Projected distribution of natural biomes for RCP 2.4, 4.5 and 8.5. Deforestation scenarios for 20%, 40% and 50% + Fire effect



We believe that the sensible course is not only to strictly curb further deforestation, but also to build back a margin of safety against the Amazon tipping point, by reducing the deforested area to less than 20%, for the commonsense reason that there is no point in discovering the precise tipping point by tipping it.







# 'TIPPING POINTS' OF FOREST-CLIMATE EQUILIBRIUM IN THE AMAZON

A) Tropical forest in equilibrium with current climate

One stable equilibrium state

Amazon covered mostly by forests

B) Savanna state triggered by climate change and/or deforestation

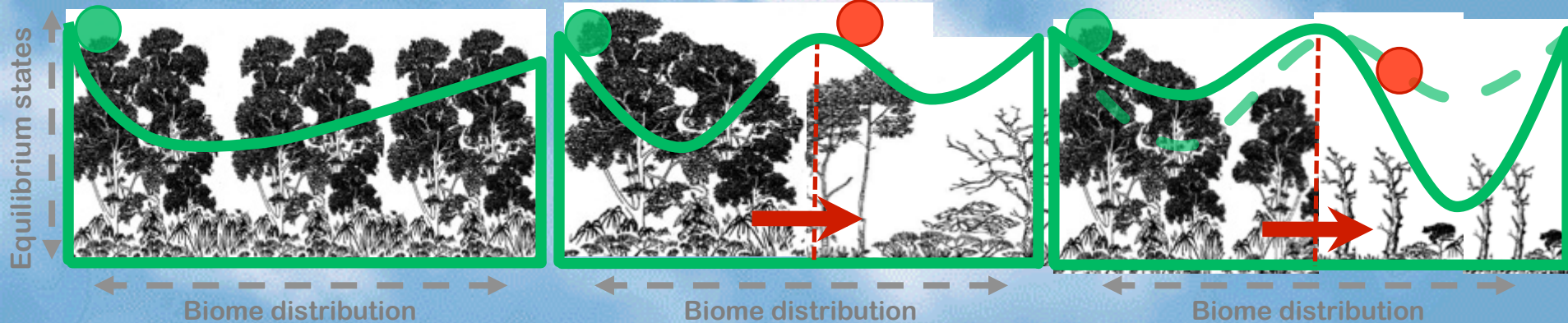
Two stable equilibrium states

Forests in the West

Savannas in the East-Southeast

C) Stability of **second equilibrium state**

Savanna enhanced by increased /intensity of droughts and forest fires

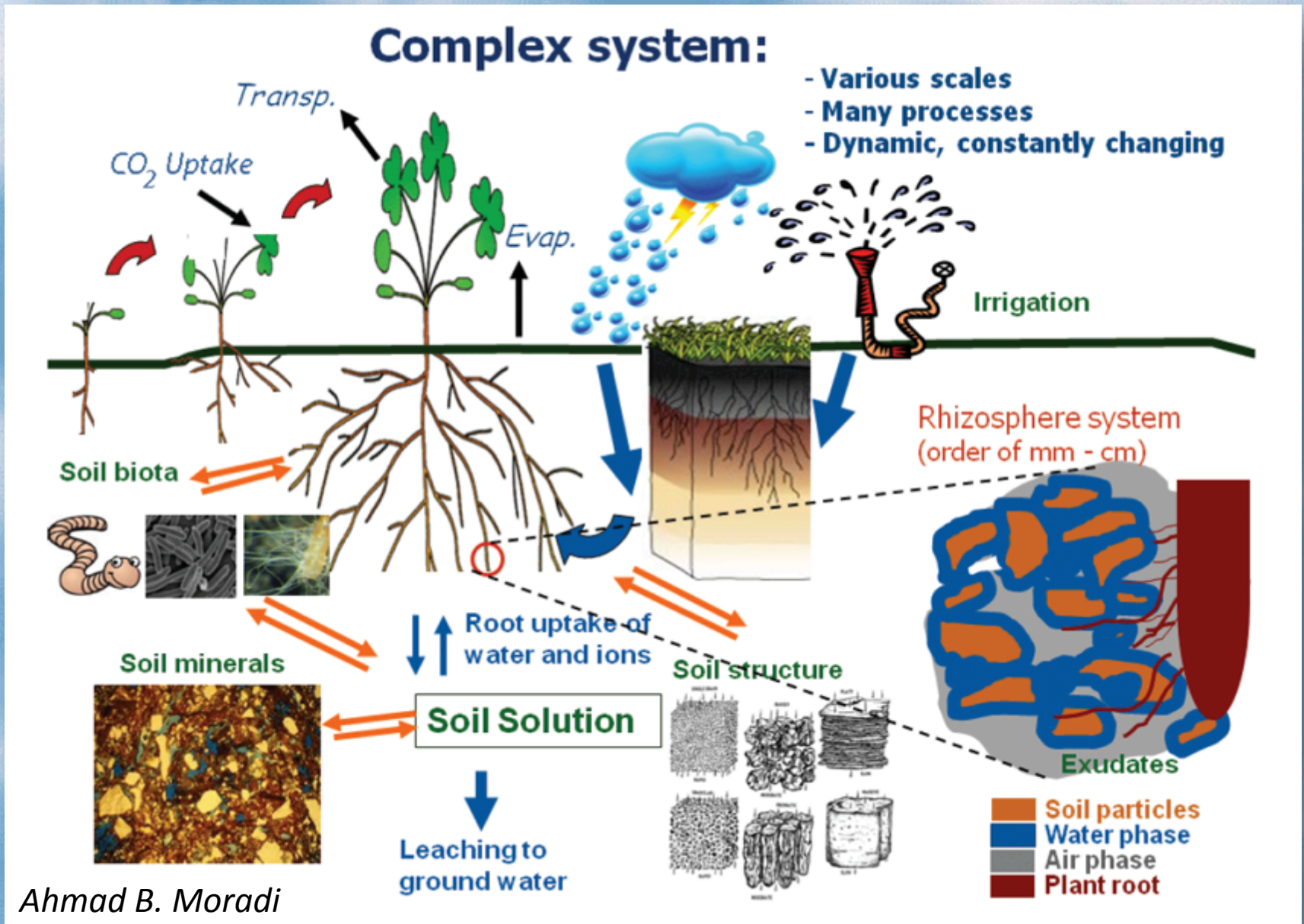


Thresholds for tipping  
 from **state A** to **state B**  $\approx 4^{\circ}\text{C}$  Amazon warming **or**  
 $\approx 40\%$  of total deforested area

- Observations:  $\Delta T \approx 1.1$  to  $1.5^{\circ}\text{C}$
- Deforestation:  $\approx 18\%$
- Forest fire frequency (increasing)
- Lengthening of dry season (increasing)
- Increasing climate extremes



# O complexo sistema solo-planta-atmosfera



Ahmad B. Moradi





# Hydrological cycle critical for Amazonia

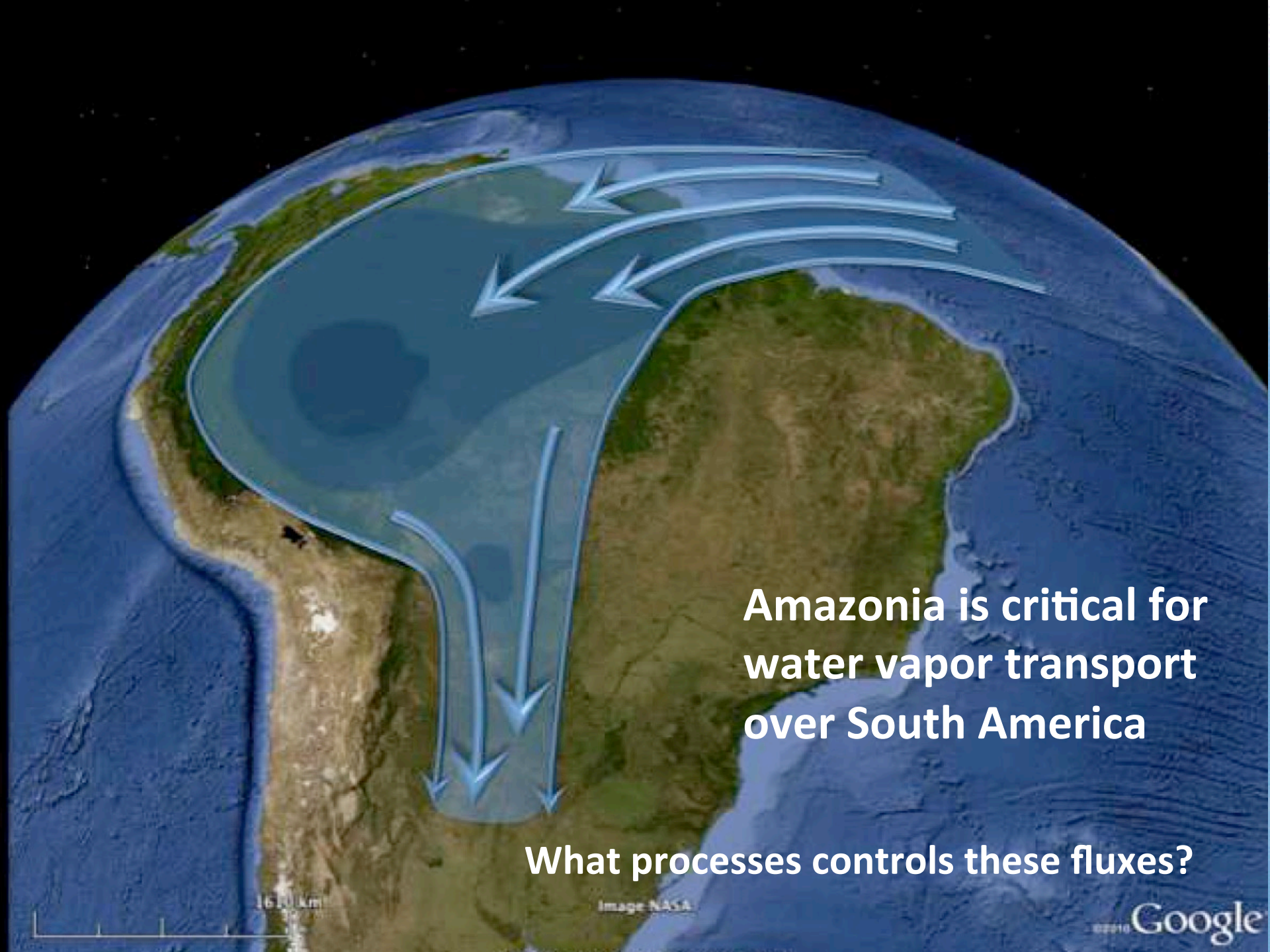


Pyrocumulus clouds



Natural clouds





**Amazonia is critical for  
water vapor transport  
over South America**

**What processes controls these fluxes?**

1600 km

Image NASA

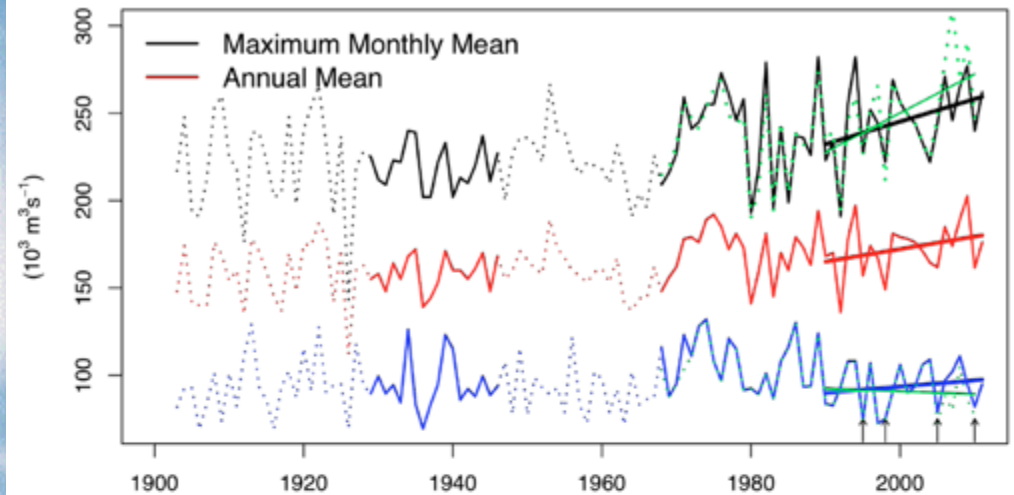
©2010 Google



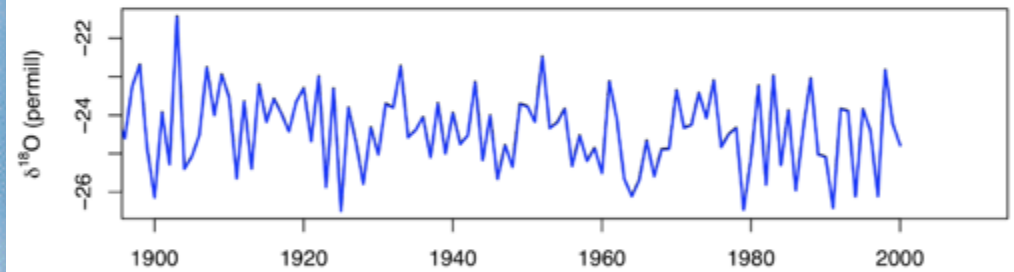
# Is the Amazonian hydrological cycle intensifying?

Maximum monthly, annual mean and minimum monthly mean Amazon river discharge at Óbidos and in green maximum and minimum daily mean river discharge, (b)  $\delta^{18}\text{O}$  in precipitation in Bolivia derived from tree rings (Brienen et al. 2012) and (c) tropical Atlantic sea surface temperature from Extended reconstructed sea surface temperature) (Gloor et al. 2013).

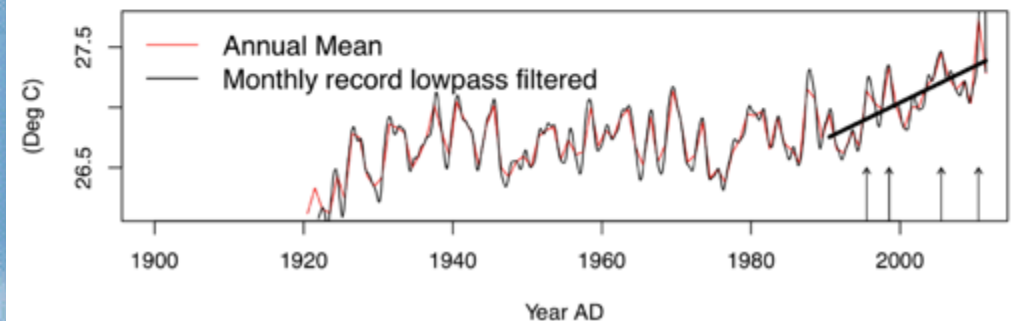
### Amazon river discharge at Obidos



### Tree ring $\delta^{18}\text{O}$ , Bolivia

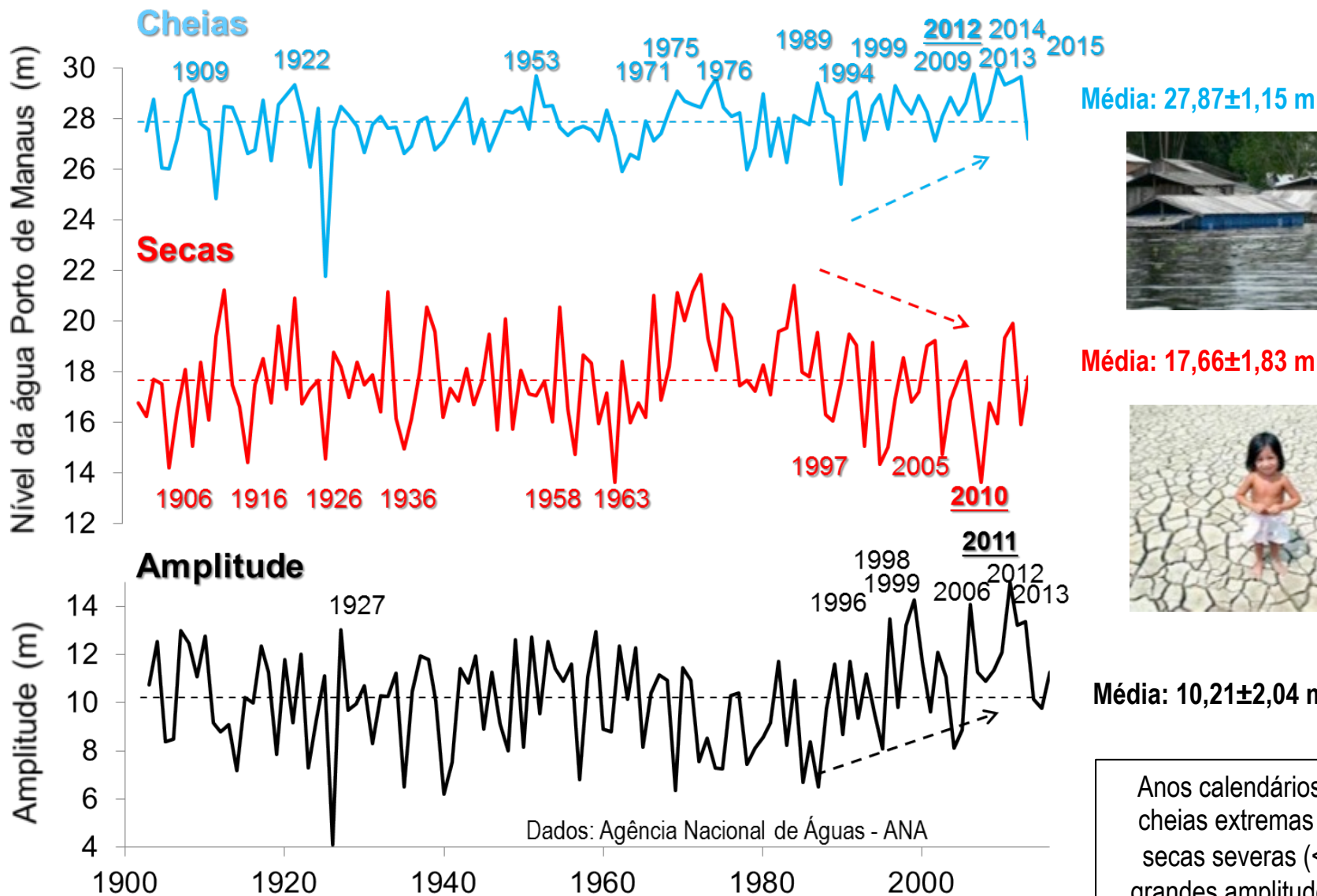


### Tropical Atlantic SST





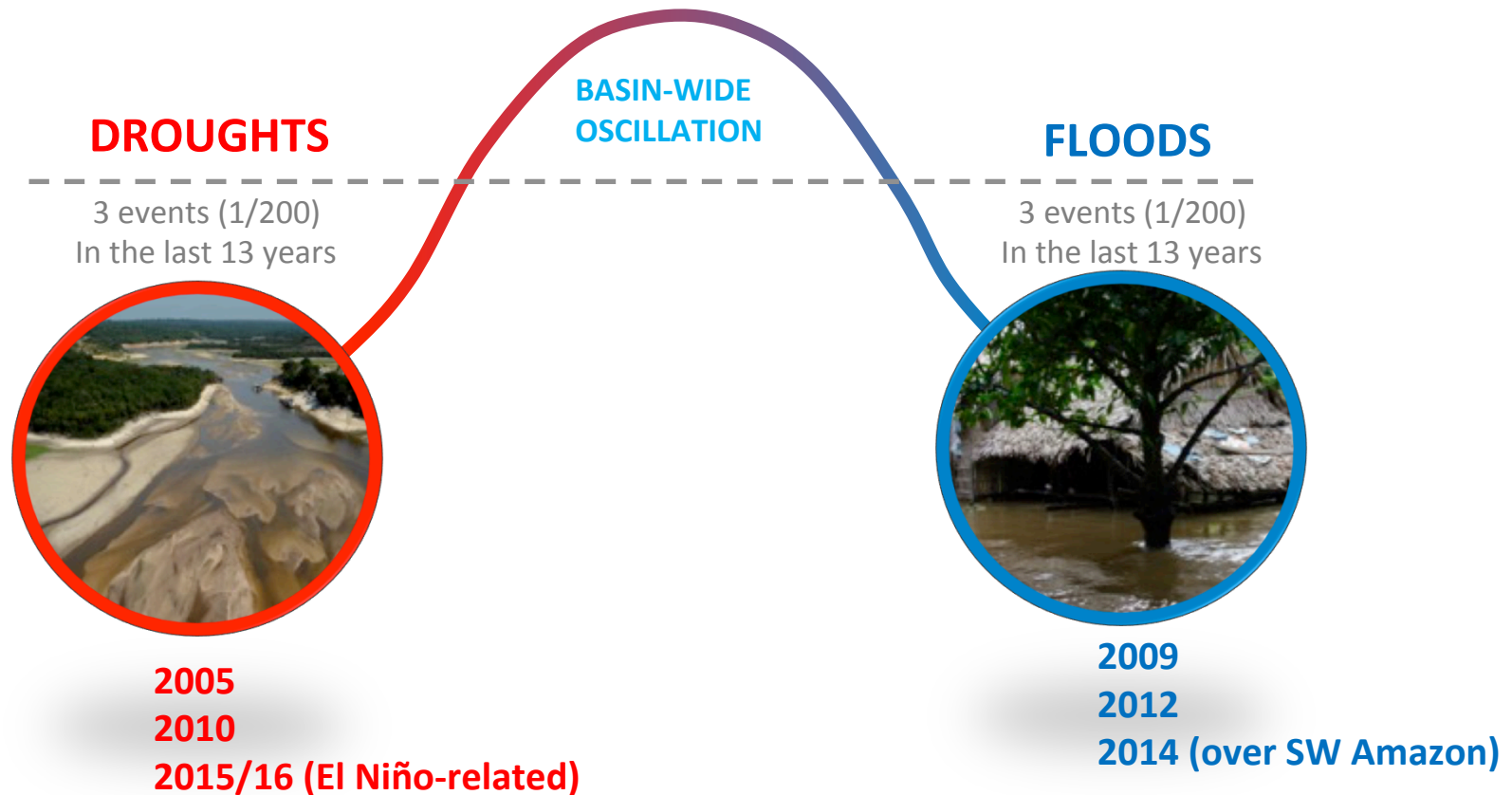
# Níveis de água máximos (1903-2016), mínimos (1902-2016) e amplitudes (1903-2016) anuais no Porto de Manaus



