

Chapter 1: Current Status and Past Trends of the Global Carbon Cycle

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Abstract

Processes relevant to the carbon cycle span the range of scales from molecular to global. They also include a wide range of biological, chemical and physical phenomena, as well as interactions between humans and the environment. To better comprehend and predict potential global carbon cycle changes in the future, we must first appreciate how the system is operating today. Our understanding of the current carbon cycle is improving very rapidly thanks to aggressive research in this field. This chapter examines our current understanding of the global carbon cycle and the processes relevant to controlling atmospheric CO₂ concentrations.

The third assessment report of the IPCC recently compiled a basic global carbon budget [Prentice et al., 2001]. While that budget reflected the state-of-the-art at that time, this chapter presents a revised budget based on new information from model studies and oceanographic observations. Although the basic global budget provides some indication of the overall carbon balance between the major active reservoirs of land, atmosphere, and ocean, it does not adequately reflect the multitude of exchange fluxes or processes responsible for this global budget. A somewhat more comprehensive representation of the connections between the reservoirs together with our current understanding of the biogeochemical processes and human driving forces controlling these exchanges is presented in this chapter.

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1 **Introduction**

2 In a global, long-term perspective, variations of the atmospheric CO₂ content document
3 the magnitude and speed of climate driven variations, such as the glacial – interglacial cycles
4 (~100 ppm over 420,000 years), but these observations also document a remarkable stability
5 with variations in atmospheric CO₂ of <20 ppm during at least the last 11,000 years prior to the
6 anthropogenic perturbation [Joos and Prentice, this volume]. In this longer-term context, the
7 anthropogenic increase of ~100 ppm during the last 200 years is a dramatic alteration of the
8 global carbon cycle. This atmospheric increase is also a graphic documentation of profound
9 changes in human activity. The atmospheric record documents the earth system’s response to
10 fossil fuel releases that increased by more than 1200% between 1900 and 1999 [Nakicenovic,
11 this volume].

12 Processes relevant to the carbon cycle span the range of scales from molecular to global.
13 They also include a wide range of biological, chemical and physical phenomena, as well as
14 interactions between humans and the environment. Studies of the carbon cycle must adequately
15 address the full range of these issues. In order to understand and predict future changes in the
16 global carbon cycle, we must first understand how the system is operating today. In many cases,
17 the current fluxes of carbon are a direct result of past processes affecting these fluxes [Nabuurs,
18 2003]. Thus, it is important to understand the current carbon cycle in the context of how the
19 system has evolved over time. This chapter presents a brief overview of the current carbon cycle,
20 highlighting the principle connections between the land, ocean, atmosphere and humans. The key
21 processes relevant to controlling atmospheric concentrations of CO₂ and relevant non-CO₂ gases
22 (e.g. CH₄, N₂O) that may be susceptible to changes in the future, either through deliberate
23 management or as direct and indirect consequences of global change, are also introduced here.
24

25 **The Global Carbon Budget**

26 On time scales of up to a few thousand years, atmospheric CO₂ is controlled by
27 exchanges with the carbon reservoirs of the oceans and the terrestrial biosphere. The global
28 budget of atmospheric carbon dioxide quantifies the relative importance of these two reservoirs
29 and the budget uncertainty reflects our understanding of the exchanges between these reservoirs.
30 Table 1.1, section 1 shows the global budget recently compiled by the IPCC Third Assessment
31 Report [Prentice et al., 2001]. This assessment primarily uses the partitioning of the uptake into

1 net terrestrial and oceanic components as determined by observations of the concurrent global
2 trends of atmospheric CO₂ and oxygen. Since the compilation of the IPCC report, new evidence
3 from model studies and oceanographic observations indicates that this budget has to be slightly
4 revised because of a previously ignored oceanic oxygen out-gassing flux. This flux is the result
5 of enhanced oceanic stratification as inferred from observed increases in oceanic heat content
6 [LeQuéré et al., 2003]. The revised values are presented in section 2 of Table 1.1.

7 The breakdown of the net land-atmosphere flux through the 1990s into emissions from
8 changes in land use and a residual terrestrial sink, based on the updated land use change
9 emissions of Houghton [2003], is given in section 3 of Table 1.1. This breakdown has recently
10 been challenged based on new estimates of land use change determined from remote sensing data
11 (Table 1.1, sections 4 & 5). These new estimates lie at the lower end of the stated uncertainty
12 range of the estimates based on data reported by individual countries [Houghton, 2003]. If
13 correct, they imply a residual terrestrial sink in the 1990s that is about 40% smaller than previous
14 estimates.

15 The basic budget, of course, does not attempt to represent the richness of the global
16 carbon cycle. The land-atmosphere-ocean system is connected by a multitude of exchange
17 fluxes. The dynamical behavior of this system is determined by the relative sizes of the different
18 reservoirs and fluxes, together with the biogeochemical processes and human driving forces
19 controlling these exchanges. Figure 1.1 shows the globally aggregated layout of the carbon cycle
20 together with the pools and exchange fluxes that are relevant on time scales of up to a few
21 millennia. Panel “a” in figure 1.1 presents a basic picture of the global carbon cycle including the
22 preindustrial (black) and anthropogenic (red) ocean-atmosphere and land-atmosphere exchange
23 fluxes. The anthropogenic fluxes represent average values for the 1980s and 1990s. Panel “a”
24 also shows components of the long-term geological cycle and the composite estimates of CO₂
25 emissions from geological reservoirs (i.e. fossil fuels and the production of lime for cement).
26 Panels “b” and “c” provide more detailed pictures of the ocean and terrestrial fluxes,
27 respectively. Individual component pools and fluxes, including key climatic and anthropogenic
28 drivers, are discussed in the sections below and in subsequent chapters.

29 Although figure 1.1 primarily focuses on fluxes directly related to CO₂, a number of non-
30 CO₂ trace gases also play significant roles in the global carbon cycle (e.g. CO, CH₄, non-
31 methane hydrocarbons) and/or climate forcing (e.g. CH₄, N₂O, chlorofluorocarbons). The global

1 cycles of these trace gases, which share many of the processes driving the CO₂ cycle, are briefly
2 discussed below.

3

4 **Reservoir Connections**

5 The background chapters in this volume discuss the various carbon reservoirs and the
6 processes relevant to controlling atmospheric CO₂ and related trace gas concentrations. To
7 appreciate the Earth's carbon cycle and its evolution, it is necessary to examine the connections
8 between the various carbon pools. This must be done within a framework that provides an
9 integrated perspective across both disciplinary and geographic boundaries, with particular
10 emphasis on the carbon cycle as an integral part of the human-environment system.

11

12 *Fossil Fuel-Atmosphere Connections*

13 The world energy system delivered approximately 380 EJ (10¹⁸ J) of primary energy in
14 2002 [BP Statistical review of World Energy 2002]. Of this, 81% was derived from fossil fuels,
15 with the remainder from nuclear, hydroelectric, biomass, wind, solar, and geothermal energy
16 sources (Figure 1.2). The fossil fuel component released 5.2 PgC in 1980 and 6.3 PgC in 2002
17 [CDIAC, 2003]. Cement production is the other major industrial release, which increased to
18 0.22 PgC in 1999. The combined release of 5.9 PgC shown in figure 1.1a represents an average
19 emission for the 1980s and 90s. Underground coal fires, which are poorly known and only partly
20 industrial, may be an additional as yet unaccounted for source of carbon to the atmosphere as
21 large as cement manufacturing [Whitehouse, 2003]. In terms of energy released, the current mix
22 of fossil fuels is approximately 44% oil, 28% coal, and 27% natural gas (figure 1.2). At current
23 rates of consumption, conventional reserves of coal, oil, and gas (those that can be economically
24 produced with current technology; see figure 1.1c) are sufficient to last 216, 40, and 62 years,
25 respectively [BP Statistical review of World Energy 2002]. If estimates of undiscovered oil and
26 gas fields are included with the conventional reserves oil and gas lifetimes increase to 101 and
27 142 years, respectively [Ahlbrandt et al., 2000].

28 Conventional reserves represent only a fraction of the total fossil carbon in the earth's
29 crust. For example, vast quantities of methane, exceeding all known fossil fuel reserves, exist in
30 the form of methane hydrates under continental shelf sediments around the world, in the Arctic
31 permafrost, and in various marginal seas. With current technology, however, these reserves do

1 not appear viable as a future energy source. The best estimates for total fossil resources that
2 might ultimately be recovered are in the range of 6000 Pg [Nakicenovic, this volume; figure 1.1]
3 or about 2.5 times the conventional reserve estimates.

4 Although not included in Table 1.1 or figure 1.1, combustion of fossil fuels also releases
5 a number of non-CO₂ carbon gases. In particular, carbon monoxide (CO) can be used as an
6 effective tracer of fossil fuel combustion in atmospheric gas measurements. The relative impact
7 of these gases is discussed in a later section.

8 9 *Land-Atmosphere Connections*

10 The exchange of carbon between the terrestrial biosphere and the atmosphere is a key
11 driver of the current carbon cycle. Global net primary production (NPP) by land plants is about
12 57 PgC yr⁻¹ (figure 1.1). Of this, about 4 PgC yr⁻¹ is in crops. Humans co-opt a much larger
13 fraction of terrestrial NPP, probably about 40%, where co-opting is defined as consuming,
14 removing some products from, or altering natural states of the terrestrial biosphere through
15 ecosystem changes [Vitousek et al., 1986]. Total NPP is approximately 40% of gross primary
16 production (GPP), with the remainder returned to the atmosphere through plant respiration. For
17 many purposes, NPP is the most useful summary of terrestrial plant activity. NPP can be
18 assessed with inventories and harvest techniques, and it represents the organic matter passed to
19 other trophic levels [Lindemann, 1941]. For other purposes, including isotope studies and
20 scaling from eddy flux, GPP is a more useful index.

21 Most of the annual flux in NPP is returned to the atmosphere through the respiration of
22 heterotrophs, including micro-organisms, saprophytes, and animals. A smaller fraction, 5-10%, is
23 released to the atmosphere through combustion (Figure 1.1). Approximately 5% of NPP leaves
24 land ecosystems in organic form, as CH₄ or volatile organic carbon [Prinn, this volume]. In
25 recent decades, the land was close to carbon neutral (in the 1980s) or a modest net sink (in the
26 1990s) for atmospheric CO₂ (Table 1.1). This net flux represents a balance between substantial
27 emissions from biomass clearing or fires and enhanced uptake as a physiological response to
28 rising CO₂ or the re-growth of previously cleared areas.

29 Land plants contain slightly less carbon than the atmosphere. Soils contain substantially
30 more (Figure 1.1). The estimates for soil carbon in figure 1.1 are higher than shown in previous
31 budgets for two reasons. First, previous budgets estimated soil carbon to a depth of 1 m.

1 Jobaggy and Jackson [2000] extended these to 3 m, adding about 55% to the known stock.
2 Second, previous budgets have ignored carbon in wetland and permanently frozen soils. The
3 exact magnitudes of these stocks are very uncertain. However, the potential for substantial
4 carbon losses from these stocks in coming decades is a strong incentive for careful quantification
5 and further analysis.