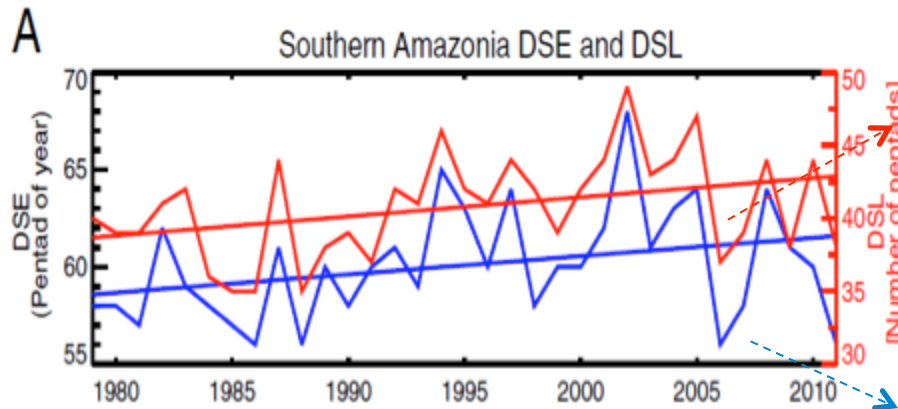
Anos calendário indicam cheias extremas (>29 m), secas severas (<15 m) e grandes amplitudes anuais (>13 m), valores máximos em **negrito**



# THE AMAZON CLIMATE SYSTEM HAS BEEN OSCILLATING BETWEEN TWO EXTREMES IN THE LAST 13 YEARS

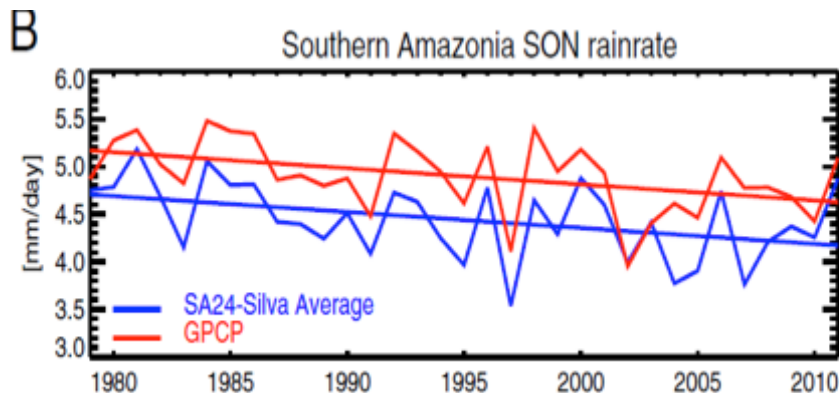


# Dry season length is increasing in Amazonia



Annual time series of **dry season length (DSL)**

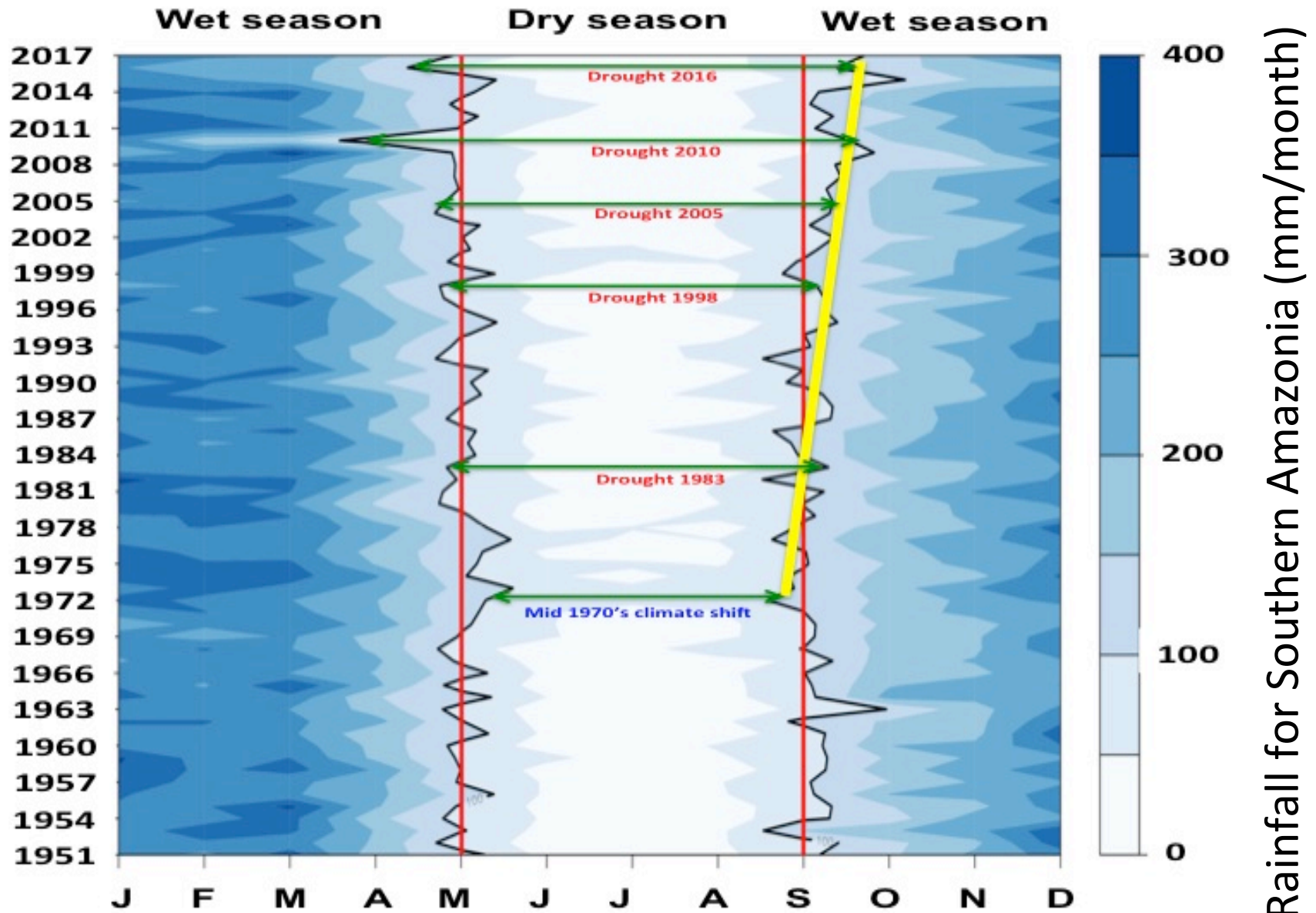
Annual time series of **dry season END (DSE)**



Dry season length has increased by **6.5±2.5** days/decade;

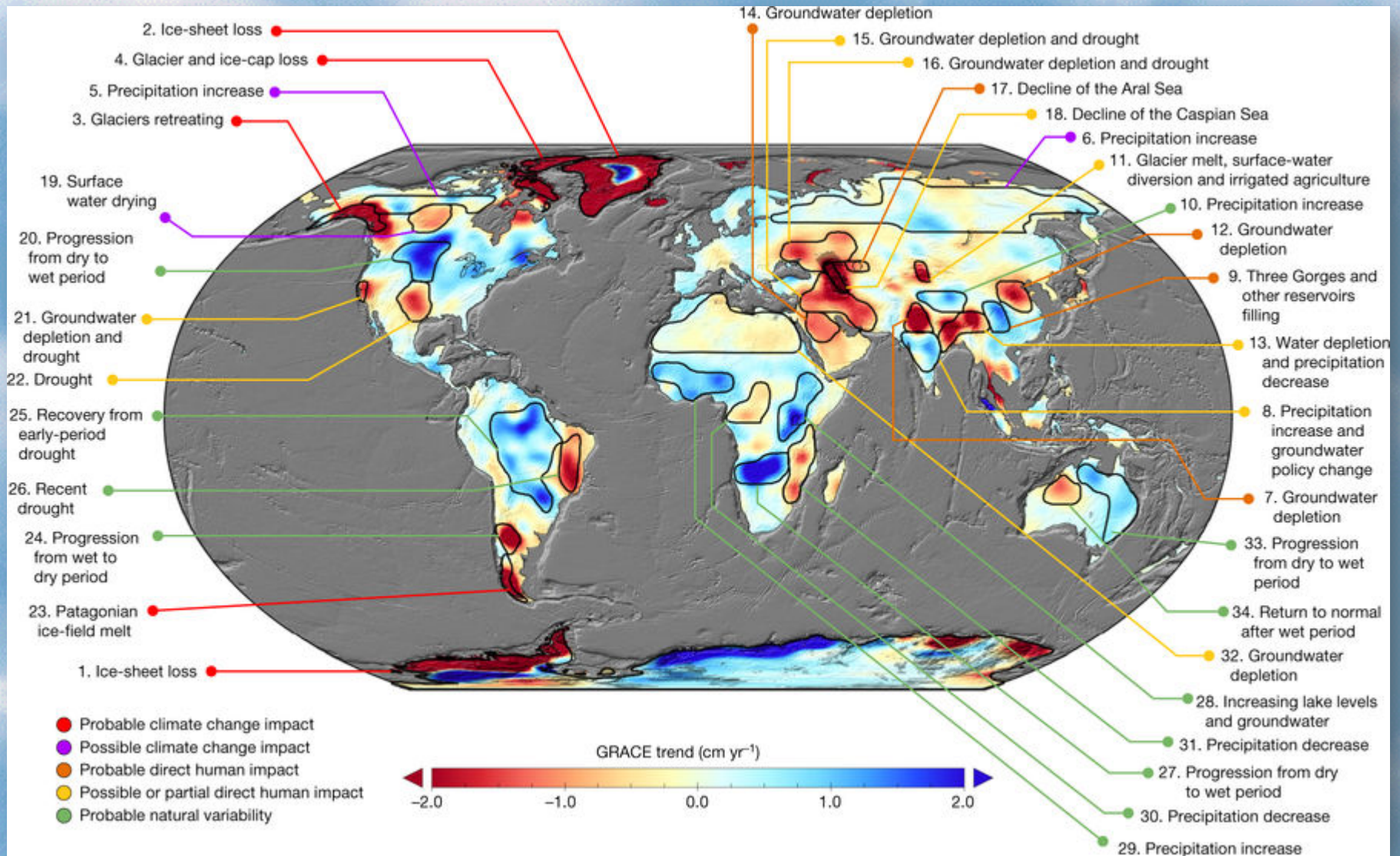


# A longer dry season in S. Amazonia from 1951 to 2017 (Marengo et al 2018)



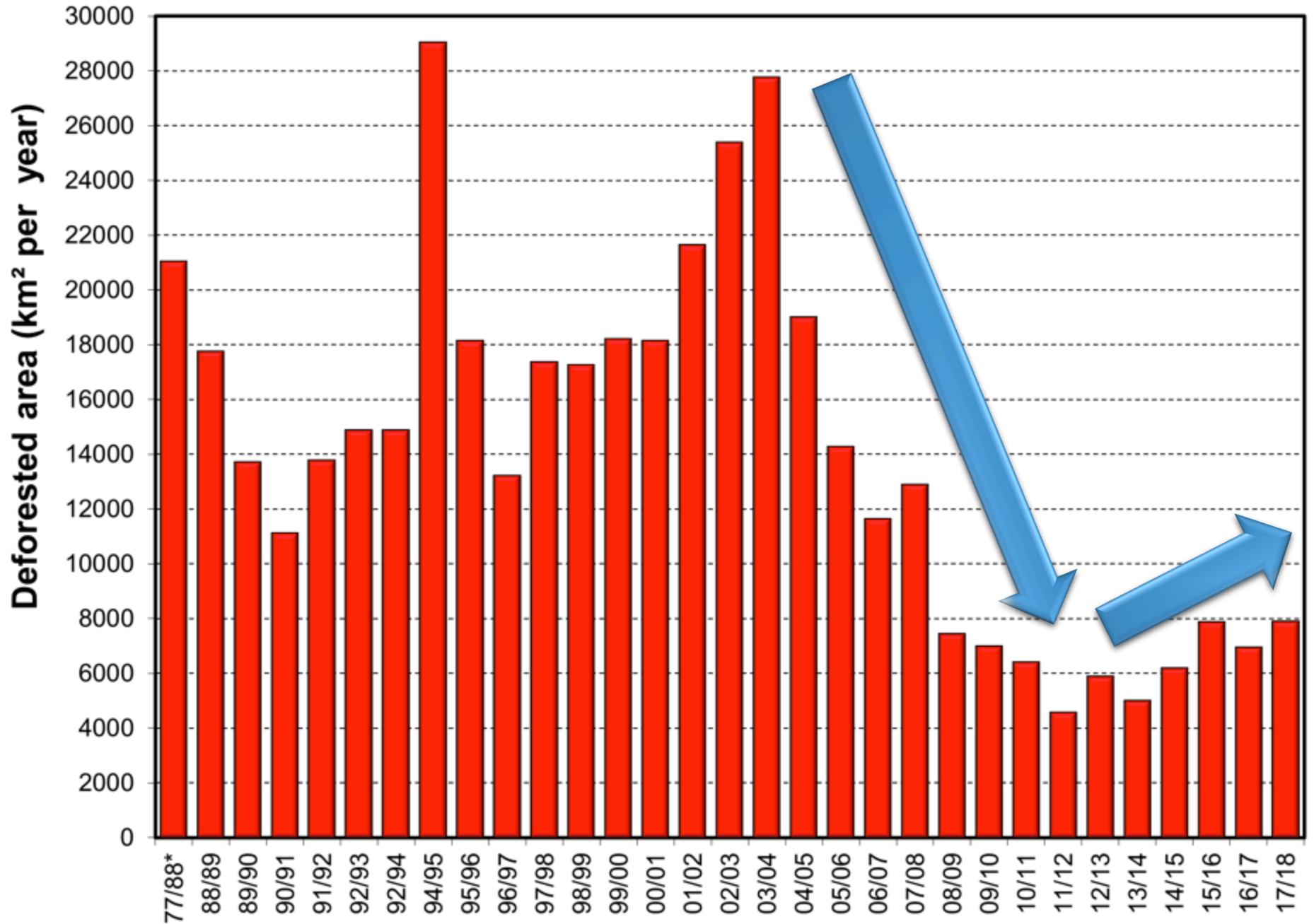
# Freshwater availability is changing worldwide

Emerging trends in global freshwater availability GRACE 2002-2016 (terrestrial water storage, Nature May 2018)

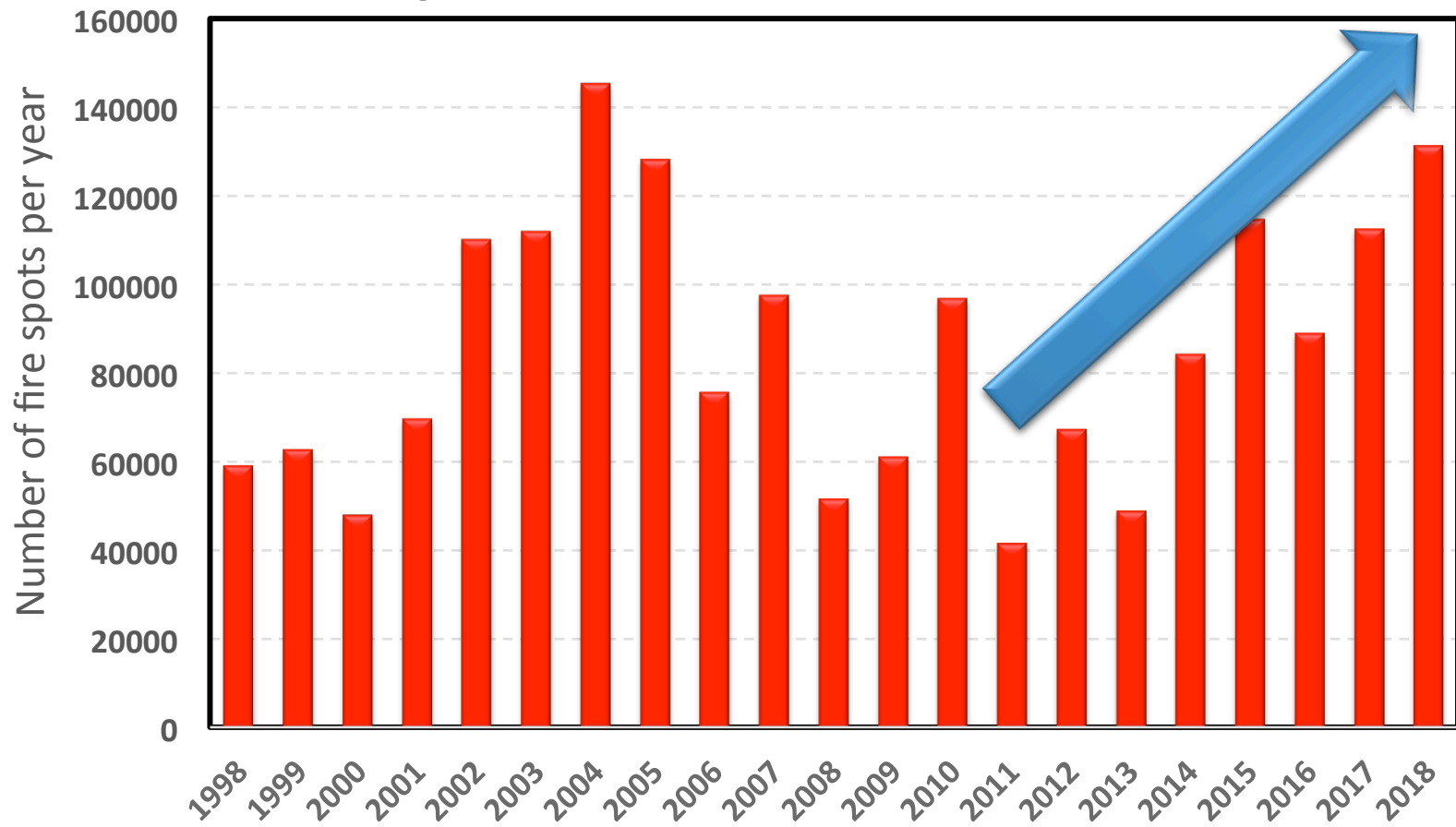




# Deforestation in Amazonia 1977-2018 in km<sup>2</sup> per year



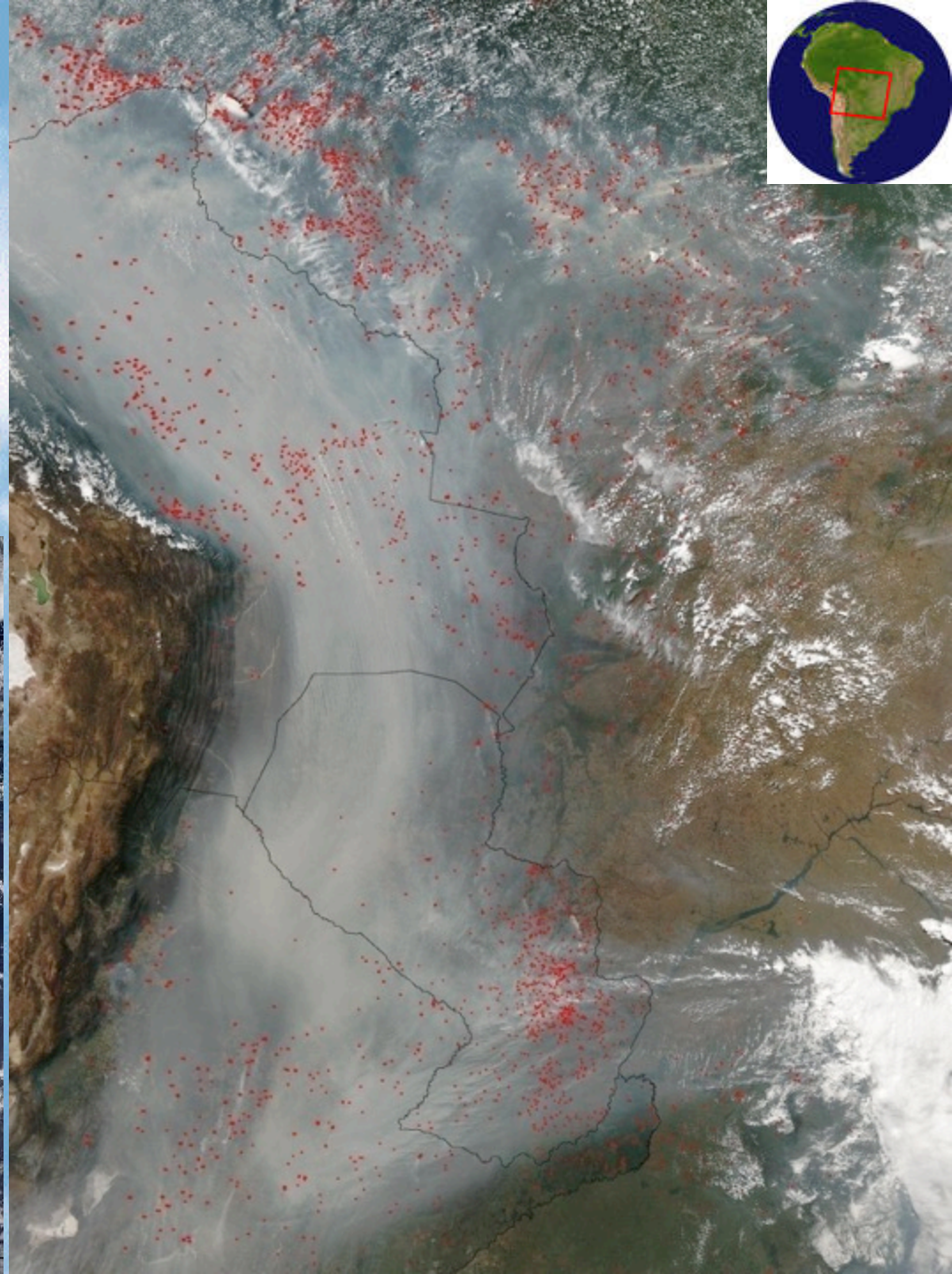
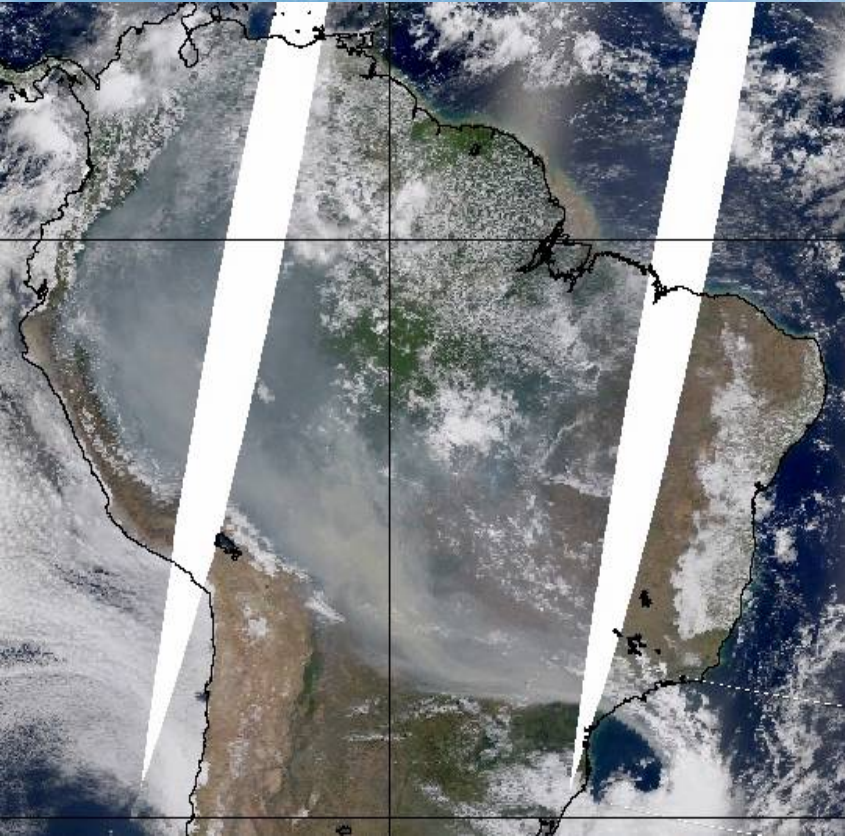
## Fire spots in Amazonia 1998-2018





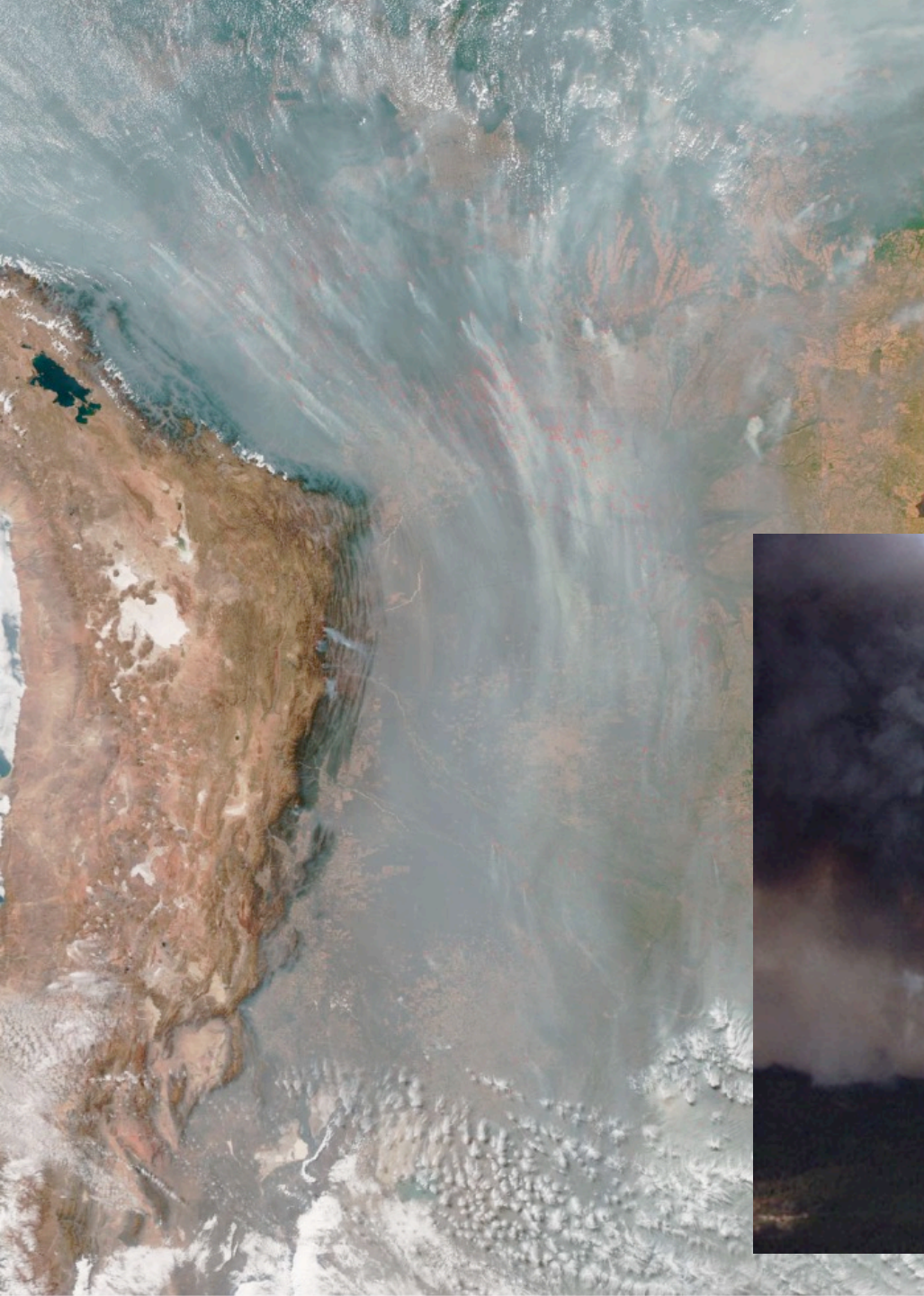
# Large scale aerosol distribution in Amazonia

- Severe health effects on the Amazonian population (about 20 million people)
- Climatic effects, with strong effects on cloud physics and radiation balance.
- Changes in carbon uptake and ecosystem functioning





Aerosol emissions make the high variability visible – it also applies to aerosol composition and the trace gases!







**Water vapor**

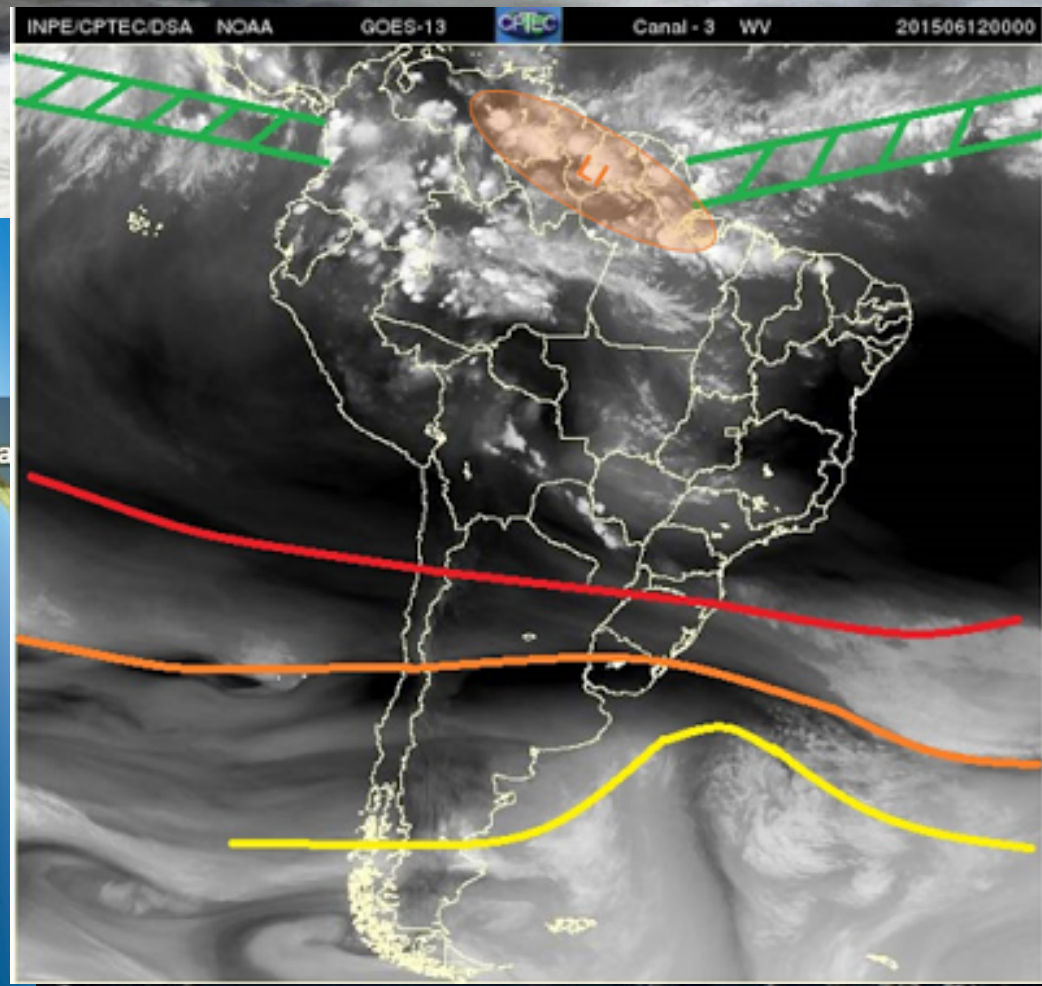
**Aerosol particle acting as  
cloud condensation nuclei**

**Correct atmospheric  
thermodynamics  
conditions**

*All non linear processes*

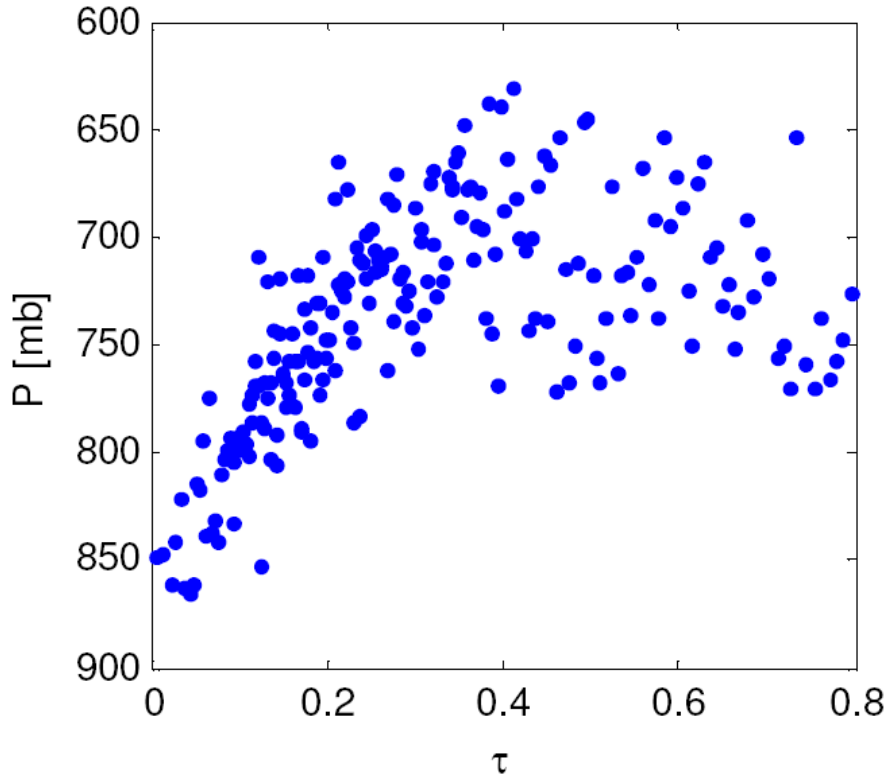


# Convective clouds: Key for radiation balance and precipitation

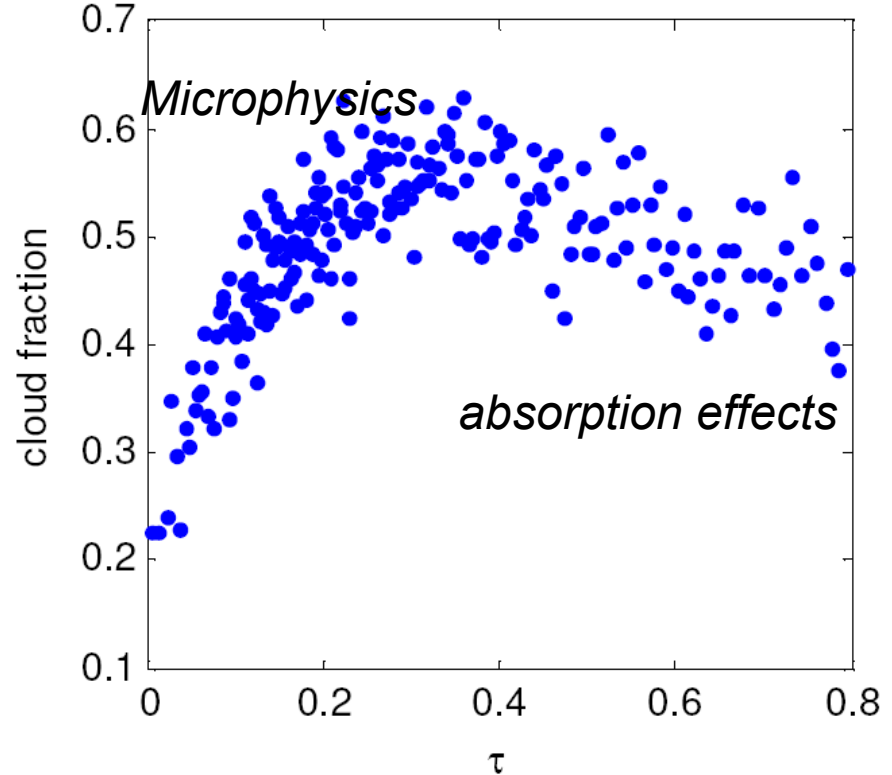




# Cloud fraction and height as a function of aerosols in Amazonia



*Cloud top pressure (P) vs. AOD*

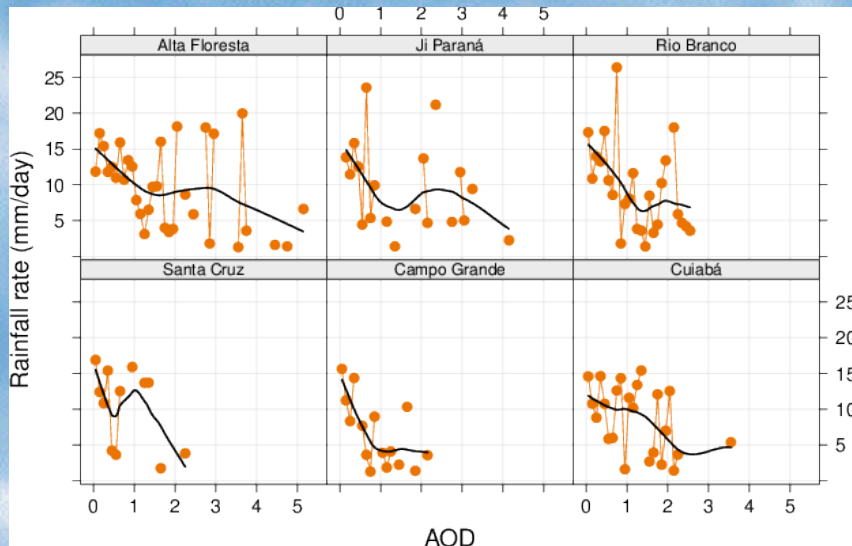


*Cloud fraction vs. AOD.*

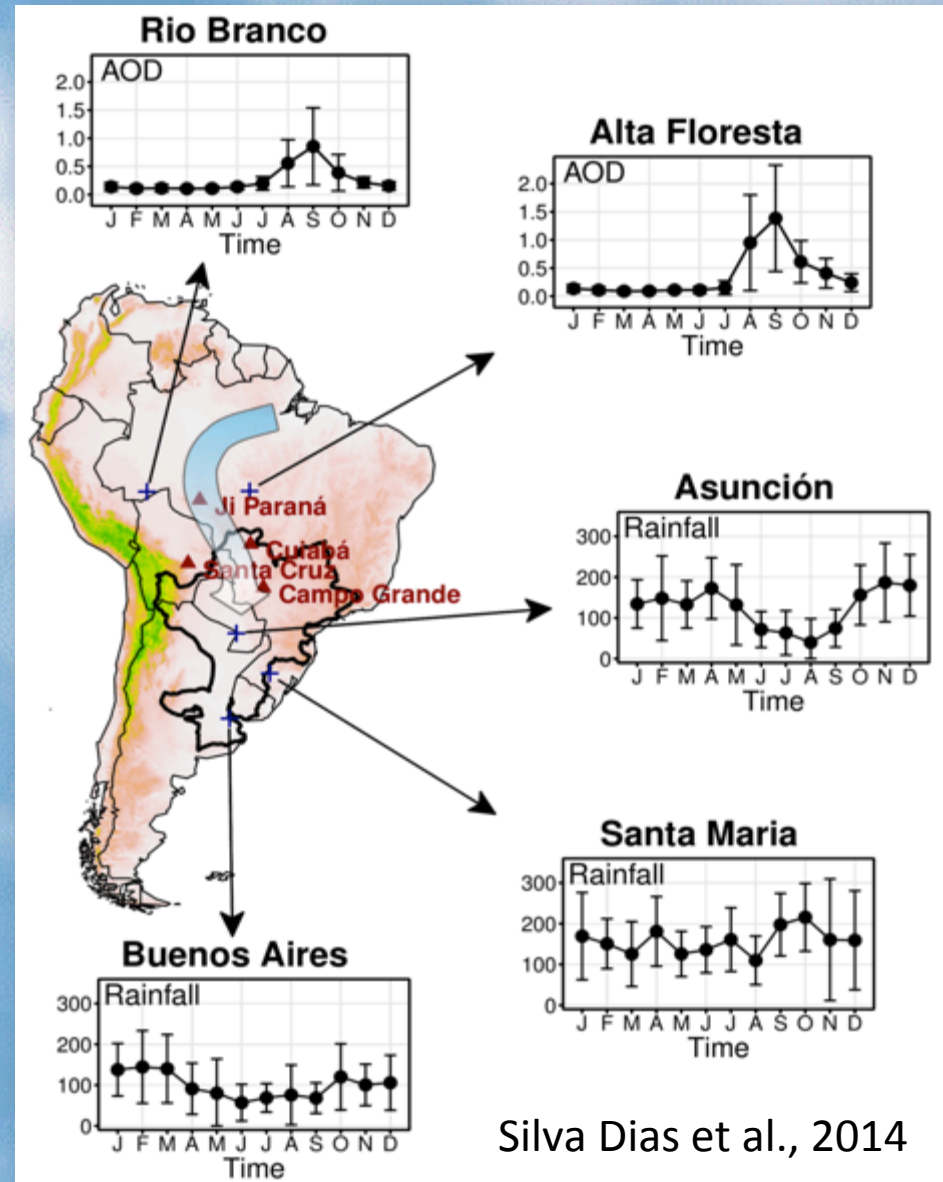
# Relationship between aerosols and precipitation in the La Plata Basin

**AERONET (Aerosols) +  
TRMM (Precipitation) +  
BRAMS (simulations)**

**Reduction in precipitation with increase  
in aerosols**



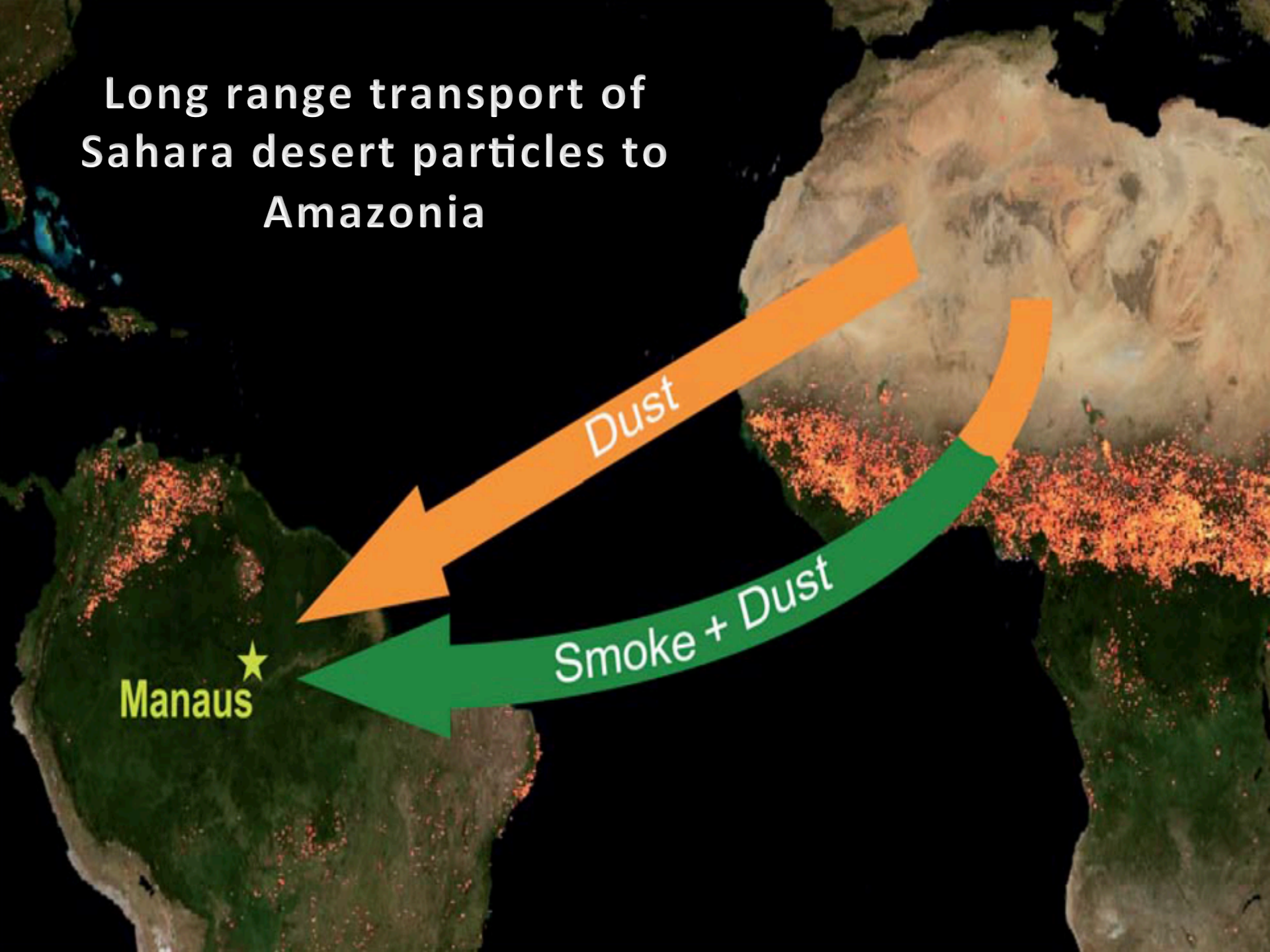
**BRAMS: Simulations with cloud  
microphysics confirm the measurements**