6 Tropical forests contain the largest carbon pool of terrestrial biota, and also the largest
7 NPP (see table 1.2) [Saugier et al., 2001]. Averaged over several years, tropical forests
8 traditionally have been believed to be close to carbon neutral, with uptake from NPP balanced by
9 releases from decomposition and fire. The difference between NPP and the sum of all of the
10 processes that release carbon from the land is net ecosystem production (NEP). Recent studies
11 based on in situ flux measurements and forest inventory techniques indicate net carbon uptake or
12 positive NEP of 100 to 700 gC m⁻² yr⁻¹, corresponding to ~1 PgC yr⁻¹ across the tropical
13 evergreen forest biome. The duration and spatial scale of these studies is not yet extensive
14 enough for solid extrapolation. Still, the estimated uptake is in the proper range to compensate
15 for emissions from tropical land use [DeFries et al., 2002; Achard et al., 2002]. The tropical NEP
16 estimates, however, are also in the range of recent estimates of CO₂ releases from tropical rivers,
17 caused mainly by the decomposition of material transported from the land [Richey, this volume].
18 A full carbon accounting has yet to be accomplished, but these individual flux estimates need to
19 be reconciled with top-down estimates from atmospheric inverse modeling studies, which
20 indicate that the overall net carbon balance of the tropical land areas (30°S-30°N) must be close
21 to zero, albeit with large uncertainty ranges [Gurney et al., 2002, Heimann et al., this volume].

22 Temperate forests cover about 60% of the area of tropical forests and contain the second
23 largest pool of plant carbon. Together, tropical and boreal forests account for approximately 75%
24 of the world's plant carbon and for, 31% of the non-wetland, non-frozen soil carbon (Table 1.2).

25 Carbon emissions from land use and land management have increased dramatically over
26 the last two centuries resulting from the expansion of crop land and pasture, infrastructure
27 extension and other effects driven by market growth, pro-deforestation policies, and
28 demographic pressures [Geist et. al., 2001]. Prior to about 1950, carbon emissions from land
29 use change were mainly from temperate regions. In recent decades, however, carbon releases
30 from land use change have been concentrated in the tropics [Achard et al., 2002; DeFries et al.,
31 2002; Houghton, 2003]. Cumulative emissions from land use, estimated at approximately 185

1 PgC, entail substantial fluxes from every continent except Antarctica [DeFries et al., 1999].
2 Prior to about 1910, cumulative emissions from land use and land management were larger than
3 cumulative emissions from fossil fuel combustion.

4 As with fossil fuel combustion, carbon is lost from terrestrial vegetation in a variety of
5 non-CO₂ gases including biogenic VOCs (volatile organic compounds). Globally VOC
6 emissions are 0.2 to 1.4 PgC yr⁻¹ [Prinn, this volume]. For grassland and cultivated land, large
7 emissions of other greenhouse gases such as N₂O, CH₄ and other gases can also occur. These
8 emissions are strongly affected by land management and land use change [Smith, this volume;
9 Robertson, this volume].

10

11 *Ocean-Atmosphere Connections*

12 The oceans contain about 50 times more CO₂ than the atmosphere and 6 times more than
13 the latest estimates of the plant and soil carbon stores (figure 1.1). CO₂ moves between the
14 atmosphere and the ocean by molecular diffusion when there is a difference between the CO₂ gas
15 pressure (pCO₂) in the oceans and the atmosphere. For example, when the atmospheric pCO₂ is
16 higher than the surface ocean pCO₂, CO₂ diffuses across the air-sea boundary into the seawater.
17 Based on about 940,000 measurements of surface water pCO₂ obtained since the 1960's, the
18 climatological, monthly distribution of pCO₂ in the global surface waters has been calculated
19 with a spatial resolution of 4° x 5° [Takahashi et al., 2002]. These estimates indicate a net global
20 air-sea flux of 2.2 (-19% to +22%) PgC into the ocean for the reference year 1995 (Figure 1.3).
21 The asymmetrical error estimates reflect the paucity of data relative to the spatial and temporal
22 variability in sea surface pCO₂ concentrations and limitations in the non-linear wind speed
23 parameterizations for the gas exchange coefficient. Ocean models and observations suggest that
24 the interannual variability in the global ocean CO₂ flux is around ±0.5 PgC yr⁻¹ [Greenblatt and
25 Sarmiento, this volume].

26 Isotopic evidence suggests that the gross exchanges of CO₂ across the air-sea interface, as
27 shown in figure 1.1, are much larger than the net flux. The global budget presented in this figure
28 shows the preindustrial oceans as a net source of ~0.6 PgC yr⁻¹ to the atmosphere to partially
29 offset the addition of carbon from rivers. The total net modern flux of Takahashi et al. [2002] is
30 somewhat larger than the modern balance implied by figure 1.1 (21.9-20 +70-70.6 = 1.4 PgC yr⁻¹
31). However, the coastal zone fluxes are not adequately represented in the Takahashi et al.

1 estimate. The coastal zone fluxes represent a large unknown in the net CO₂ uptake of the oceans.
2 If the coastal zone is a net source of CO₂ to the atmosphere of a magnitude necessary to offset
3 the input of carbon from the rivers (i.e. ~0.6 PgC yr⁻¹), then the Takahashi et al. estimate is very
4 consistent with other, independent approaches [e.g. Le Quéré and Metzl, this volume; table 1.1].
5 If the coastal zones are net sinks for CO₂, as indicated by Chen [this volume] and discussed in
6 sections below, then one must reevaluate whether the standard approaches for estimating ocean
7 uptake adequately represent the coastal zone fluxes. This topic is still an active area of research.