Silva Dias et al., 2014



# Long range transport of Sahara desert particles to Amazonia

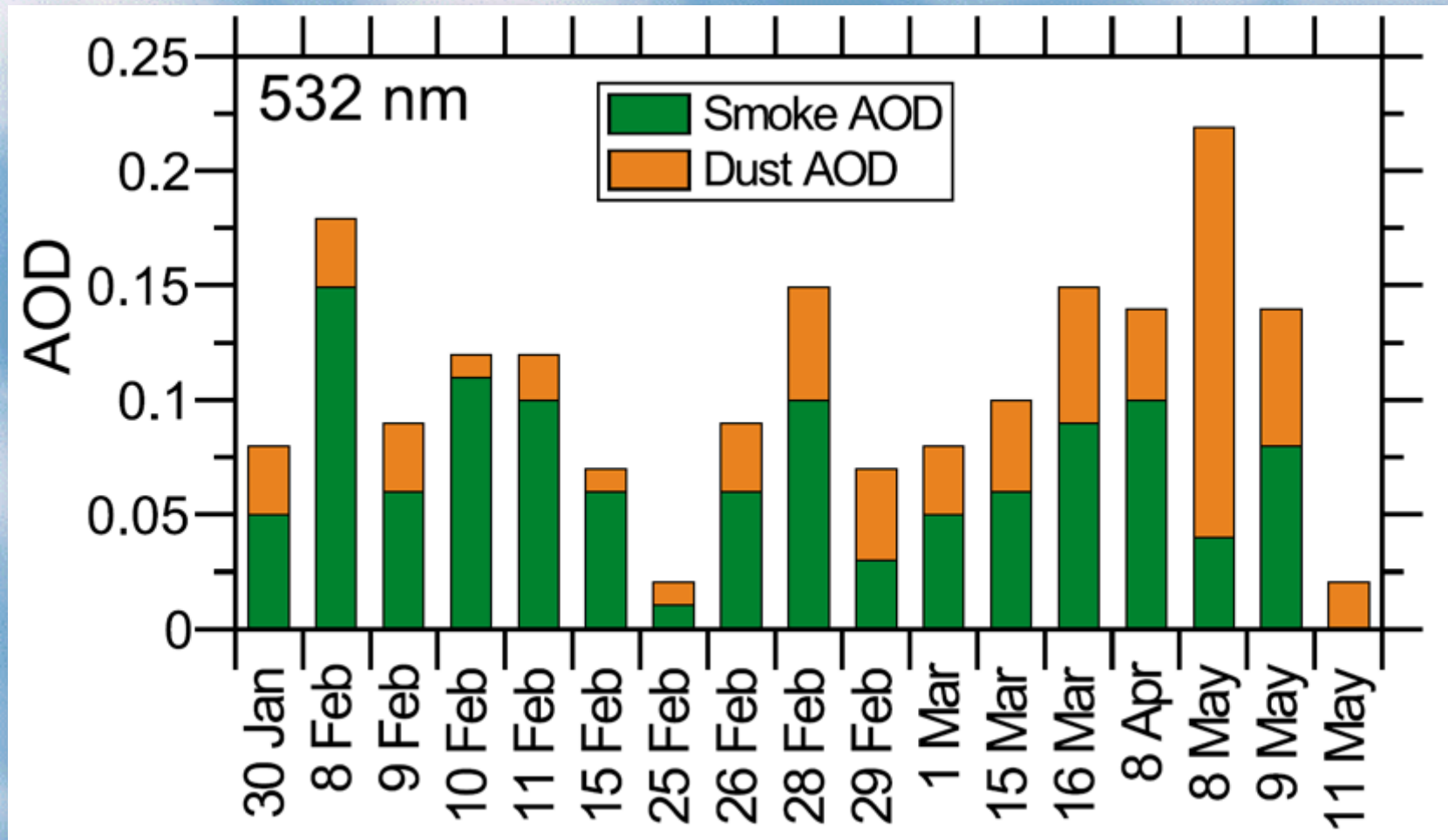


Dust

Smoke + Dust

Manaus ★

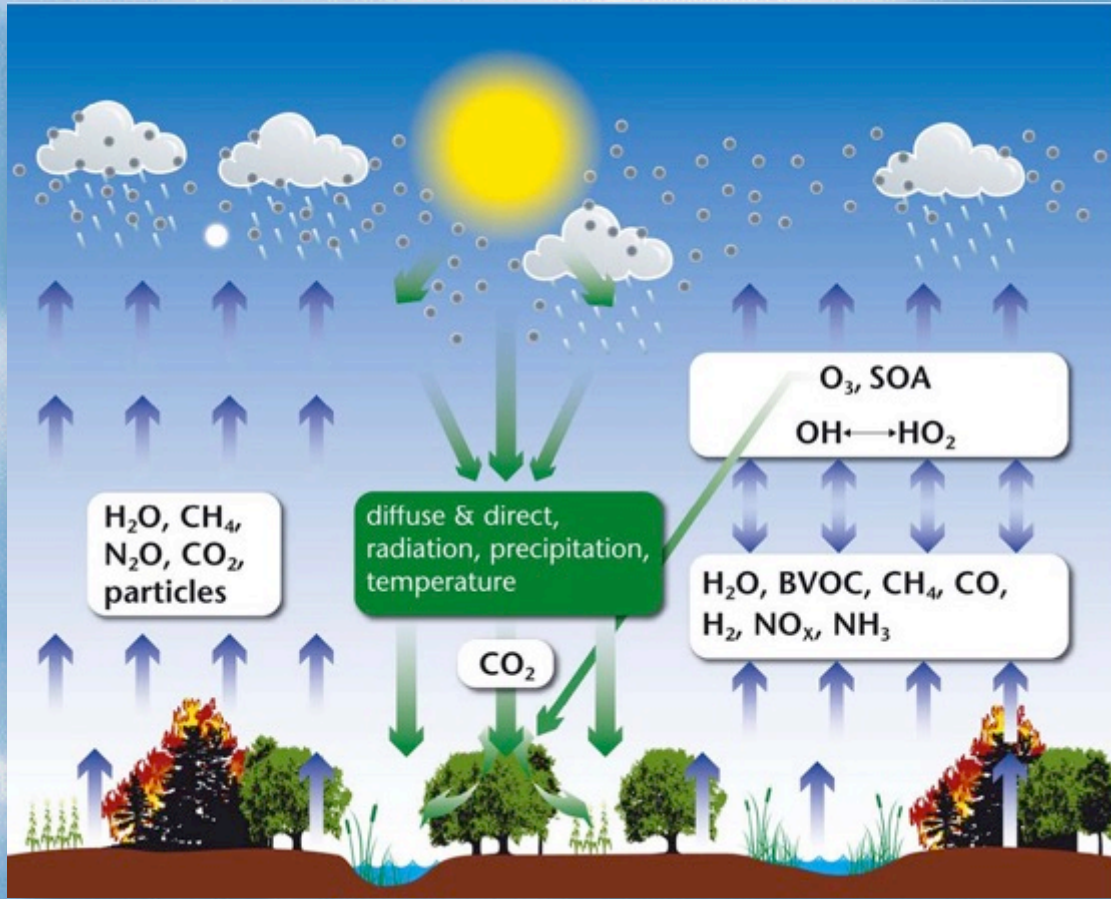
# African aerosol in central Amazonia



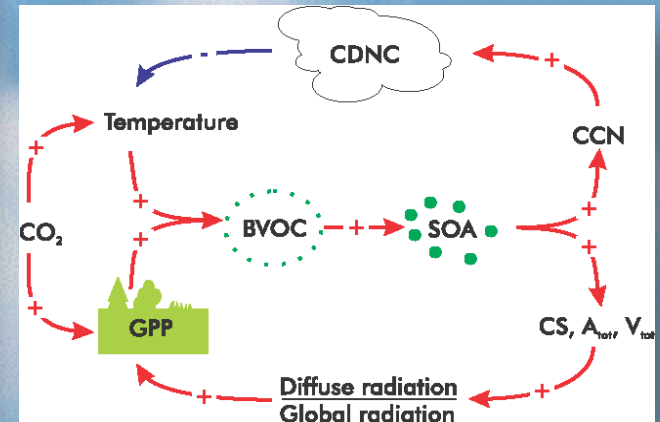
Smoke and dust AOD indicating the advection of African aerosol toward Amazonia (Baars, 2011).



# Conceptual overview of terrestrial carbon cycle – chemistry – climate interactions



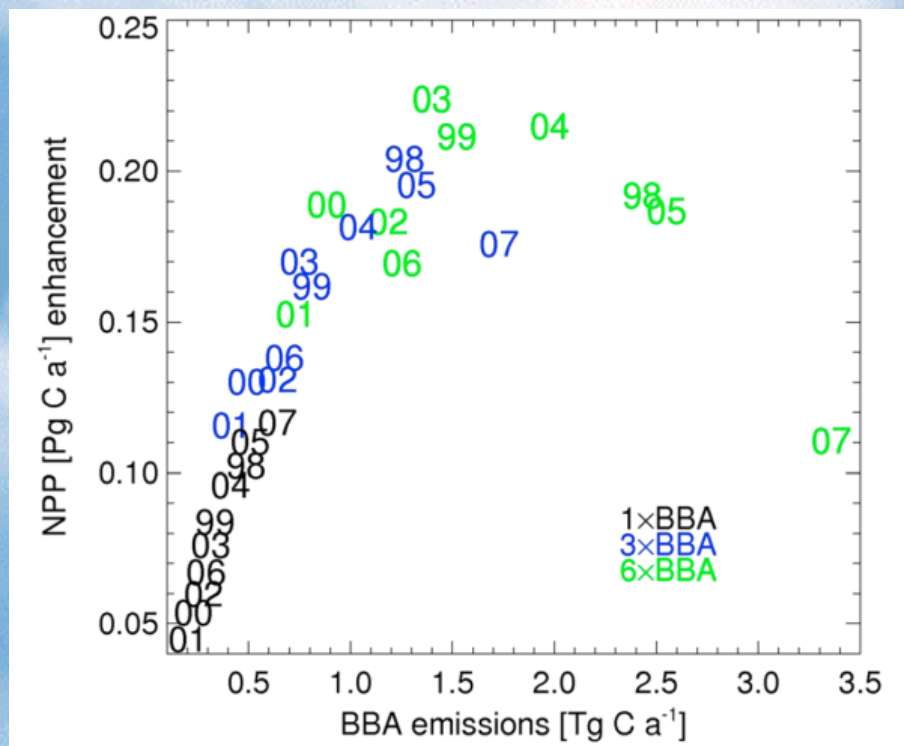
Arnth et al., 2011



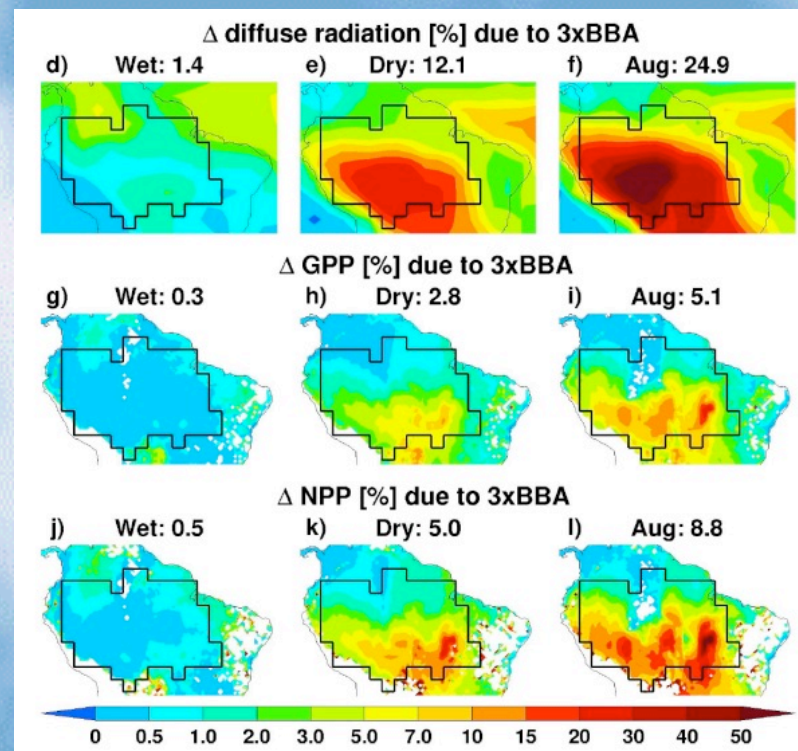
Kulmala et al, 2013

# Fires increase Amazon forest productivity through increases in diffuse radiation

Rap et al., 2015



Amazon basin annual mean NPP enhancement caused by BBA as a function of BBA emissions (black: standard BBA emissions; blue: 3 × BBA emissions; and green: 6 × BBA emissions), for each year during



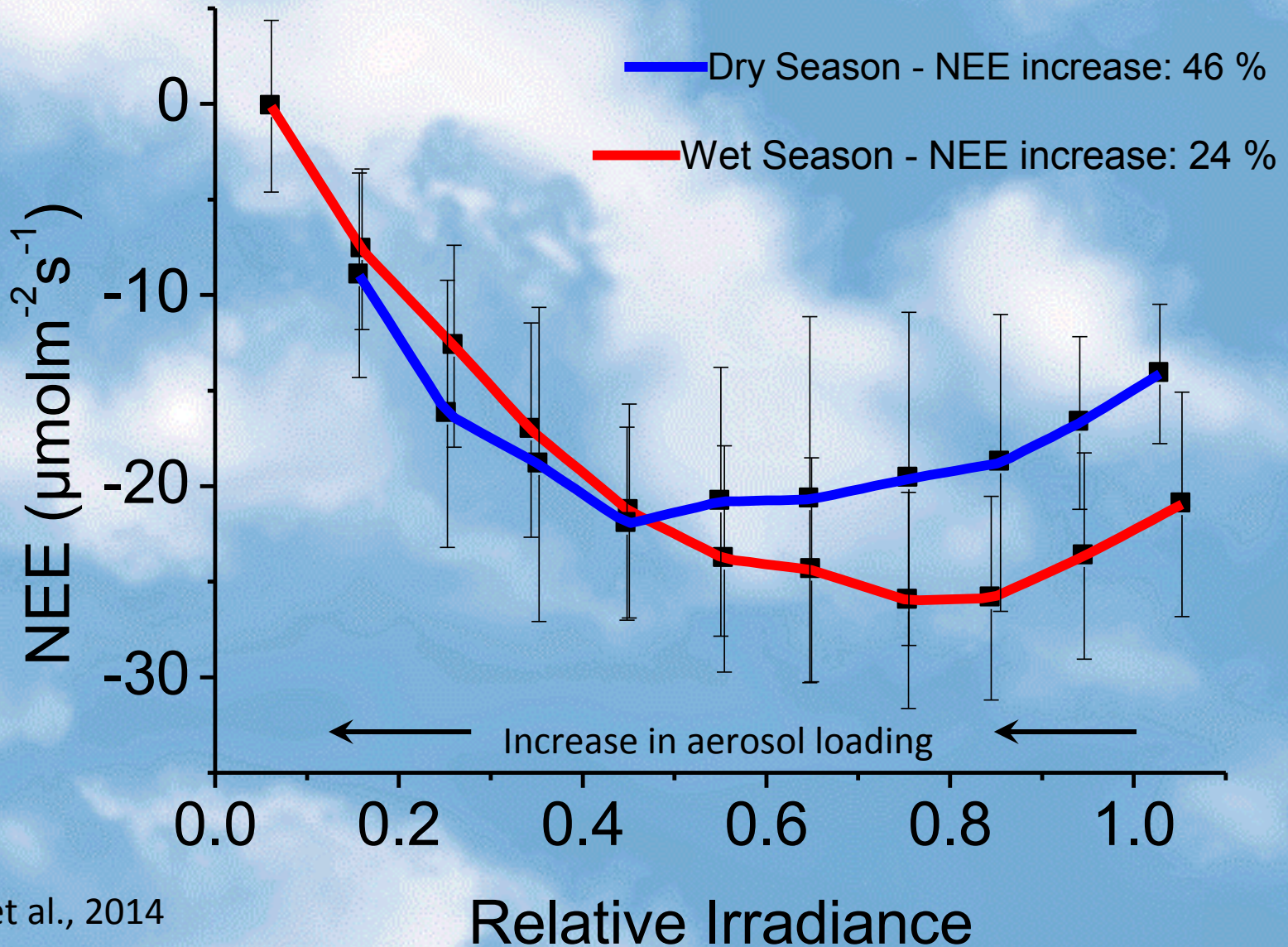
Modeled 1998–2007 mean percentage changes in (a–c) diffuse radiation, (g–i) GPP, and (j–l) NPP during the wet (defined here as December to May) season, dry (June to November) season, and August due to BBA emissions.



# Strong effects of aerosols on carbon uptake in Amazonia



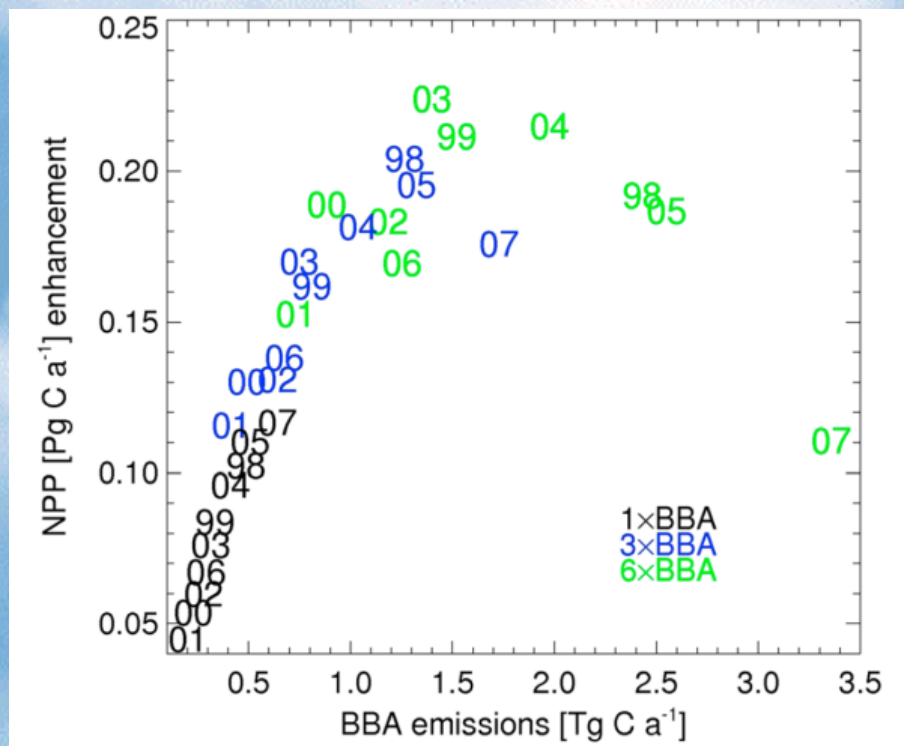
## Amazonia Rondonia Forest site 2000-2001



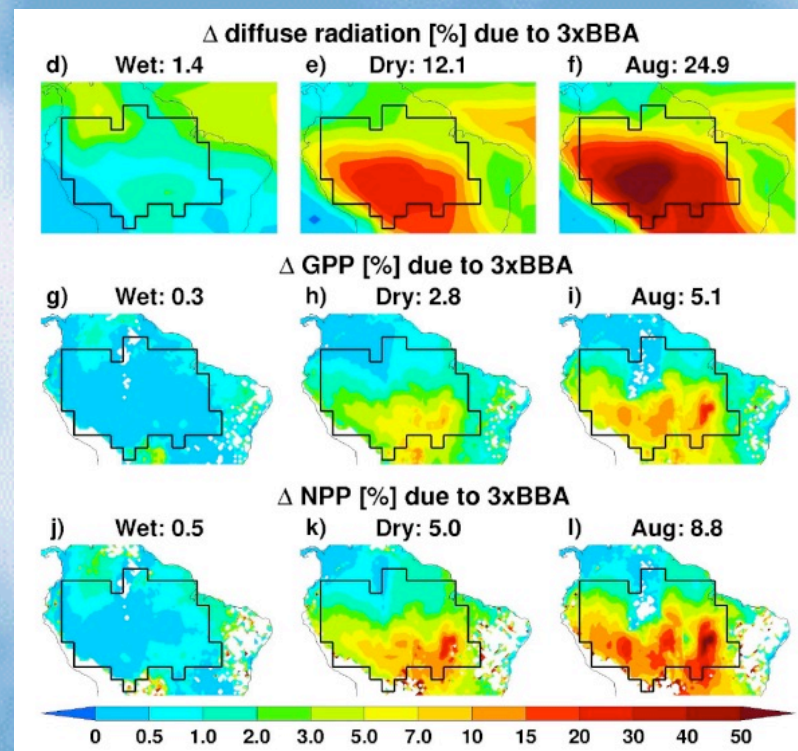


# Fires increase Amazon forest productivity through increases in diffuse radiation

Rap et al., 2015

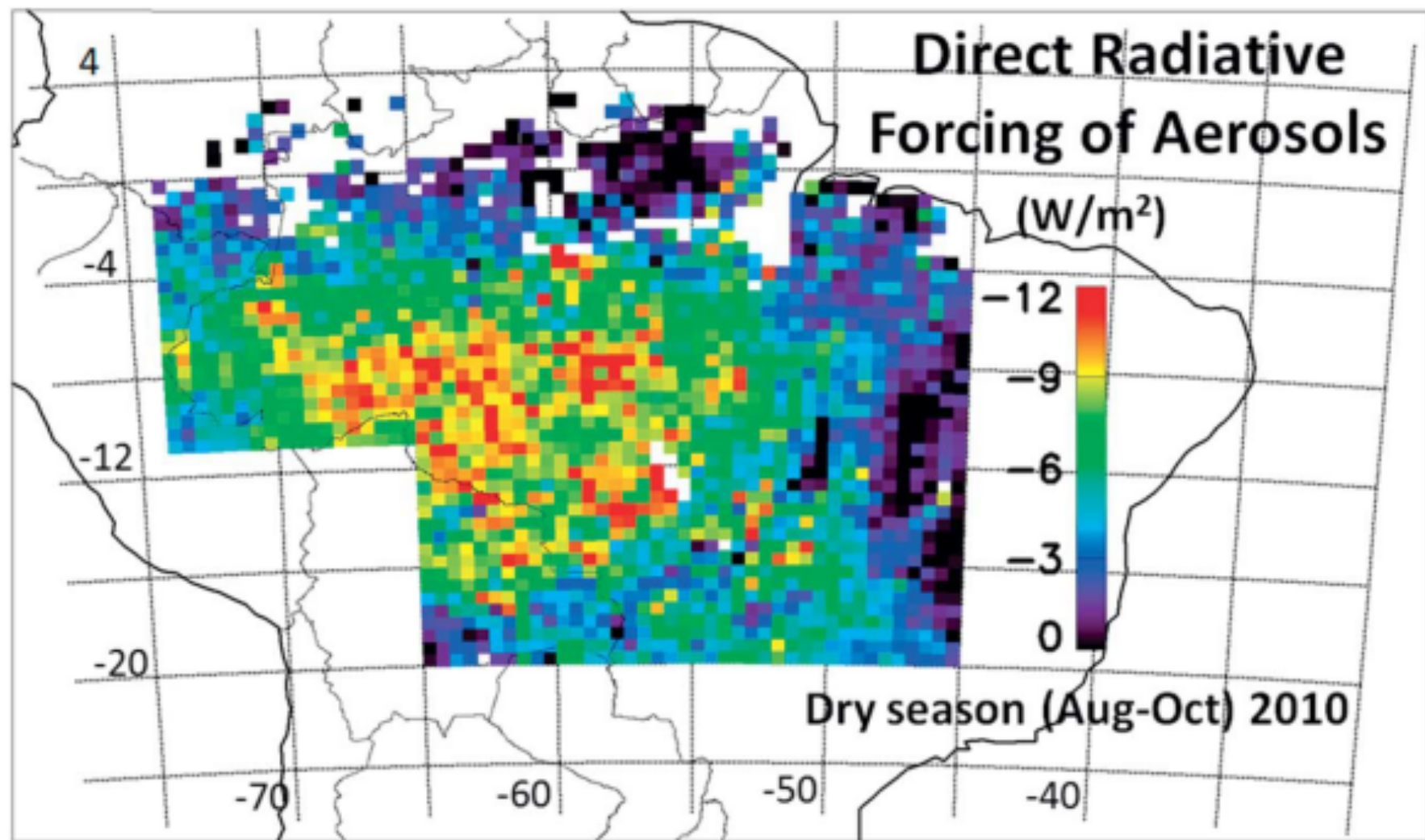


Amazon basin annual mean NPP enhancement caused by BBA as a function of BBA emissions (black: standard BBA emissions; blue: 3 × BBA emissions; and green: 6 × BBA emissions), for each year during



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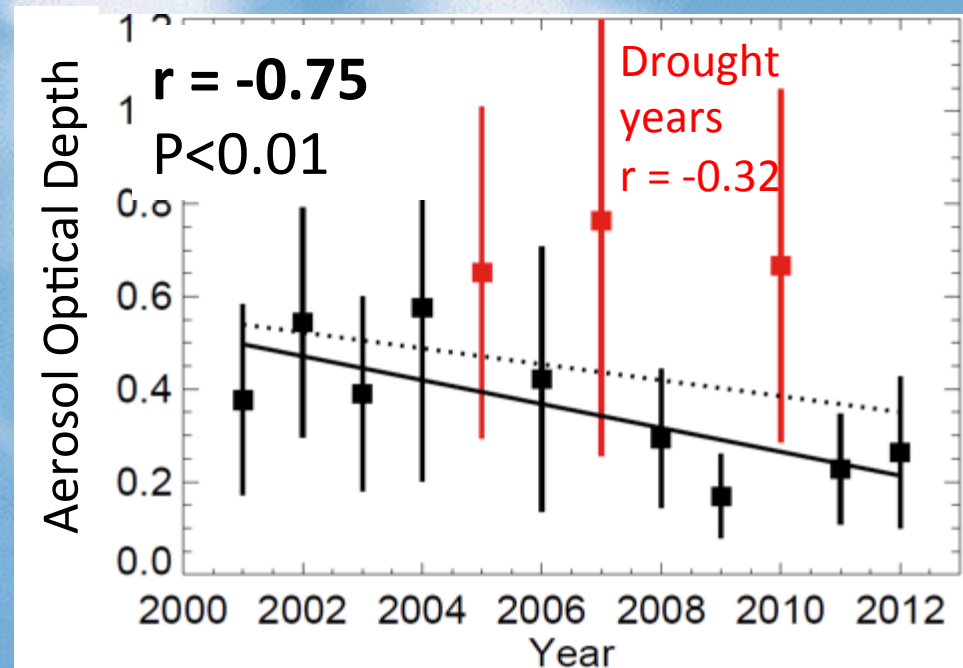
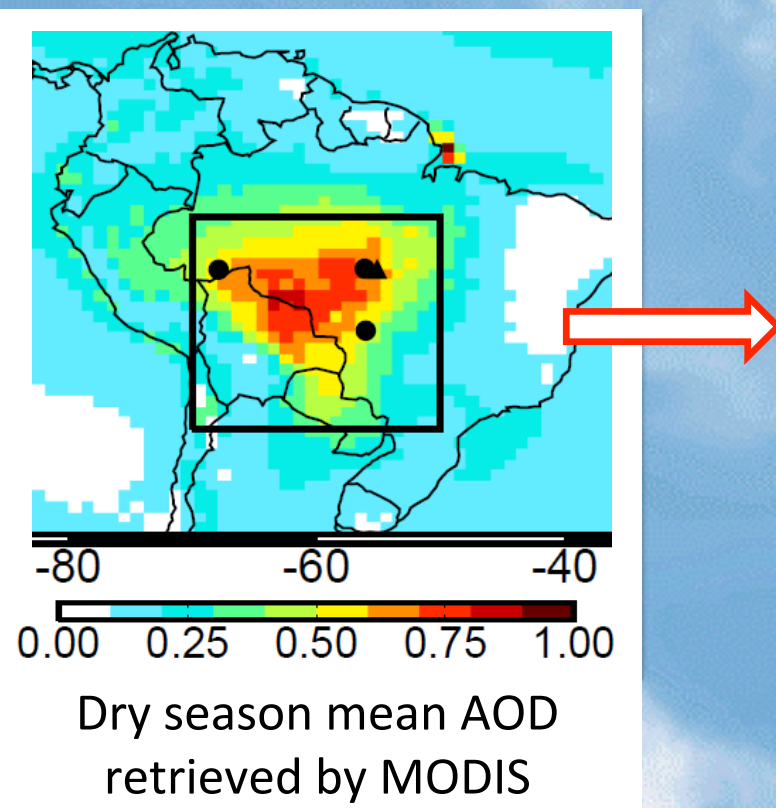




**Fig. 18** Average spatial distribution of the direct radiative forcing (DRF) of biomass burning aerosols in Amazonia during the dry season (August to October) of 2010. Forcing derived from calculations using a combination of MODIS and CERES sensors data. During this three-month period, the daily-average radiative forcing of aerosols for the whole area was on average  $-5.3 \pm 0.1 \text{ W m}^{-2}$ .

# Air quality and human health improvements from reductions in deforestation-related fire in Brazil

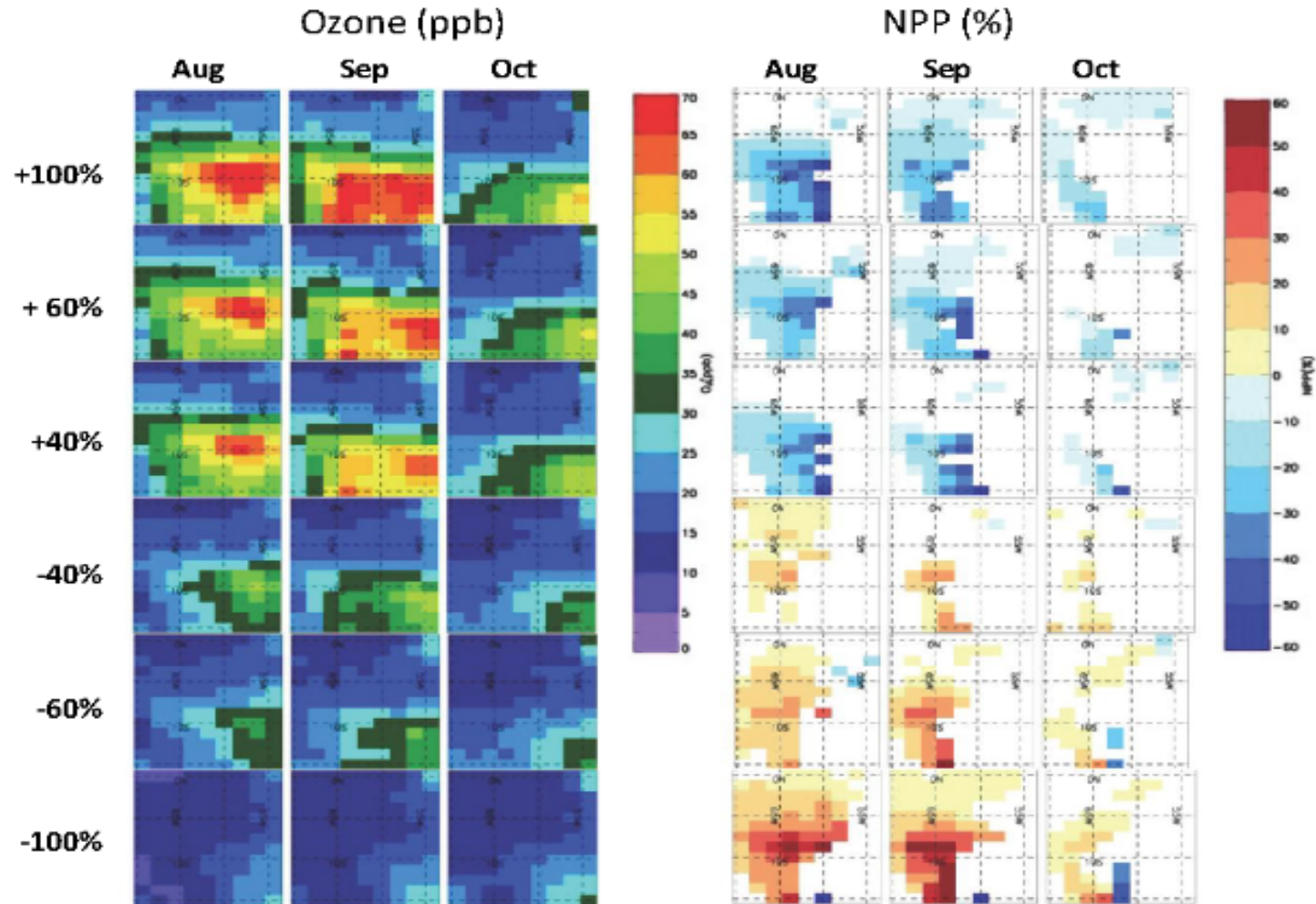
C. L. Reddington<sup>1</sup>, E. W. Butt<sup>1</sup>, D. A. Ridley<sup>2</sup>, P. Artaxo<sup>3</sup>, W. T. Morgan<sup>4</sup>, H. Coe<sup>4</sup> and D. V. Spracklen<sup>1\*</sup>



⇒ Reduction in PM<sub>2.5</sub> may be preventing roughly 1,700 premature adult deaths annually across South America.

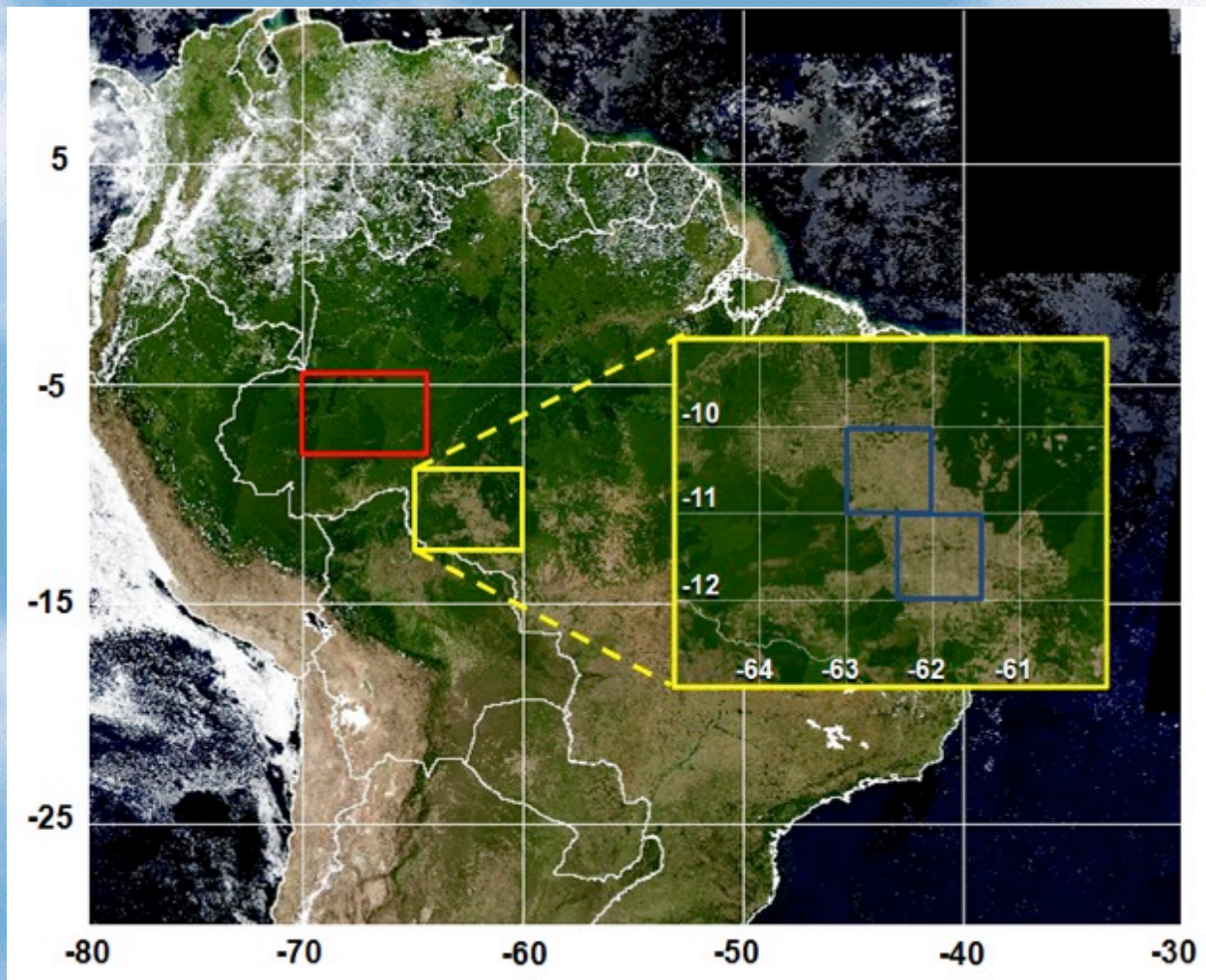


# Ozone and carbon uptake in Amazonia in the dry season



**Figure 6.** From the left: simulated variation in surface  $O_3$  mixing ratios and NPP over the region of analysis for the months of August, September and October.

**Ozone exposure reduces carbon uptake at the same order of magnitude as emissions from deforestation. Potentially doubling the impact of biomass burning on the carbon cycle**



**Mean Diurnal Radiative Forcing due to change in surface albedo:**  
 $-8.0 \pm 0.9 \text{ W/m}^2$

**Mean Diurnal Aerosol Forcing Efficiency:**  
**Forest:**  $-22.5 \pm 1.4 \text{ W/m}^2$   
**Cerrado:**  $-16.6 \pm 1.7 \text{ W/m}^2$

Land-use change radiative forcing. Forested areas are selected in red and deforested areas are selected in blue.

*Elisa Sena results, 2011*



# GoAmazon2014/15 Experiment

## *The central idea...*



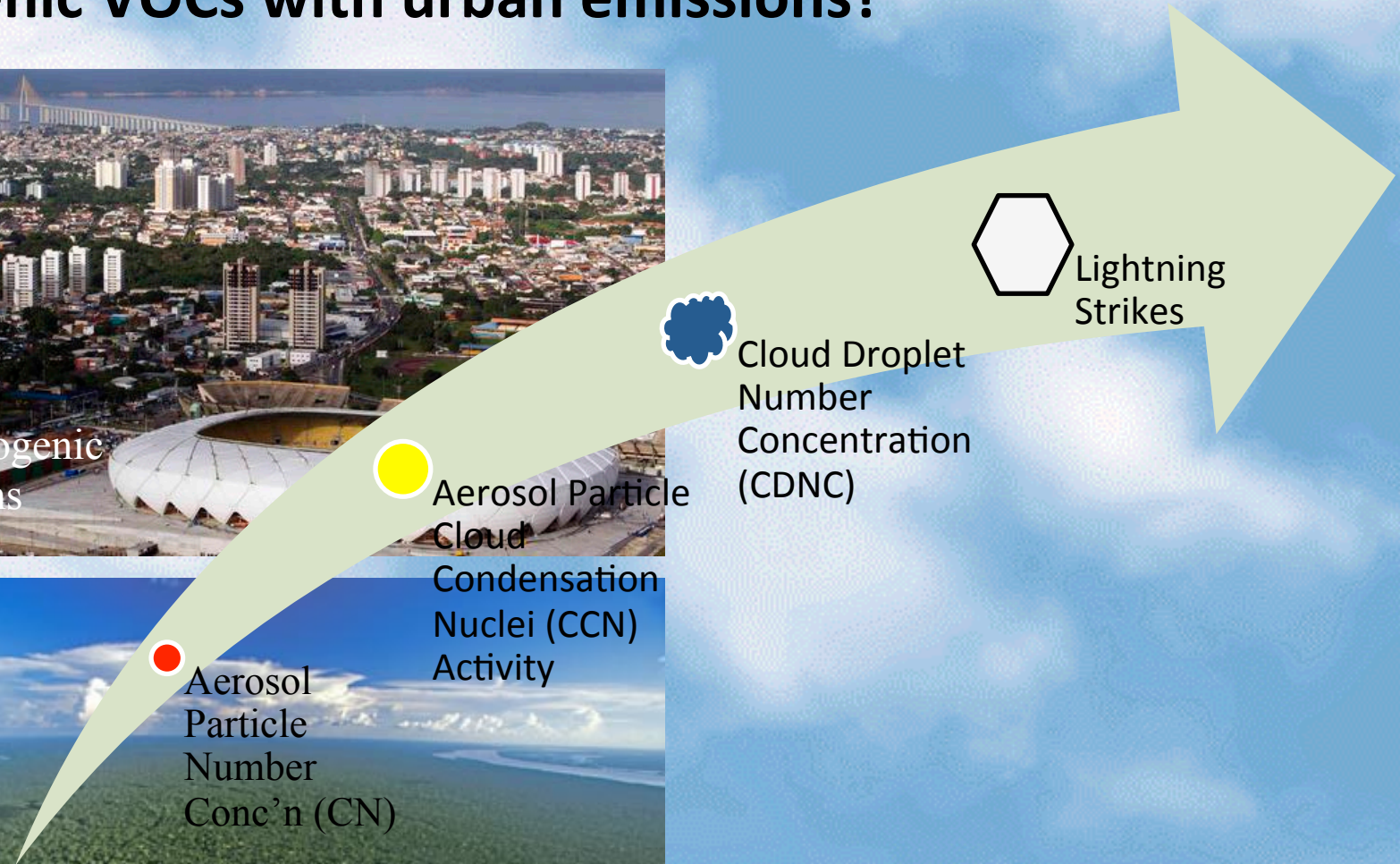
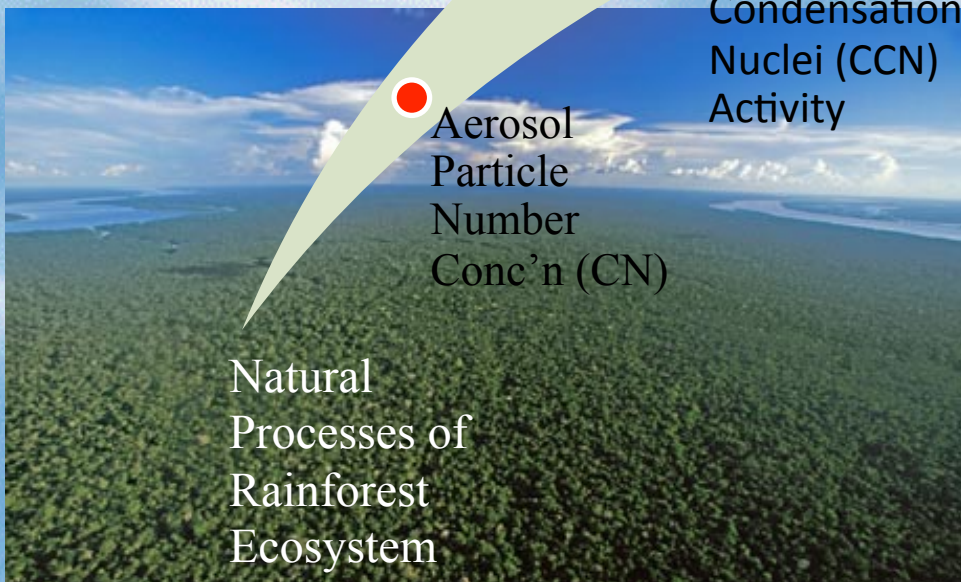
Manaus is a city of 2 million people surrounded by just forest in a radius of 1.500 Km. UNIQUE situation.

The aim of the GoAmazon 2014/15 experiment is to analyze how the emissions of pollutants of the city of Manaus interacts with the Amazonian natural biogenic emissions from the forest and how are the impacts on the climate over the forest and ecosystem functioning.