8 Significant advances have been made in separating the anthropogenic component from
9 the large background of ocean dissolved inorganic carbon (DIC) during the past decade. Data-
10 based approaches estimate a global inventory of anthropogenic CO₂ in the oceans to be ~111±13
11 PgC for a nominal year of 1994 (Figure 1.4). High inventories are generally observed in the mid-
12 latitudes, with the lowest inventories in the high latitude Southern Ocean and near the Equator.

13 The high inventory regions are associated with convergence zones, where waters with
14 relatively high anthropogenic concentrations are moving into the ocean's interior. Because of the
15 formation of North Atlantic Deep Water in the North Atlantic, anthropogenic CO₂ can be found
16 throughout the water column accounting for the exceptionally large inventories in this region.
17 Roughly 25% of the total inventory of anthropogenic carbon is found in the North Atlantic. The
18 low inventory waters are associated with general regions of upwelling, where waters with low
19 anthropogenic concentrations are brought near the surface. The high latitude Southern Ocean
20 generally has very low anthropogenic CO₂ inventories and very shallow penetration. The
21 southern hemisphere mode and intermediate waters at around 40-50°S, on the other hand,
22 contain some of the largest inventories of anthropogenic CO₂. Over 56% of the total
23 anthropogenic CO₂ inventory is stored in the Southern Hemisphere.

24 Over the long term (millennial time-scales), the ocean has the potential to take up
25 approximately 85% of the anthropogenic CO₂ that is released to the atmosphere. The reason for
26 the long time constant is the relatively slow ventilation of the deep ocean. Most of the deep and
27 intermediate waters have yet to be exposed to anthropogenic CO₂. As long as atmospheric CO₂
28 concentrations continue to rise, the oceans will continue to take up CO₂. However, this reaction
29 is reversible. If atmospheric CO₂ were to decrease in the future, the recently ventilated waters
30 will start releasing the accumulated anthropogenic CO₂ back out into the atmosphere as they

1 return to the surface and the thermodynamic drive to store anthropogenic CO₂ in the deep waters
2 will decrease.

3

4 *Land-River-Ocean Connections*

5 One of the most visible lateral transfers between carbon cycle reservoirs is that from land
6 to the oceans via rivers. The transfer of organic matter from the land to the oceans via fluvial
7 systems is a key link in the global carbon cycle. Rivers also provide a key link in the geological-
8 scale carbon cycle by moving weathering products to the ocean (figure 1.1). The conventional
9 perspective is that rivers are simply a conduit for transporting carbon to the ocean. Re-evaluation
10 of this model suggests that the overall transfer of terrestrial organic matter through fluvial
11 systems may be more complex than originally thought [Richey, this volume]. A robust
12 evaluation of the role of rivers, however, is complicated by both the complex dynamics and
13 multiple time constants involved, and the fact that data are scarce, particularly in many of the
14 most impacted systems.

15 Humans have had a significant impact on the concentrations of carbon and nutrients in
16 river systems. Intensifying agriculture has led to extensive erosion, mobilizing perhaps 10-100
17 times more sediment, and its associated organic carbon, than undisturbed systems. Sewage,
18 fertilizers, and organic waste from domestic animals also contribute to the carbon and nutrient
19 loads of rivers. Not all of these materials make it to the ocean. Much of it may be deposited near
20 and along river channels. The retention of particulate material in aquatic systems has increased
21 since preindustrial times because of the proliferation of dams (primarily in the 30-50°N regions),
22 which has increased the average residence time of waters in rivers. If the carbon mobilized via
23 erosion were subsequently replaced by newly-fixed carbon in agriculture, then a sink on the
24 order of 0.5-1.0 PgC yr⁻¹ would be created. But organic carbon doesn't move passively through
25 river systems; even very old and presumed recalcitrant soil carbon may be remineralized partly
26 or fully in aquatic systems. Remineralization of organic carbon during transport leads to elevated
27 levels of dissolved CO₂ in rivers, lakes and estuaries worldwide. These high concentrations
28 subsequently lead to out-gassing back to the atmosphere on the order of ~1 PgC yr⁻¹, with the
29 majority in the humid tropics [Richey, this volume].

30 Despite the increased particulate retention in rivers, a significant amount of carbon
31 escapes to the ocean. Values used in figure 1.1 for the export of DIC, PIC, DOC, and POC from

1 rivers to the coastal ocean are 0.4, 0.2, 0.3, and 0.2 PgC yr⁻¹, respectively [Chen, this volume].
2 This includes a pronounced, but difficult to quantify, anthropogenic component. These values are
3 poorly constrained by direct measurements and may represent minimum estimates of inputs to
4 the coastal ocean [Richey, this volume]. Groundwater discharges, comprising about 10% of the
5 surface flow to the ocean, also contribute poorly known concentrations of carbon and nutrients to
6 the coastal oceans.

7 The final step in the land-to-ocean pathway is the marine fate of that fluvial carbon.
8 Previous estimates have suggested that the marginal seas are net heterotrophic. Chen [this
9 volume], has suggested that continental shelves are in fact net autotrophic, mostly because of
10 production from coastal upwelling of nutrient rich waters. If this is correct, biological production
11 in the coastal zone may decrease the thermodynamic drive to out-gas the terrestrial carbon
12 delivered by rivers, resulting in greater preservation in the marine environment.

13

14 *Trade/Commerce Connections*

15 The lateral fluxes of carbon are poorly constrained by measurements and are frequently
16 excluded from models. The river fluxes outlined in the previous section are only beginning to be
17 quantified. The lateral transport of carbon through trade has not been constrained or quantified in
18 global carbon budgets based on inventories of terrestrial carbon stocks and fluxes.

19 Approximately one-third of the 4 PgC fixed annually through agriculture represents harvested
20 products that are directed toward some form of human or domestic animal consumption
21 [Tschirley and Servin, this volume]. In 2001, \$547 million in agriculture and forest-related
22 products entered into international trade, amounting to 9% of total trade (excluding services).
23 Imports and exports of cereals, wood and paper products accounted for about 0.72 PgC of
24 “embodied” carbon traded in 2000.

25 As we move from the basic carbon budget presented in Table 1.1 to a more detailed
26 review of the fluxes shown in figure 1.1 and on to regional assessments of carbon budgets, it
27 becomes increasingly important to recognize and quantify the lateral transports of carbon. The
28 transport of carbon through trade/commerce represents a flux that is nearly as large as the net
29 terrestrial and oceanic sinks. This carbon is assumed to be respired back to the atmosphere, but if
30 the CO₂ is taken out of the atmosphere on one continent, then transported to another continent
31 before being respired, it can have a significant impact on regional budget assessments.

1 Embodied carbon represents the carbon that resides directly within the product itself (for
2 cereals, wood and paper, about half of the final weight is carbon). In addition to embodied
3 carbon, at least two other components are usually excluded from estimates of carbon content in
4 international trade. One is the “production” carbon, which comprises the inputs required to
5 produce a final product, primarily energy-related consumption to provide inputs (e.g. pesticides,
6 fertilizers) and processing (e.g. machinery for harvesting, milling, sawing etc.). A second
7 element is the “transport” carbon, which represents the energy costs of transporting the processed
8 products to a final destination. Countries that use intensive production systems and engage in
9 significant exports (primarily in the developed countries) would have higher ratios of carbon
10 content to product mass exported, possibly up to 20%, and would therefore have higher carbon
11 emissions to the atmosphere than currently stated, under a system of full carbon accounting.
12 Other countries would have lower carbon release to the atmosphere under full carbon accounting.
13 Trade/commerce carbon accounting has a political background and differs from budget studies
14 by atmospheric inversion and inventory checks. For example, CO₂ emissions during production
15 and transport of products across regional boundaries would fully and partly, respectively, be
16 accounted for by the country of origin using inventory studies. Inverse models based on
17 atmospheric measurements, however, would reflect the emissions as they were actually
18 distributed over the regions. As we begin to move from the global carbon budget presented here
19 to regional carbon budgets, fluxes like the trade and commerce transports will need to be better
20 quantified.

21

22 *Non-CO₂ Trace Gas Connections*

23 Rising concentrations of a large number of potent anthropogenic greenhouse gases other
24 than carbon dioxide have collectively contributed an amount of radiative forcing comparable to
25 that of CO₂ since pre-industrial times. Many of these non-CO₂ gases (e.g. CH₄, N₂O, CF₂Cl₂,
26 SF₆) are emitted at the earth’s surface and contribute directly to this forcing [Prinn, this volume].
27 They are characterized by atmospheric lifetimes of decades to millennia (lifetime as used here is
28 the amount of the gas in the global atmosphere divided by its global rate of removal). Other non-
29 CO₂ gases (e.g. isoprene, terpenes, nitric oxide (NO), carbon monoxide (CO), sulphur dioxide
30 (SO₂), dimethyl sulphide ((CH₃)₂S), most of which are also emitted at the earth’s surface,
31 contribute indirectly to this forcing either through production of tropospheric ozone (which is a

1 powerful greenhouse gas), or tropospheric aerosols (which directly reflect sunlight back to space,
2 absorb it, or indirectly change the reflection properties of clouds). This second group of
3 climatically important non-CO₂ gases is characterized by much shorter lifetimes (hours to
4 months).

5 The role of non-CO₂ carbon gases is not typically included in global carbon budgets, like
6 the one shown in figure 1.1, because the sources and sinks for these gases are not well
7 understood. Figure 1.5 summarizes, albeit with great simplification, the basic cycles and fluxes
8 of the major non-CO₂ trace gases relevant to climate. Note that the emissions of the carbon-
9 containing gases alone contribute about 2.3 PgC yr⁻¹ to the carbon cycle [Prinn, this volume]. To
10 aid the handling of the non-CO₂ gases in the policy processes under the United Nations
11 Framework Convention on Climate Change (UNFCCC), scientists have calculated so-called
12 global warming potentials (GWPs). These dimensionless GWPs, which range from 20 to 20,000
13 for the major non-CO₂ gases, are intended to relate the time-integrated radiative forcing of
14 climate by an emitted unit mass of a non-CO₂ trace gas to the forcing caused by emission of a
15 unit mass of CO₂. The GWP concept has difficulties, because the removal mechanisms for many
16 gases (including CO₂ itself) involve complex chemical and/or biological processes, and because
17 the time period (e.g. decade, century) over which one integrates the instantaneous radiative
18 forcing of a gas to compute its GWP is somewhat arbitrary [Manne and Richels, this volume].
19 Nevertheless, by multiplying the emissions of each major non-CO₂ greenhouse gas by its GWP,
20 we obtain equivalent amounts of CO₂ emissions, which are comparable to the total actual
21 emissions of CO₂ (e.g. current total emissions of CH₄ and N₂O are equivalent to 3.8 and 2.1 PgC
22 yr⁻¹ respectively). For this reason, a “CO₂ emissions only” approach for global warming policy
23 may lead to significant biases in the estimation of global warming abatement costs [Manne and
24 Richels, this volume]. Thus, a multi-gas approach for studying the carbon cycle and how it
25 relates to climate change needs to be implemented.

26

27 **Carbon Cycle Processes**

28 To fully understand the significance of the connections described above, as well as the
29 potential that these fluxes might change in the future, one must consider the processes
30 responsible for controlling the fluxes within and between reservoirs. In some cases these
31 processes have already been significantly impacted by human activities. In other cases, there

1 may not be evidence that the fluxes are currently affected, but they may be vulnerable to climate
2 change in the future.