# How particles are formed from the interactions of forest biogenic VOCs with urban emissions?

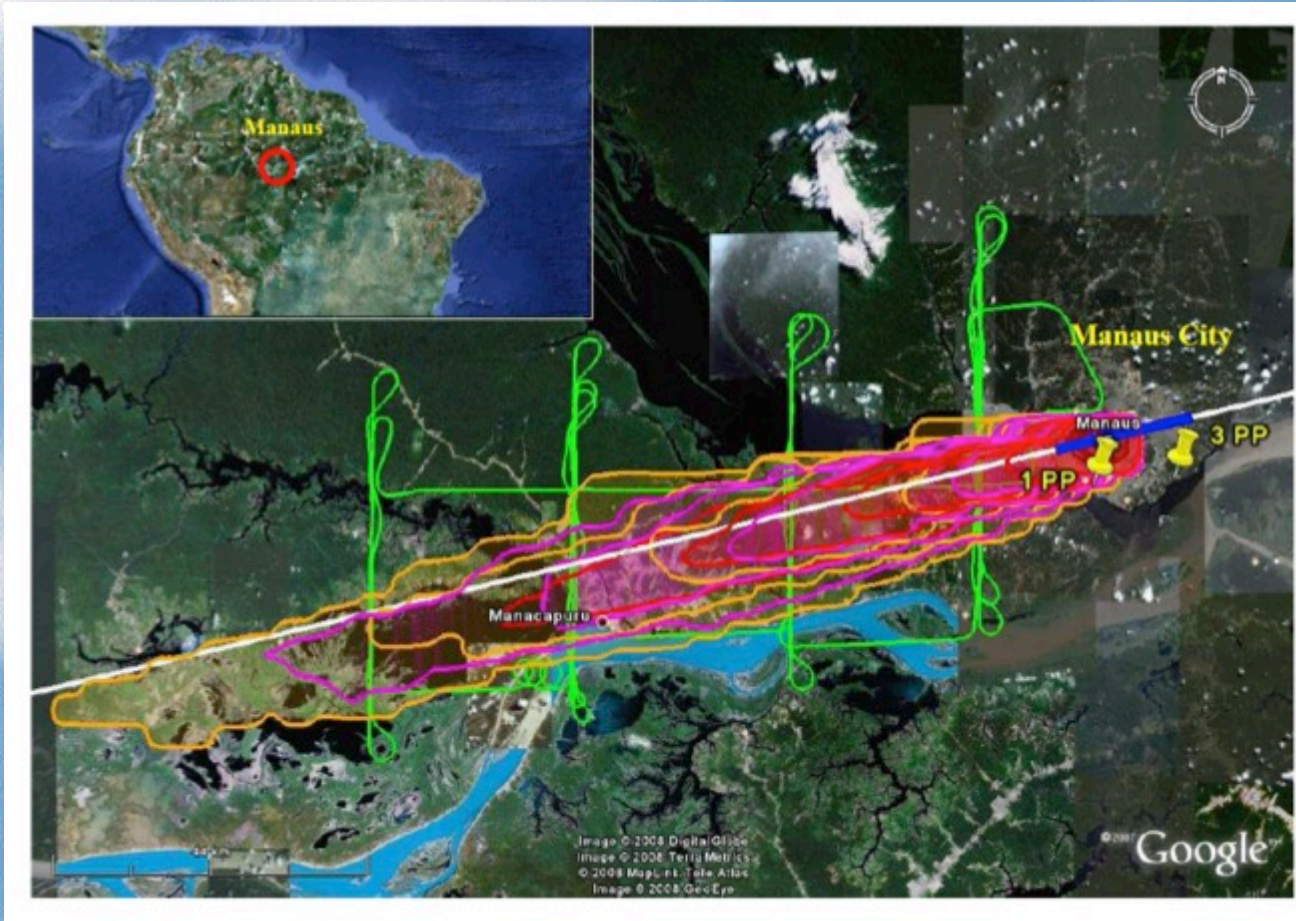




# GoAmazon Experiment 2014/15

7 ground sites (before at and after Manaus plume)

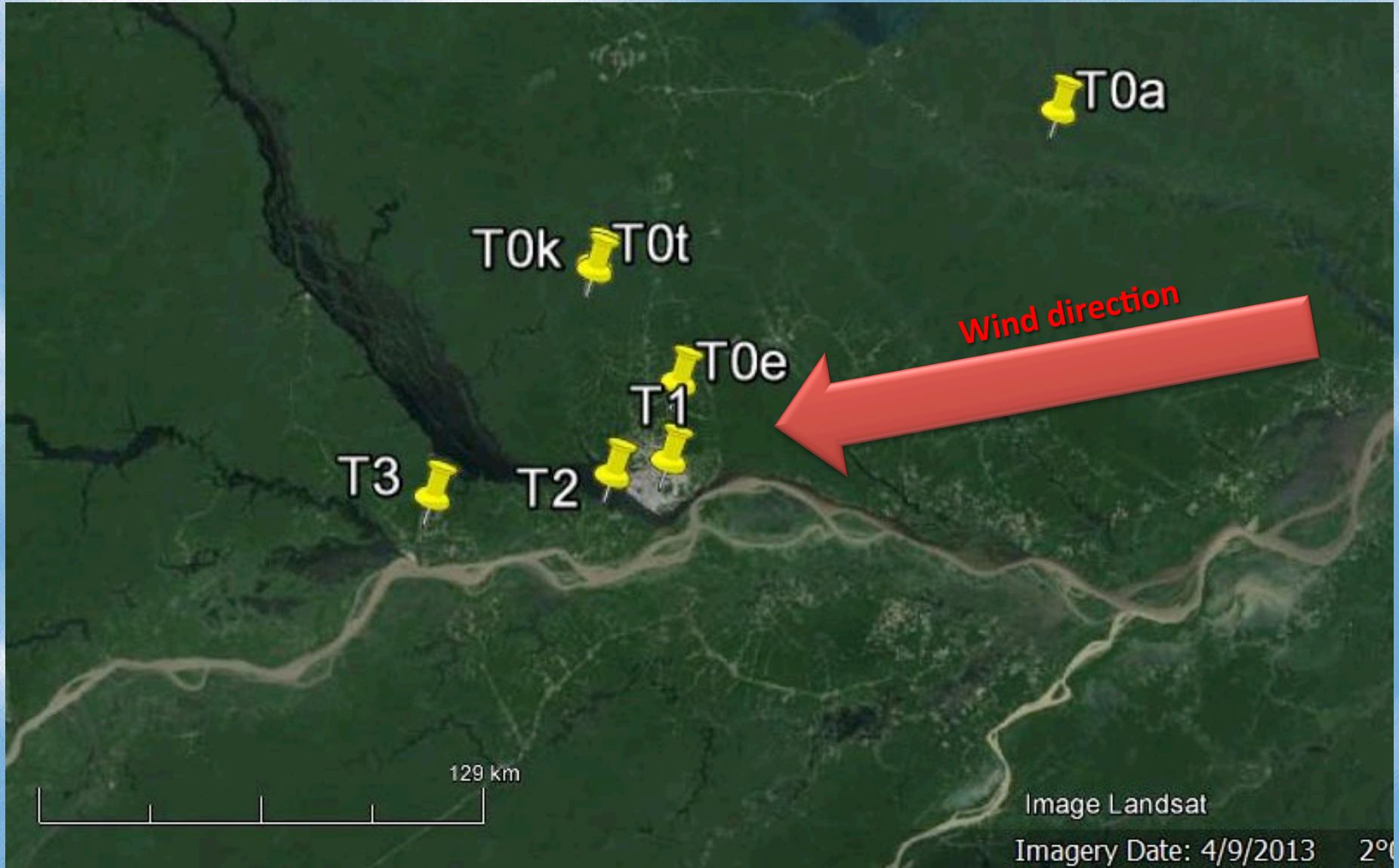
DoE G1 plane and the German G5 HALO plane for large scale



**Study of the interactions of the urban plume of Manaus with the forest, producing secondary organic aerosols, ozone and others**

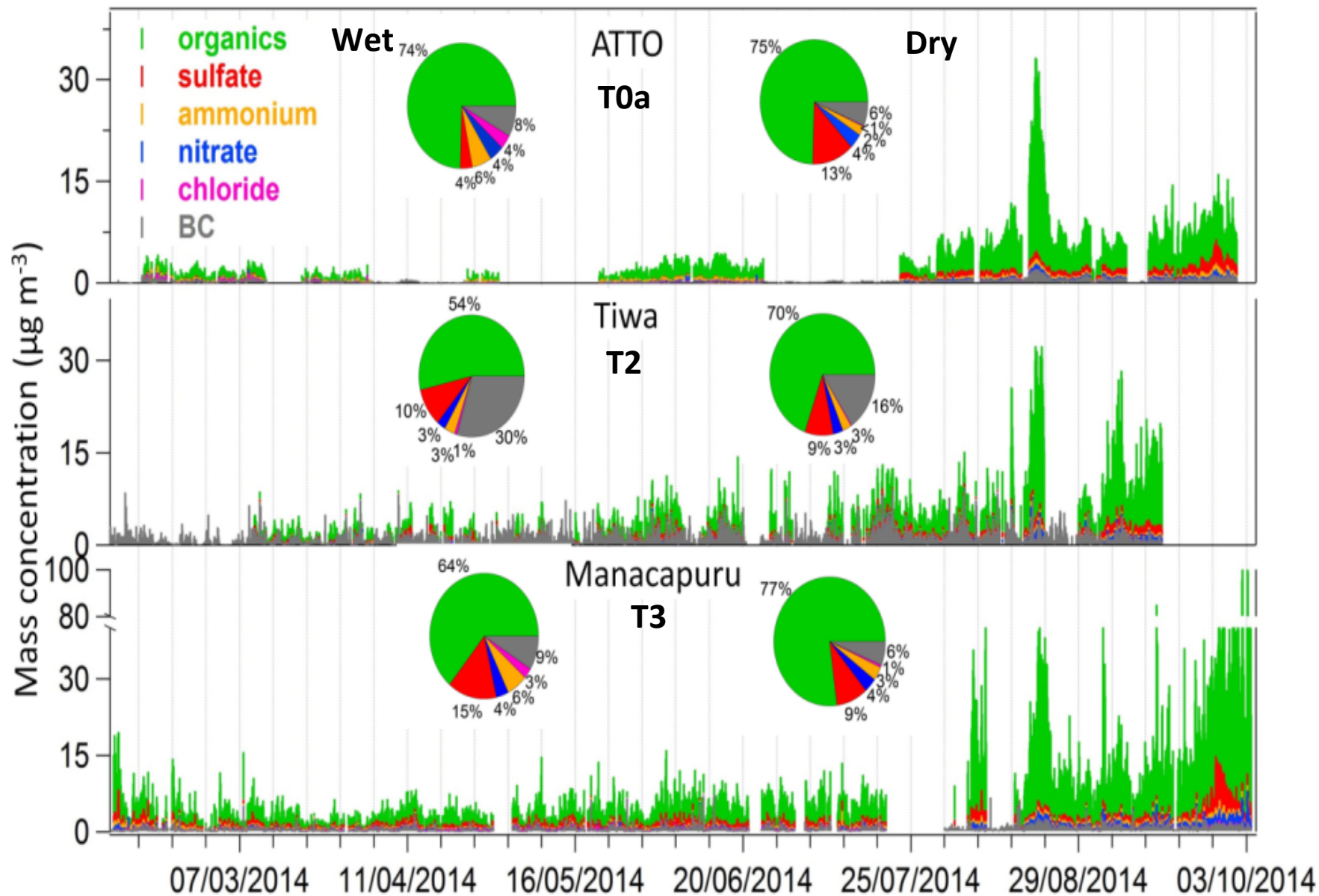


**Simple question:** How do atmospheric composition looks like at **T3** compared to **T0a**, **T0z** and **T2**?

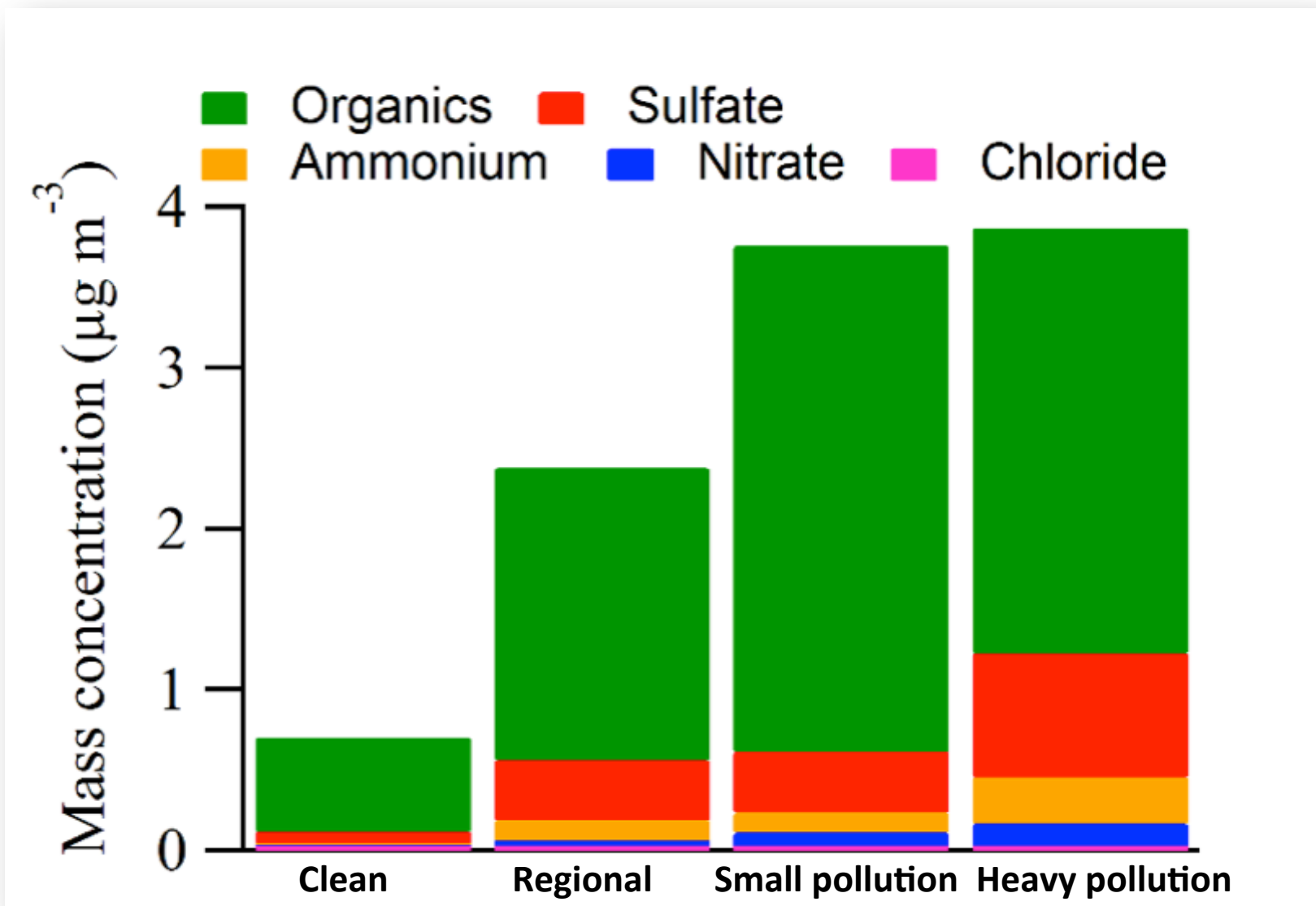




# Organic aerosols from ATTO to Tiwa and Manacapuru (with BC)



# Shifts in aerosols with anthrop. influences



**Increase in organic aerosols from 0.7 to 4  $\mu\text{g}/\text{m}^3$**



# Transport of Biomass Burning from Africa with 30% of sulfate in Amazonia?

## AC19 Pollution Layer, 2 cases

- Composition Plot:
- Main fraction again organic aerosol
  - **Enriched sulfate fraction**



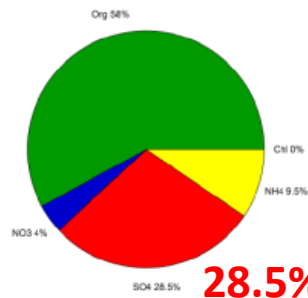
Fossil fuel  
or  
Biomass  
burning?

### f43/f44 Plot:

- Oxidized organic aerosol, **more oxidized than BB organic aerosol**
- Some indications for f60 (=BB), but mostly this marker does not appear

AC19  
C-ToF-AMS  
Pollution Layer  
Case 1  
16:17 - 16:37 UTC

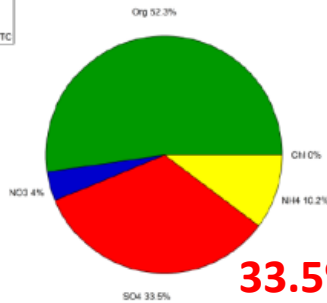
Case1



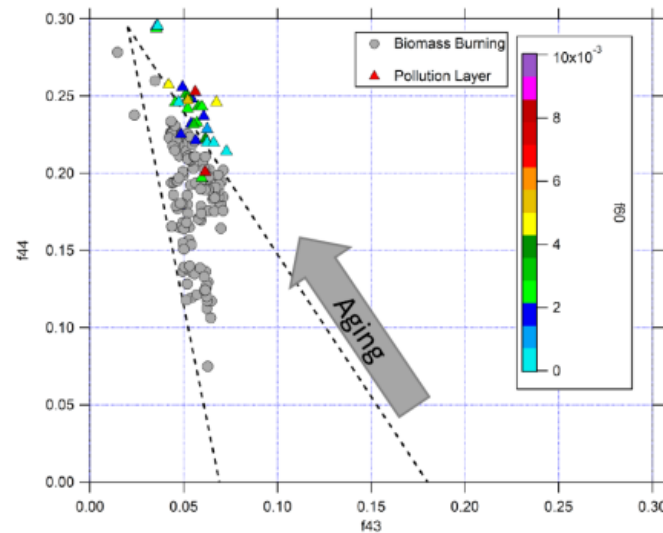
**28.5% SO4**

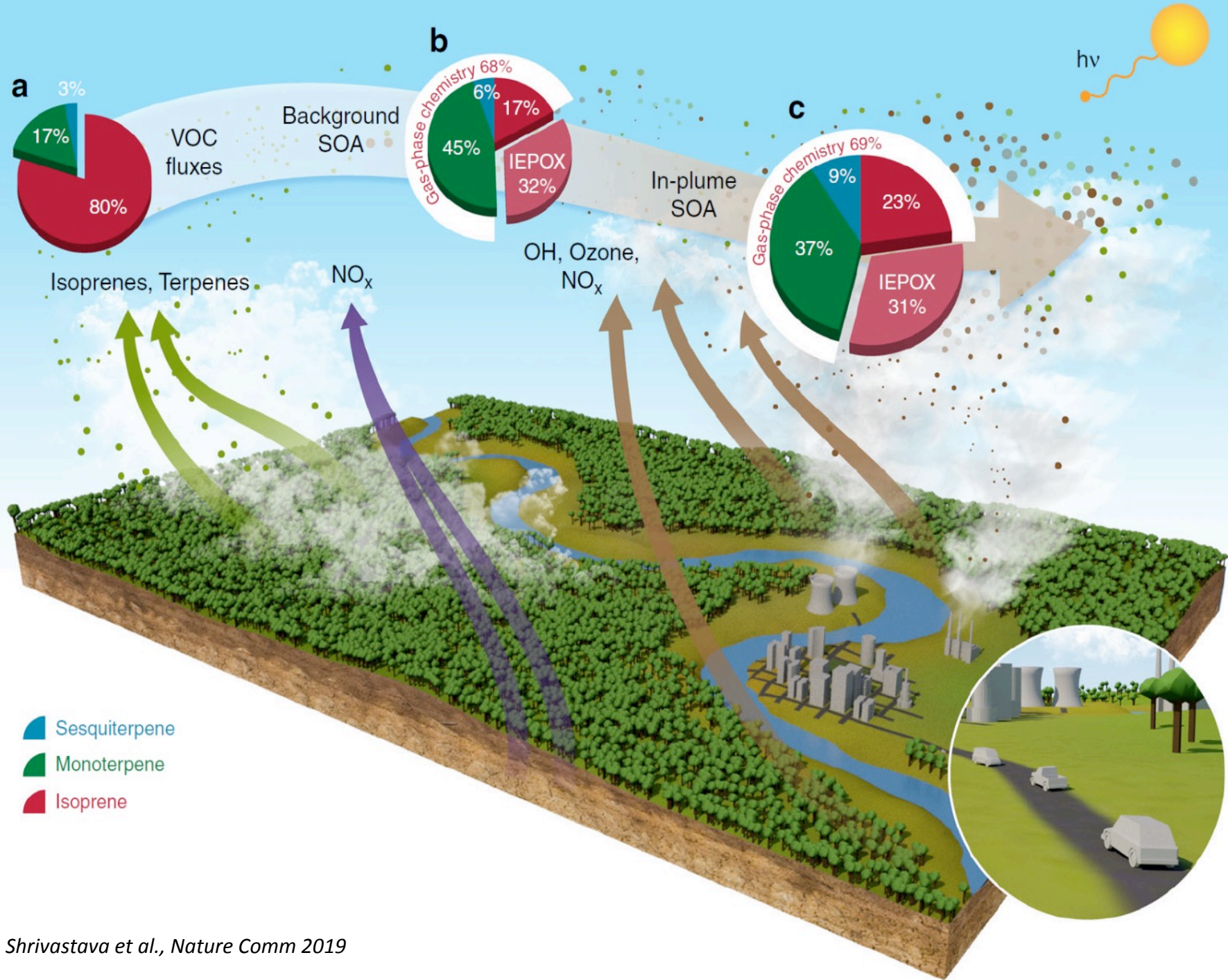
AC19  
C-ToF-AMS  
Pollution Layer  
Case 2  
16:50 - 16:59 UTC