3

4 *Terrestrial Processes*

5 On seasonal time-scales the gains and losses from the terrestrial biosphere are reflected in
6 the cyclic variations in atmospheric CO₂ concentrations. Although there is significant interannual
7 variability in the seasonal balance between the gains and losses, it is the trends in this net balance
8 between NPP and carbon losses through decomposition, fires and disturbances that can affect
9 climate on time-scales of decades to centuries. The scientific community currently believes that
10 the terrestrial biosphere has been acting as a net sink for atmospheric carbon for the past few
11 decades [Foley and Ramankutty, this volume]. For example, Pacala et al. [2001] recently
12 estimated the carbon sink of the coterminous United States using a combination of inventory and
13 atmospheric concentration inversions. They determined a consistent land and atmosphere based
14 carbon sink for the US in the range of 0.37 to 0.71 PgC yr⁻¹. Similar studies have also shown a
15 net sink in Europe. The mechanisms underlying the sinks have been the subject of much recent
16 research. The leading hypothesis in the 1970s and 1980s was that the sinks were mainly a result
17 of more rapid plant growth from elevated CO₂ and climate change. This has gradually been
18 replaced with a multi-mechanism explanation, including contributions from changes in forest
19 management, agriculture, long-lived products (e.g. wood), aquatic systems, and nitrogen
20 deposition, in addition to CO₂ fertilization and changes in plant growth/soil carbon pools
21 resulting from climate change [Schimel et al., 2001].

22 NPP is sensitive to a broad range of factors, including climate, soil fertility, atmospheric
23 CO₂, atmospheric pollutants, and human management. An increase in NPP can lead to a carbon
24 sink, but only if it is not matched by a corresponding increase in carbon losses. In general,
25 processes that promote gradual increases in NPP can lead to carbon sinks, because increases in
26 respiration tend to follow changes in biomass and soil carbon and not NPP directly [Field, 1999].
27 For the last 30 years, carbon cycle researchers have hypothesized that gradual increases in NPP
28 in response to the 30% rise in atmospheric CO₂ since preindustrial times explain much or all of
29 the terrestrial sink inferred from atmospheric studies [Bacastow and Keeling, 1973].
30 Experimental studies at the ecosystem level often demonstrate accelerated plant growth in
31 response to elevated atmospheric CO₂ [Mooney et al., 1999], but these growth rates only explain

1 a small fraction of the sink required to balance the global atmospheric CO₂ budget
2 [Friedlingstein et al., 1995]. Other factors that can increase NPP, including warming, greater
3 precipitation and humidity, nitrogen deposition, and changes in plant species composition may
4 also contribute to terrestrial carbon sinks.

5 Processes that retard carbon releases can also lead to terrestrial sinks. Evidence indicates
6 that a substantial fraction of the forest sink in temperate forests is a result of changes in land use
7 and land management. In essence, forests, cut in the past, are regrowing, with a growth rate that
8 is faster than the rate of harvesting [Goodale et al., 2002]. Some of this is a result of shifts in
9 land use, especially the abandonment of agriculture over large regions of North America and
10 parts of Europe [Foley and Ramankutty, this volume]. Fire suppression and the thickening (more
11 trees per unit area) of marginal forests also contribute to increased forest biomass in some areas
12 [Pacala et al., 2001].

13 Changes in agriculture can also promote terrestrial carbon sinks. Increases in yield,
14 incorporation of crop residue, and areas in perennial crops, as well as a reduction in tillage can
15 all contribute to carbon sinks [Lal et al., 1998].

16 Recent terrestrial carbon sinks reflect a number of mechanisms [Pacala et al., 2001]. The
17 potential for sinks to persist varies from mechanism to mechanism. Sinks due to CO₂ fertilization
18 are likely to persist until NPP is limited by another factor. In some settings, this might occur very
19 soon, and in others it might be far in the future. Sinks caused by forest regrowth saturate as the
20 forests mature. In general, sinks saturate when increases in NPP are outpaced by increases in the
21 sum of decomposition and combustion. The persistence of terrestrial sinks in the future is by no
22 means assured. Many lines of evidence suggest that the prospect of increasing carbon sources in
23 the terrestrial biosphere is a real possibility [Gruber et al., this volume].

24

25 *Ocean Processes*

26 Two basic mechanisms control the distribution of carbon in the oceans: the solubility
27 pump and the biological pump. The solubility pump is driven by two principle factors. First,
28 more CO₂ can dissolve into cold polar waters than in the warm equatorial waters. As major
29 ocean currents (e.g. the Gulf Stream) move waters from the tropics to the poles, they are cooled
30 and can take up more CO₂ from the atmosphere. Second, the high latitude zones are places where

1 deep waters are formed. As the waters are cooled, they become denser and sink into the ocean's
2 interior taking with them the CO₂ accumulated at the surface.

3 Another process that moves CO₂ away from the surface ocean is called the biological
4 pump. Growth of phytoplankton takes CO₂ and other chemicals from seawater to make plant
5 tissue. Although most of the CO₂ taken up by phytoplankton is recycled near the surface, a
6 substantial fraction, perhaps 30%, sinks into the deeper waters before being converted back into
7 CO₂ by marine bacteria [Falkowski et al., 1998]. The amount of material that sinks out of the
8 surface ocean depends partly on the plankton types. For example, detritus from phytoplankton
9 that form shells of calcium carbonate and silicate sink the fastest [Klaas and Archer, 2002].
10 However, the effect of organic carbon export on surface CO₂ is partly compensated by the
11 release of CO₂ in the formation of the calcium carbonate shells. Overall, the sum of the two
12 processes leads to a decrease of CO₂ in surface waters. The CO₂ that is recycled at depth is
13 slowly carried large distances by currents to areas where the waters return to the surface
14 (upwelling regions). When the waters regain contact with the atmosphere the CO₂ originally
15 taken up by the phytoplankton is returned to the atmosphere. This exchange process helps to
16 control atmospheric CO₂ concentrations over decadal and longer time scales. Only about 0.1% of
17 the organic carbon fixed at the surface reaches the seafloor to be buried in the sediments.

18 The combined effects of the solubility and biological pumps, together with the slow
19 mixing time for the deep ocean, result in deep carbon inorganic concentrations that are
20 approximately 15% higher than surface concentrations [LeQuéré and Metzl, this volume]. The
21 biological pump also removes inorganic nutrients such as nitrate, phosphate, silicate, and iron
22 from surface waters and releases them at depth. Since productivity is generally limited by the
23 availability of these nutrients, the large-scale thermohaline circulation (THC) of the oceans has a
24 strong impact on global ocean productivity by regulating the rate at which nutrients are returned
25 back to the surface. The long residence time for the deep oceans also means that most of the deep
26 ocean waters have not been exposed to the rising atmospheric CO₂ concentrations observed over
27 the last couple of centuries. Although the oceans have the potential to absorb 85% of the
28 anthropogenic CO₂ released to the atmosphere, today's oceans are only at about 15% capacity
29 [LeQuéré and Metzl, this volume]. Average penetration depth for anthropogenic CO₂ in the
30 global oceans is only about 800 m [Sabine et al., 2002]. Because of its effect on ocean carbon
31 distributions and biological productivity, changes in the THC have been used to help explain past

1 excursions in climate and atmospheric CO₂, including glacial-interglacial and Dansgard-
2 Oeschger events [Joos and Prentice, this volume].

3 Ocean productivity can also be affected by atmospheric inputs. Iron in oceanic surface
4 waters originates from terrestrial dust deposited over the ocean, deep ocean waters, continental
5 shelves, and to a lesser extent from river inflow. Because of the spatial distribution of dust
6 deposition and other iron sources, large regions of the ocean show a deficit in iron (and to a
7 smaller extent in silicate), although other nutrients are plentiful. These are called High Nutrient
8 Low Chlorophyll (HNLC) regions. There is a potential for enhanced biological productivity in
9 these regions if the ocean can be "fertilized" by iron. However, the potential for CO₂ reduction in
10 surface waters and in the atmosphere through this artificial sequestration depends on factors like
11 the composition of plankton types and oceanic circulation, and can lead to undesirable side
12 effects [Bakker, this volume].

13 Up to now, humans have had a relatively small direct impact on the global scale ocean
14 carbon cycle. This is primarily because humans generally only transit across the oceans and
15 because the oceans naturally contain orders of magnitude more carbon than the atmosphere and
16 the terrestrial biosphere. Because biology is not carbon limited in the oceans, it is thought that
17 increasing CO₂ levels have not significantly impacted ocean biology. However, some
18 phytoplankton species, particularly those that secrete calcium carbonate shells may be negatively
19 impacted by rising CO₂ concentrations in the atmosphere and water. For example, Riebesell et al.
20 [2000] showed a significant reduction in the ability of two different species of coccoliths to
21 secrete calcium carbonate shells under elevated CO₂ conditions. Similar reductions in
22 calcification have been observed in corals. As atmospheric CO₂ concentrations continue to rise
23 the potential for significantly altering the current balance between the solubility and biological
24 pumps in the ocean increases.

25

26 *Coastal Ocean*

27 Although the coastal zones, consisting of the continental shelves with depths less than
28 200m including bays and estuaries, occupy only 10% of the total ocean area they play a crucial
29 role in the global carbon cycle. Carbon is transported to the coastal zone by riverine inputs and
30 transport of inorganic carbon from the open-ocean. Estuaries and proximal coastal seas are
31 believed to be sources of CO₂, because of the decay of terrestrial organic carbon. However, since

1 the riverine flux of nutrients has continually been on the rise over the past few decades, these
2 areas may now actually have enhanced biological productivity, and hence, be releasing less CO₂.
3 At present a large fraction (~80%) of the land derived organic and inorganic materials that are
4 transported to the ocean is trapped on the proximal continental shelves [Mackenzie and Ver,
5 2001]. The much wider open shelves, on the other hand, are believed to serve as sinks for
6 atmospheric carbon. A recent overview suggests that the global coastal waters and marginal seas
7 (extending to a water depth of 200 m) are now absorbing about 0.36 PgC yr⁻¹ from the
8 atmosphere [Chen, this volume].

9 Across most of the coastal seas and continental margins surface waters are transported
10 offshore, because of fresh water inputs from land. This surface transport draws nutrient-rich
11 subsurface waters from the open ocean up onto the shelves. Such external sources of nutrients
12 support high primary productivity. Most of the organic material produced is respired and
13 recycled on the shelves. The organic matter that is not recycled either accumulates in the
14 sediments or is exported to the slopes and open oceans. The coastal zone may account for 30-
15 50% of the total calcium carbonate accumulation and up to 80% of the organic carbon
16 accumulation in ocean sediments [Mackenzie and Ver, 2001]. Globally the shelf seas are
17 estimated to transport 0.6 PgC yr⁻¹ of DOC, 0.5 PgC yr⁻¹ of POC and 0.2 PgC yr⁻¹ of PIC to the
18 open oceans [Chen, this volume]. Although these transports have large uncertainties, because of
19 high variability and inadequate data coverage, they represent an important and often neglected
20 link in the global carbon cycle. Shelves and estuaries are also important sources of other
21 greenhouse or reactive gases, such as methane and dimethyl sulfide.