Case2



**33.5% SO4**

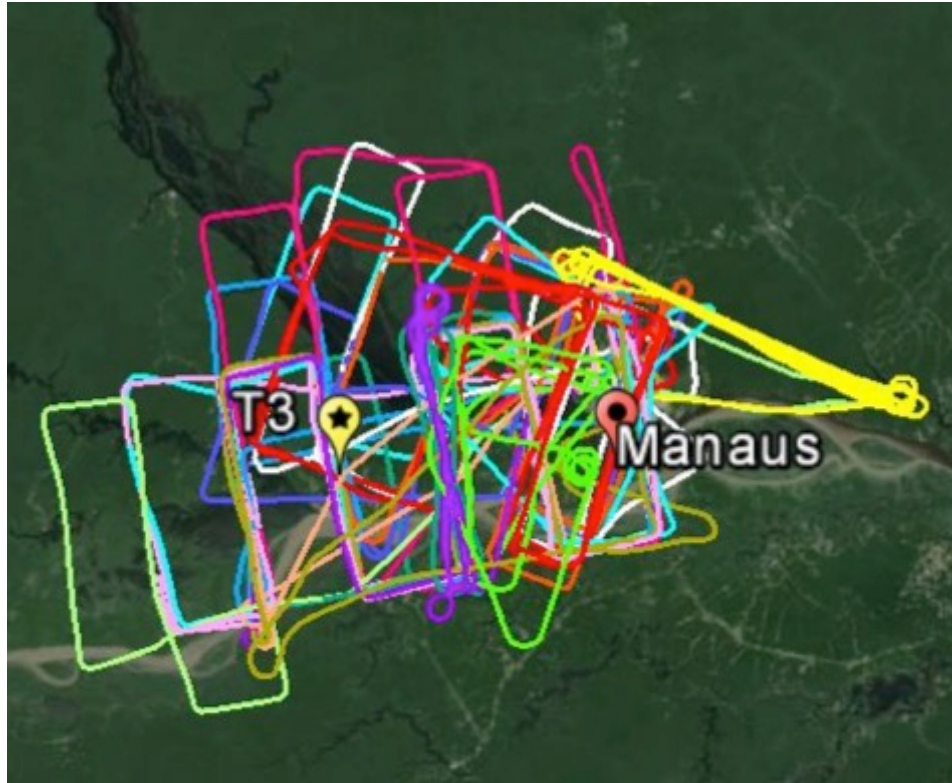






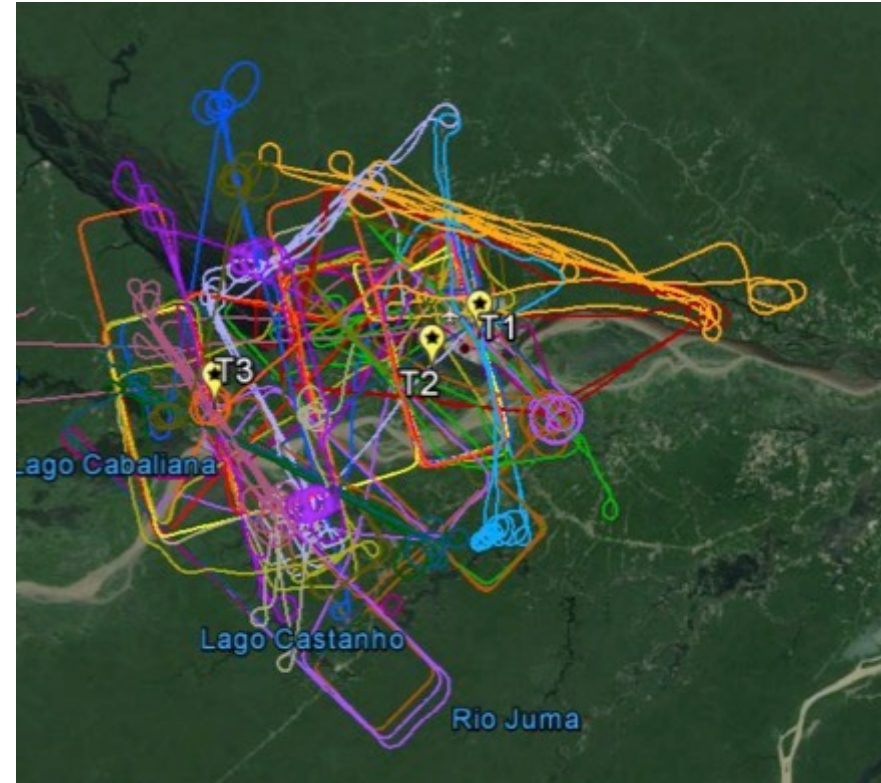
# G-1 Flight Paths during GoAmazon

## Phase 1 (Wet season)



16 flights – 42.8 hours  
Feb 15<sup>th</sup> - March 26<sup>st</sup>, 2014

## Phase 2 (dry season)



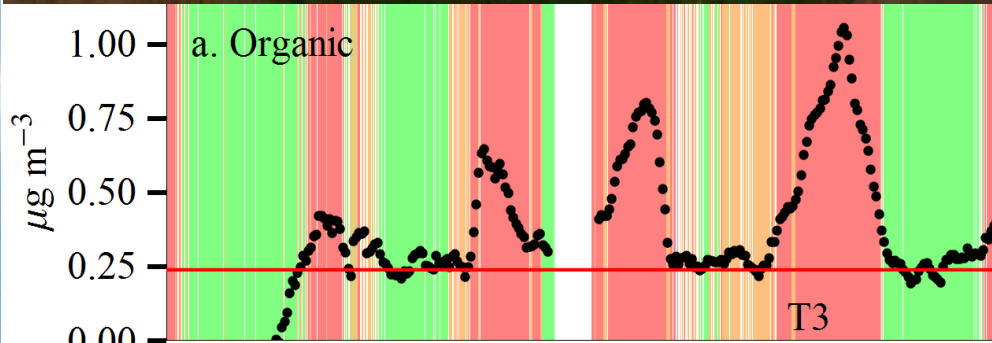
19 flights – 53.7 hours  
Sep 1<sup>st</sup> - Oct 10<sup>th</sup>, 2014

# G-1 Flight Paths during GoAmazon

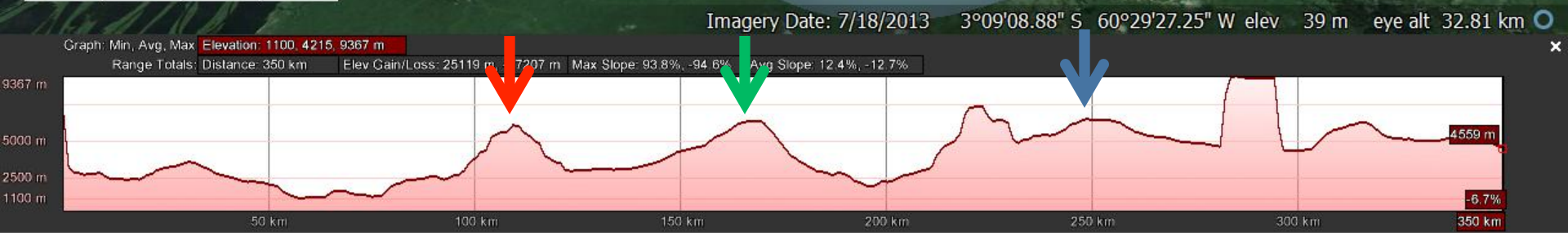
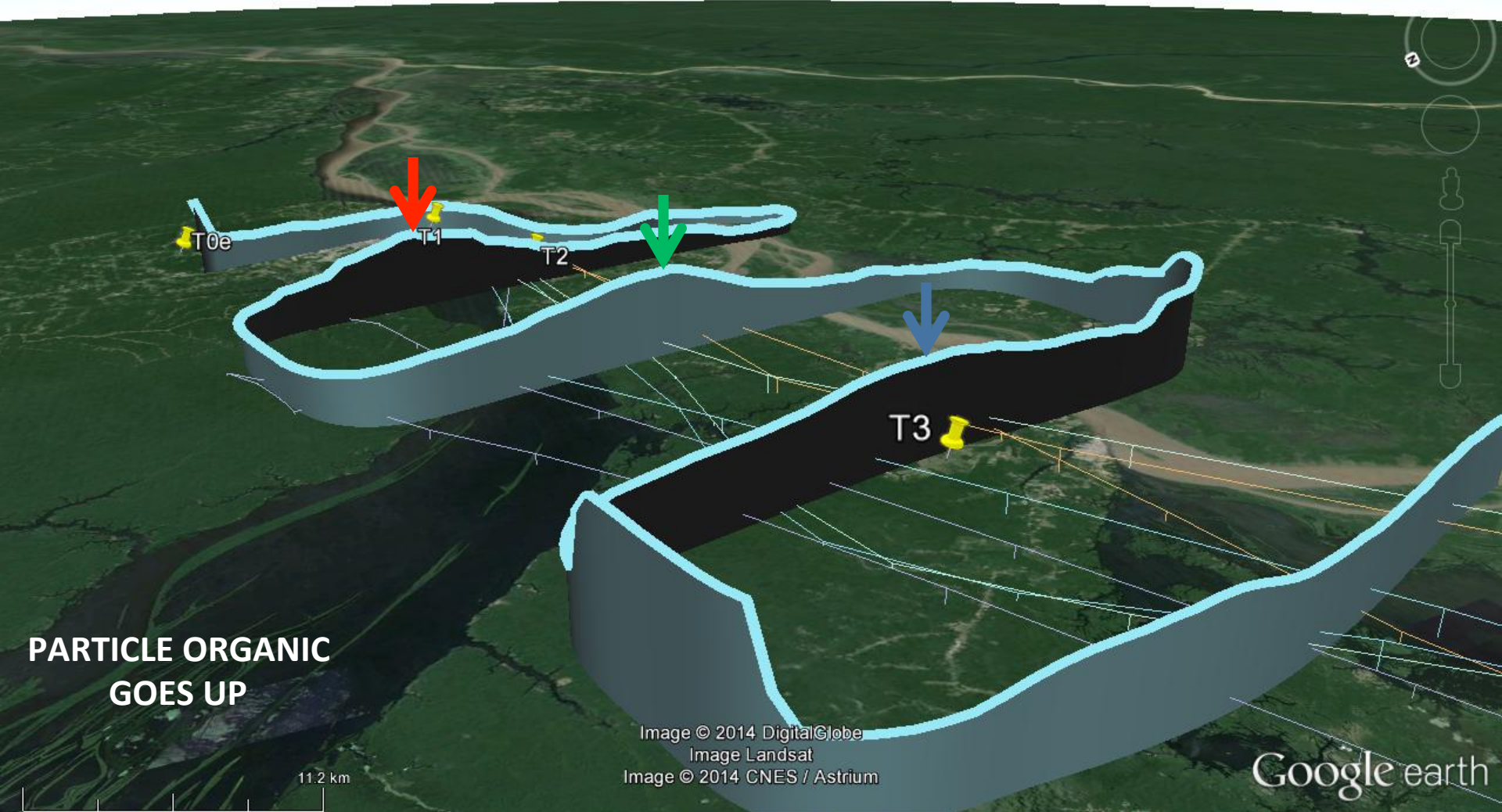
Aerial View of T3



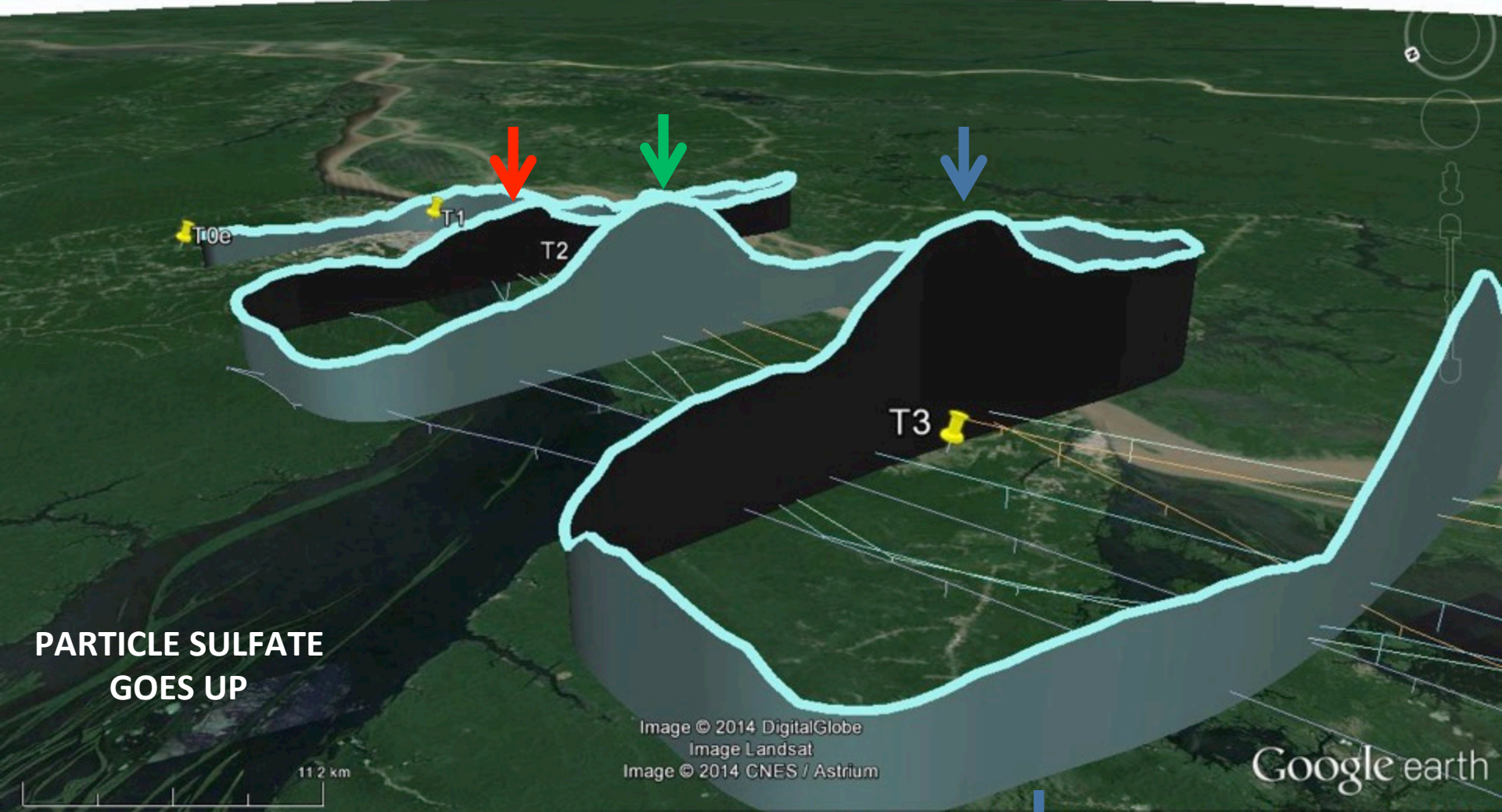
a. IOP1











**PARTICLE SULFATE  
GOES UP**

Image © 2014 DigitalGlobe  
Image Landsat  
Image © 2014 CNES / Astrium

Google earth

Imagery Date: 7/18/2013 3°09'08.06" S 10°29'28.81" W elev 39 m eye alt 32.79 km

Graph: Min, Avg, Max Elevation: 1508, 6131, 15044 m  
Range Totals: Distance: 356 km Elev Gain/Loss: 4700 m, -41214 m Max Slope: 75.4%, -74.9% Slope: 23.2%, -21.0%





# GoAmazon Large scale measurements



G5 HALO plane - “High Altitude and Long Range Research Aircraft”.

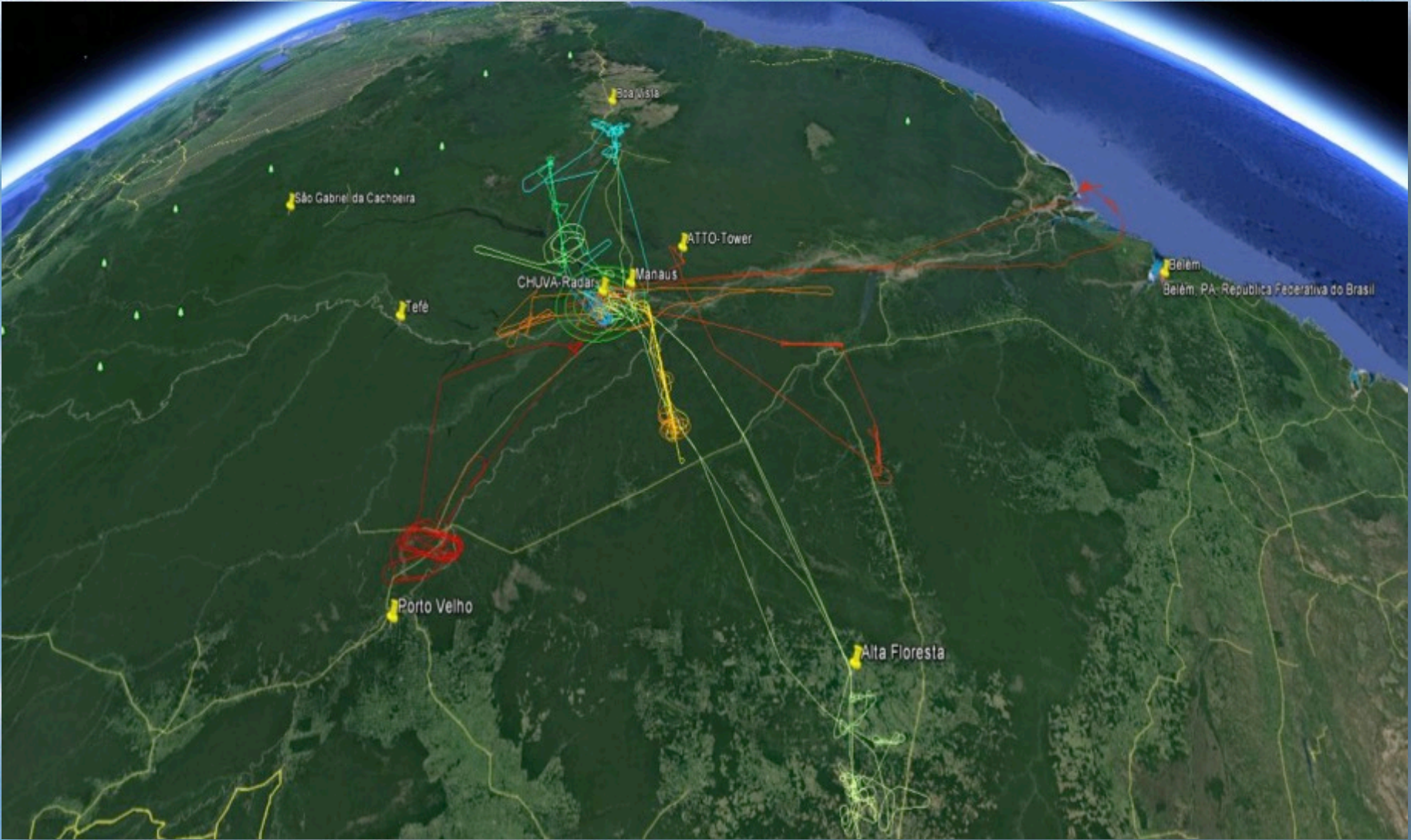


DoE G1 plane in two campaigns at wet and dry seasons



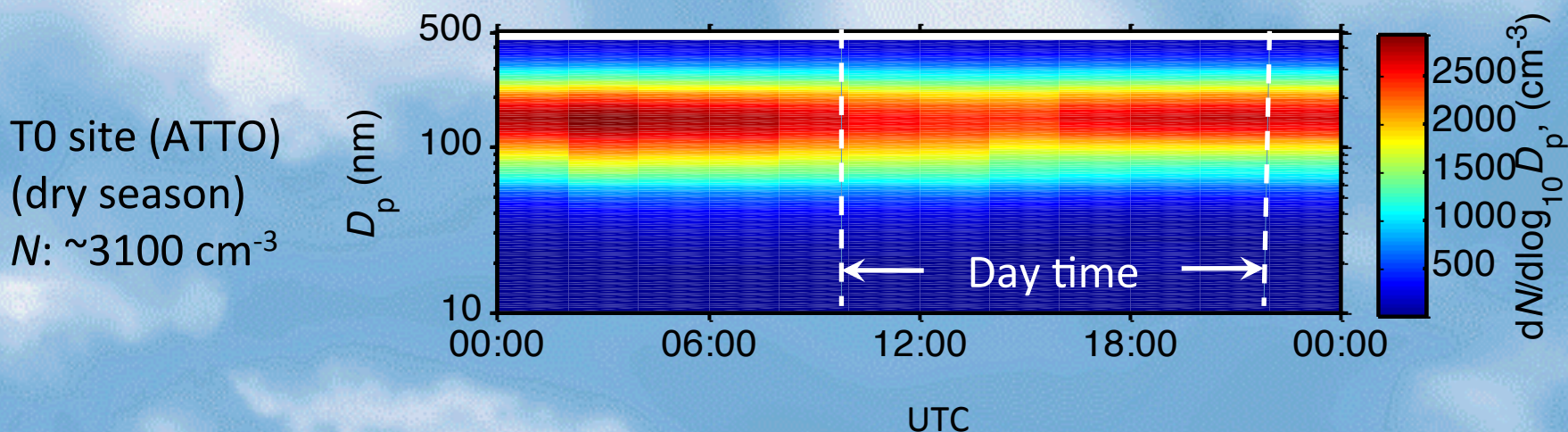
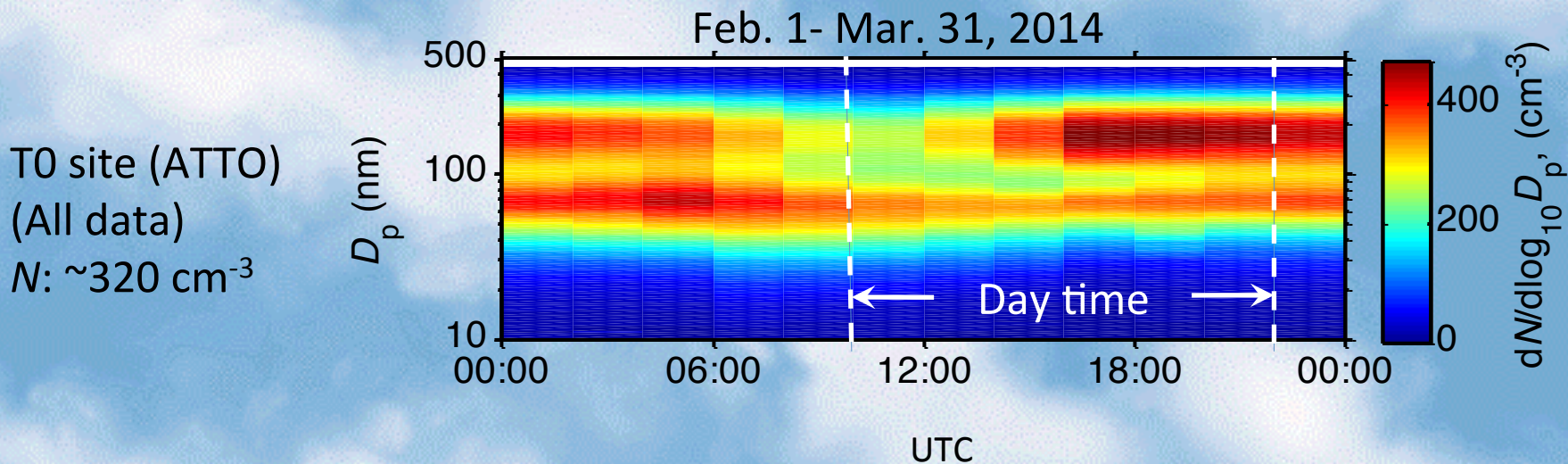


# ACRIDICON Flights G5-HALO plane dry season 2014

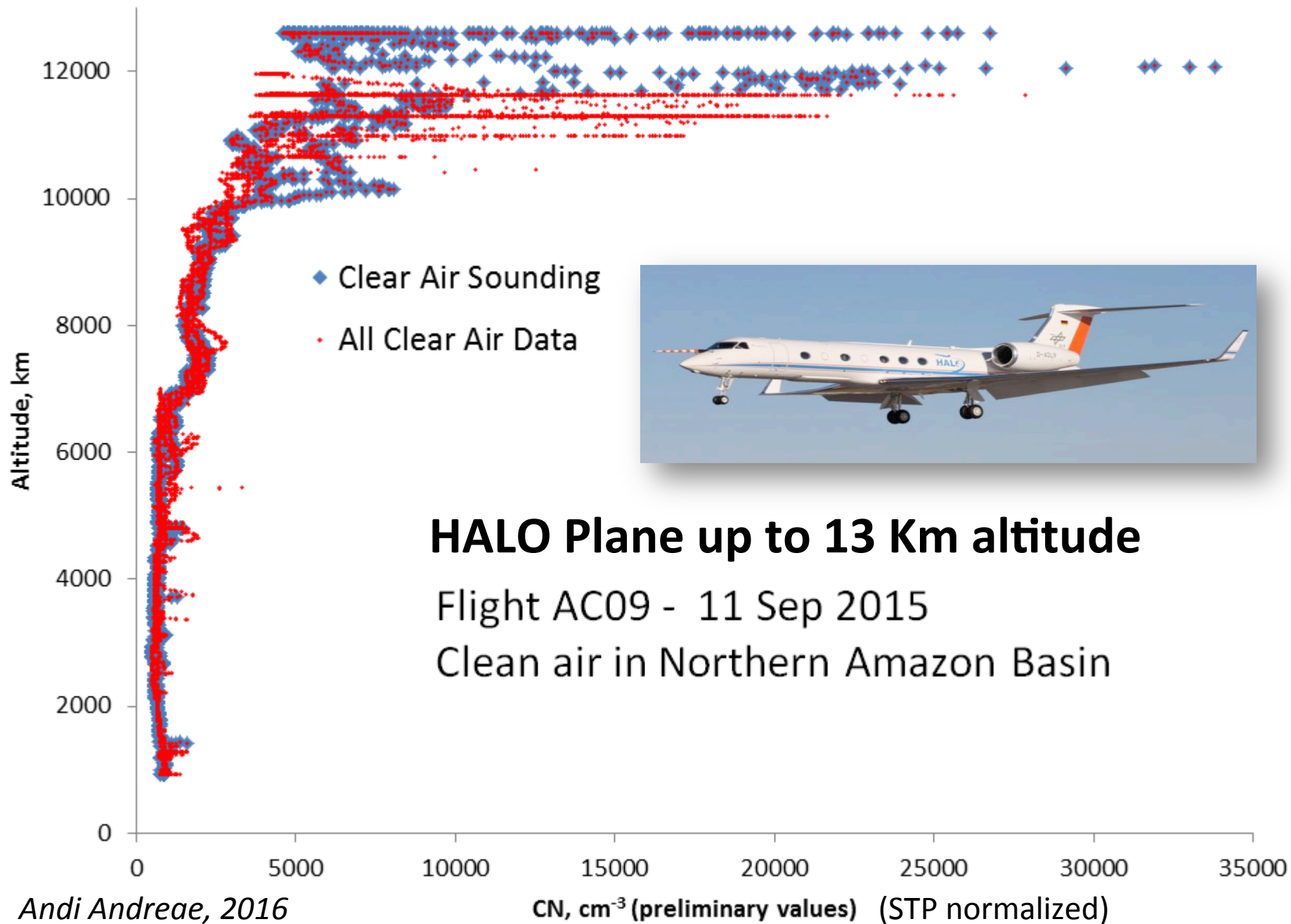




# How particles are produced in Amazonia?



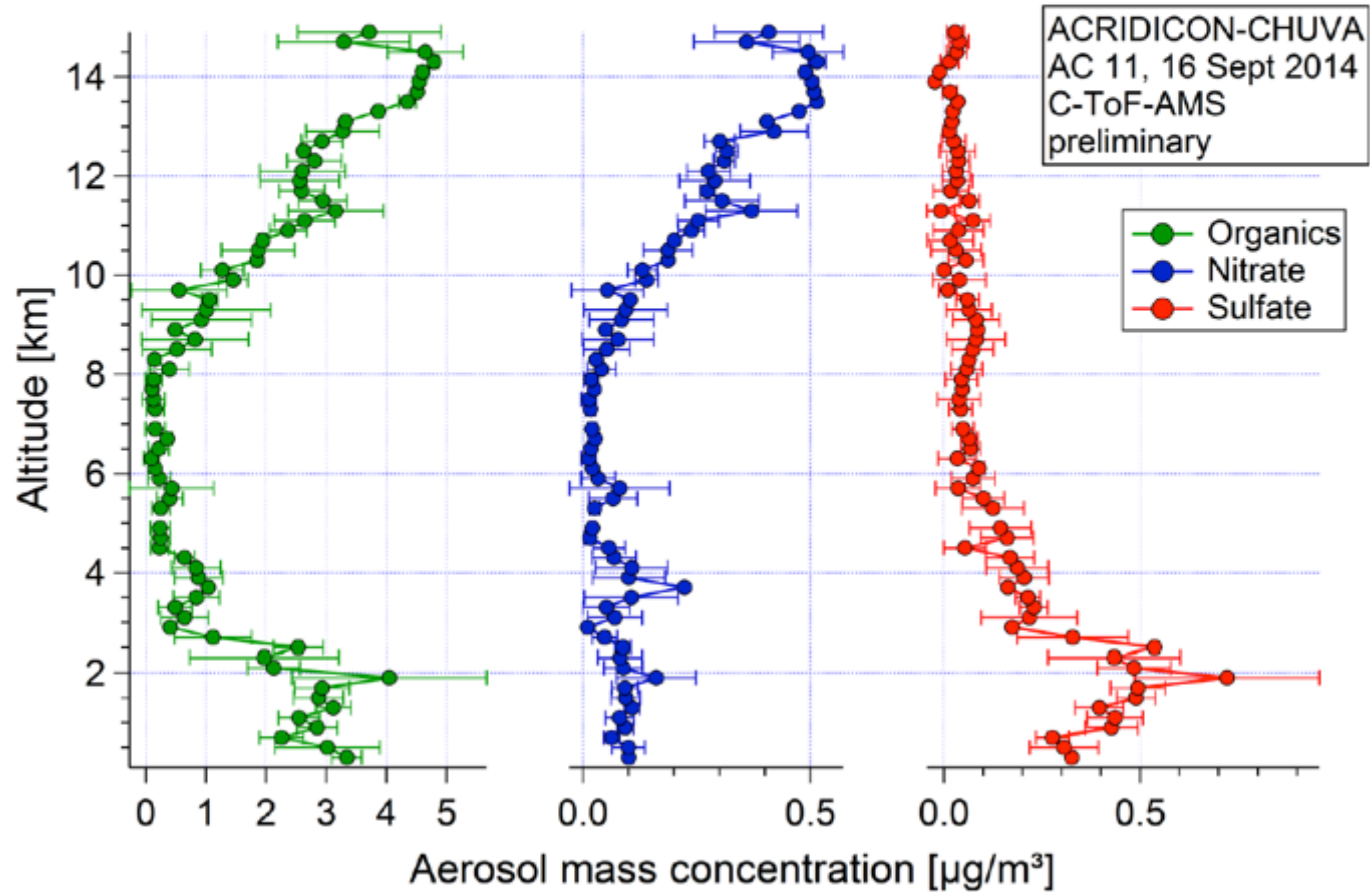
**It rains a lot. Removal very high. How the particles are formed?**



Andi Andreae, 2016



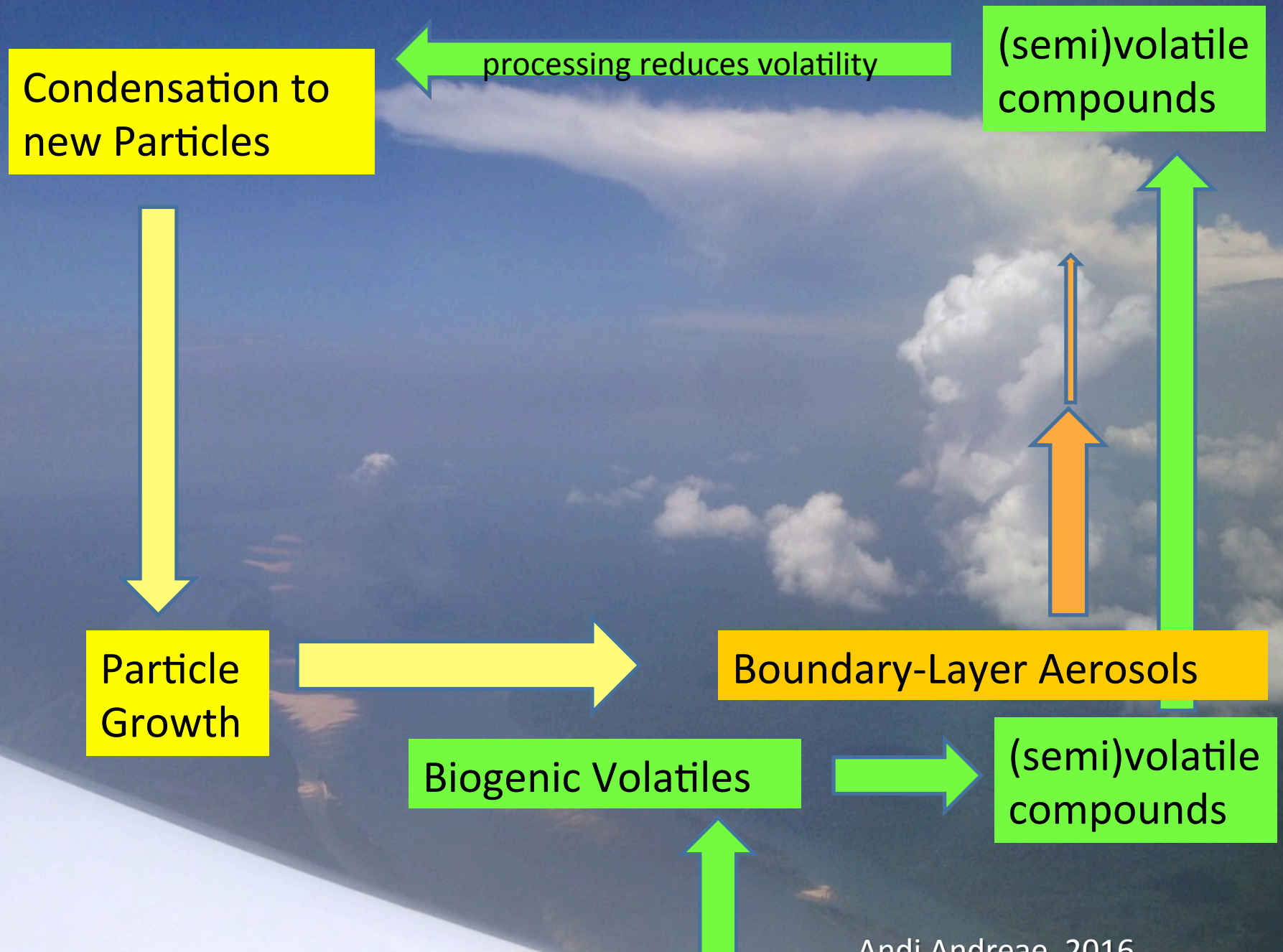
# AMS Aerosol composition: Organics and nitrates. No sulfates



*Andreae et al., 2017*

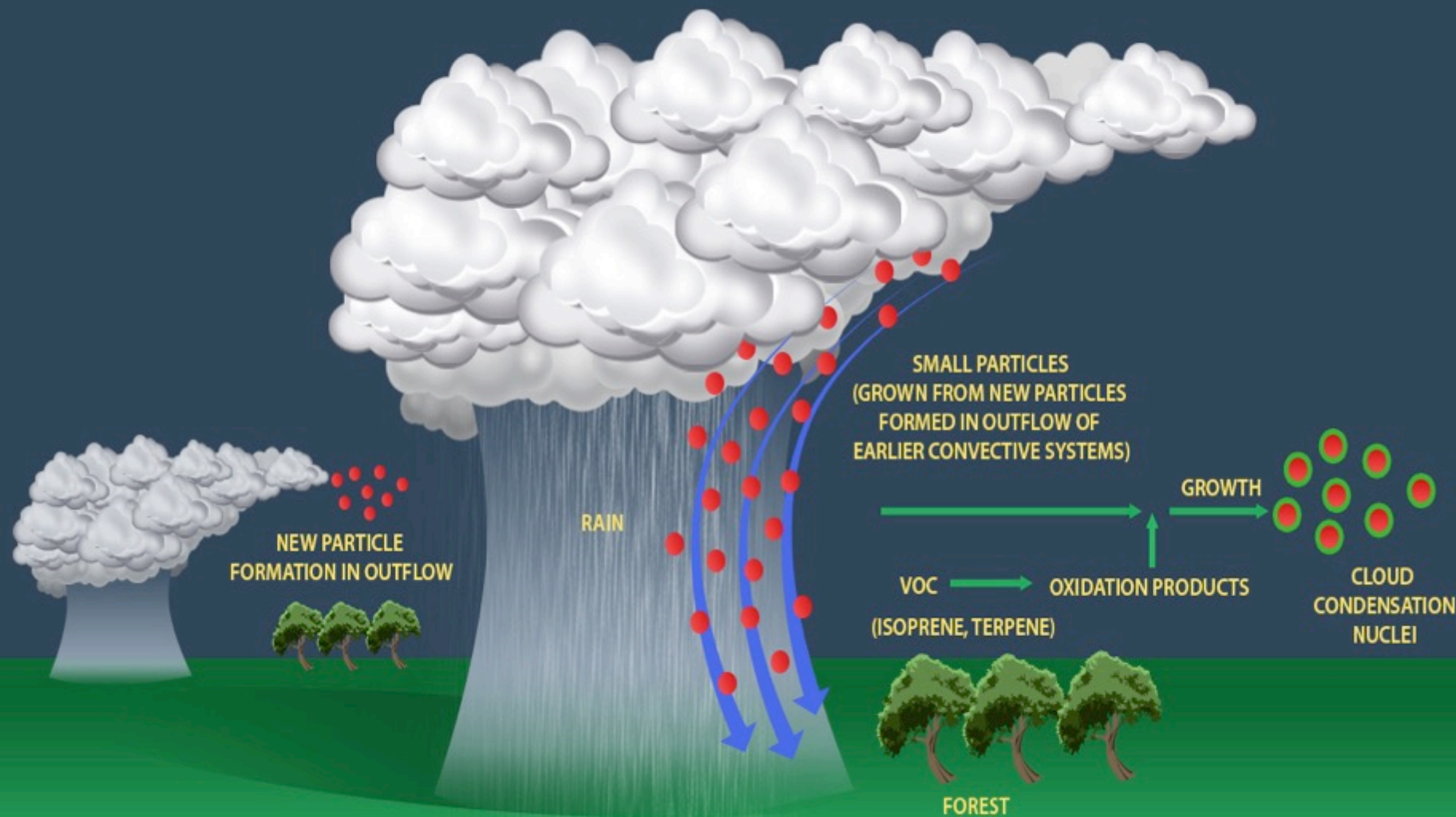


# Biogenic organic aerosol formation at low $H_2SO_4$ happens in UT!

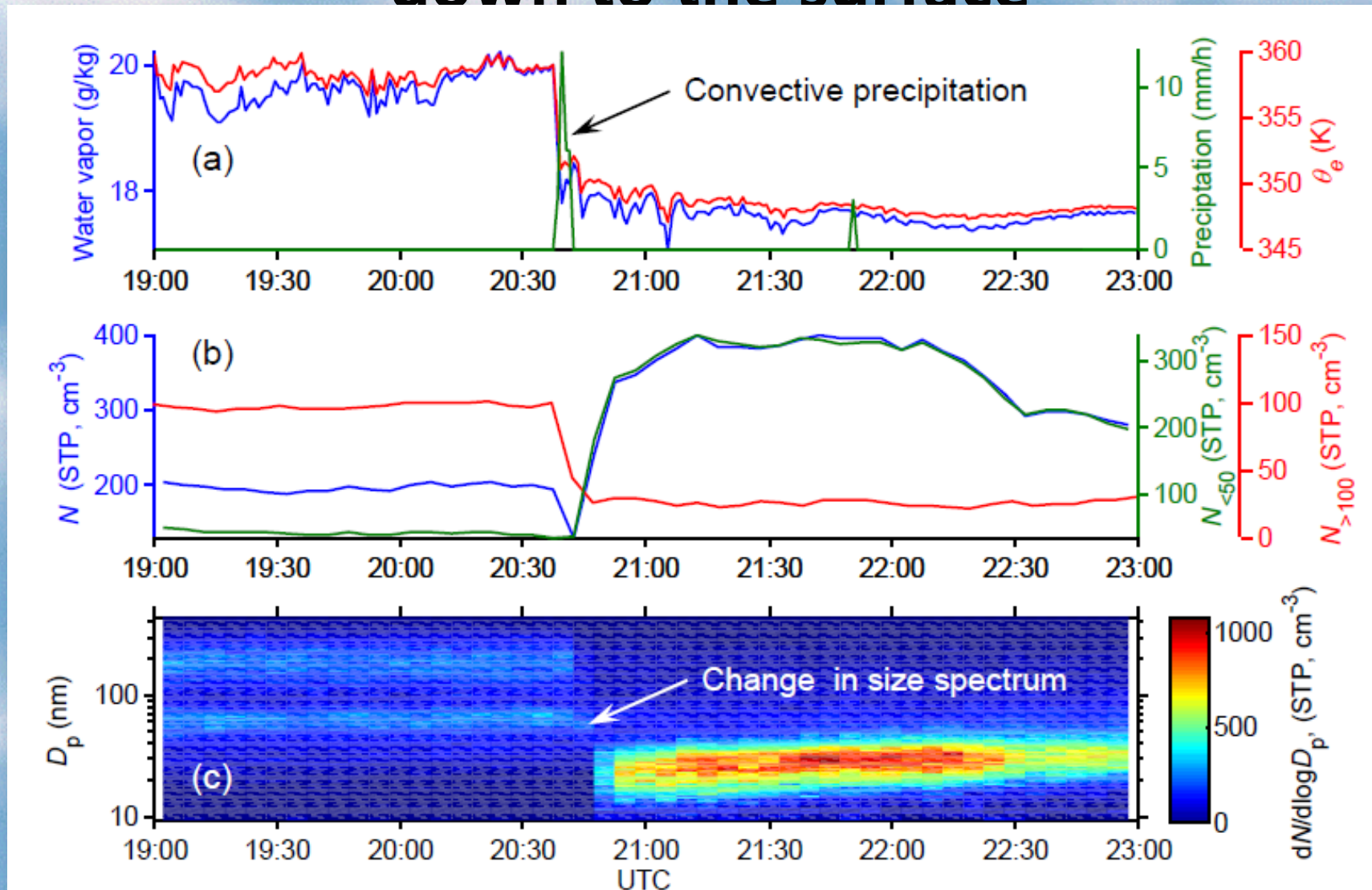




# Clouds as active aerosol processors in the atmosphere



# Convective precipitation brings these particles down to the surface





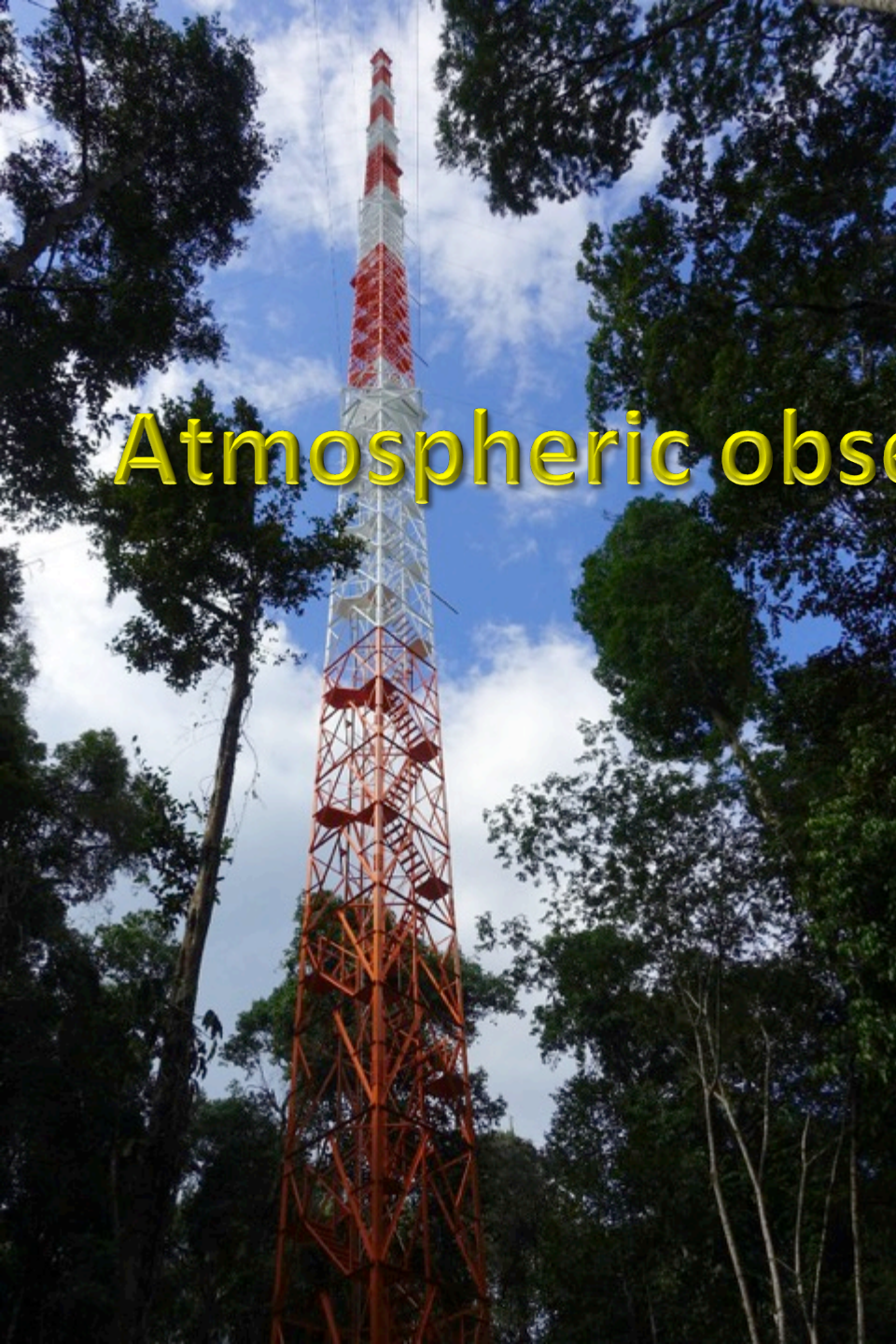


**ATTO Tower:  
Permanent  
observatory  
at 325  
meters height**





# Atmospheric observations at ATTO







***Amazonia is key to  
global sustainability***

***Thanks!!!***