22 Finally, while humans appear to have had only a small direct impact on the open ocean,
23 they have had a profound and poorly understood impact on the coastal oceans. Furthermore,
24 direct and indirect human perturbations vis-à-vis the continental margins (e.g. pollution,
25 eutrophication, etc.) are likely to have large and dire consequences on marine ecosystems in the
26 future. As much as 40-60% of the world population lives in coastal areas, depending on the
27 definitions and methodologies applied. The coastal regions have the most rapidly growing
28 population densities, because of migration from rural areas. Most mega cities in this century will
29 develop in coastal zones, approximately half of them in Asia [IHDP/START, 2000]. Such
30 patterns of urbanization have affected and will continue to affect coastal and marine systems,
31 through processes such as land use change, pressure on infrastructure (water, sewage, and

1 transportation), coastal resource depletion and degradation, eutrophication and other carbon
2 relevant impacts. Coastal zones support more than 60% of the global commercial fish production
3 [World Resources Institute, 1996]. It is not clear how these fisheries will be affected by the
4 increasing human pressures on the coastal zone. Additional studies of the coastal zone and the
5 interaction between humans and the coastal biogeochemical systems are needed.

6 7 *Human Systems*

8 As noted in previous sections, humans have had a profound impact on carbon cycling in
9 the atmosphere, terrestrial biosphere and to a lesser extent in the oceans. To understand how
10 humans will continue to interact with these reservoirs in the future, one must understand the
11 drivers responsible for how humans interact with the environment.

12 Energy use has historically been viewed as an essential commodity for economic growth.
13 The paradigm of “grow or die” historically meant increasing demands for additional resources
14 and a commensurate increase in the amount of pollutants released. Over the past thirty years,
15 however, a complex debate has ensued about how to decouple economic growth and resource
16 consumption. Since this debate started several scholars, policy makers, nongovernmental
17 organizations have asked themselves how to reshape this paradigm of development? Answers
18 have ranged from doing nothing (business as usual approach) to the slowing down and even
19 stalling of economic growth, so as to not exceed the earth’s carrying capacity. Carrying capacity
20 here refers to the ability of natural resources and ecosystems to cope with anthropogenic
21 pressures such as use of renewable natural resources, emission of pollutants and modification of
22 ecosystem’s structures without crossing “critical thresholds of damage beyond which [these
23 resources] lose their ability for self-renewal and slide inexorably into deeper degradation” [Board
24 on Sustainable Development Policy Division, 1999].

25 Another more tenuous response is based on the idea that one could keep economic growth
26 as a development goal and at the same time find mechanisms aimed at reducing the amount of
27 material input and emissions or aimed at finding replacements for non-renewable or dangerous
28 resources (e.g. fossil fuels). This proposal has resulted in environmental policies and instruments
29 promoting technological innovations aimed at increasing the efficiency of economic activities
30 and at decarbonizing the economies.

1 Energy intensity (the ratio of total domestic energy primary consumption to gross
2 domestic product or physical output) in the US, Japan, and other developed countries has
3 increased far slower than economic growth. China too has made significant gains, and the growth
4 rate of its energy consumption has been half that of its gross domestic product (GDP) growth rate
5 since the early 1980s. While the energy use per unit of GDP has fallen or stabilized since 1970,
6 energy use per capita has increased in most of the developed countries. This statistic may be
7 misleading however, because there has also been a change in consumption and production
8 patterns away from materially intensive commodities towards less intensive services and
9 production of many of the materially intensive commodities has moved out of the developed
10 countries. This does not necessarily mean that the developed countries are using fewer resources,
11 but the regional distribution of this demand has changed. Countries belonging to the former
12 Soviet Union (FSU) have also shown a decrease in energy intensity, but for very different
13 reasons. Due to sociopolitical changes, economic activity declined substantially in these
14 countries, resulting in a dramatic decline in energy use and associated carbon emissions.

15 Over the past century and a half, the use of biomass has successively given way to
16 expanded use of coal, oil, and natural gas as the primary fuels to supply energy (Figure 1.6).
17 Over the past two decades the use and share of nuclear and other forms of renewable energy has
18 increased. The carbon intensity (PgC/GJ) of each successive fuel beginning with coal is lower,
19 and this has led to the decarbonization of the global fuel mix over the past century. Critical
20 inventions such as the steam and internal combustion engines, vacuum tubes, airplanes, etc. have
21 accompanied and fostered the use of successive fuels and electricity.

22 The speed of energy consumption and land use changes have increased during the last
23 two centuries. Three spatial and historical variations of carbon relevant social tendencies need to
24 be considered in the understanding of where we are today.

25 1. Historically, industrialized countries have been the main releasers of carbon from
26 combustion of fossil fuels. Although developing countries are expected to increase their
27 share of emissions, the relocation strategies of corporations based in industrialized
28 regions may actually significantly contribute to increased emissions in these developing
29 countries. In 1925, for example, the United States, Western Europe, Japan and Australia
30 were responsible for about 88% of the world's fossil fuel carbon dioxide emissions
31 [Houghton and Skole, 1990]. Data from 1950-1995 indicate that 26 countries fell above

1 the average cumulative emissions figure. These countries include almost all developed, or
2 Annex 1 countries and China, India, Brazil and several other larger developing countries
3 that have seen significant growth in foreign investments [Claussen and McNeilly, 1998].

4 2. Until the 19th century most of the conversion from forest area to cultivated land occurred
5 in the developed countries. During the last few decades, most of the deforestation has
6 occurred in tropical forests, such as the Amazon.

7 3. Urbanization, a key driver of energy and land use, was primarily a feature of
8 industrialized nations until the middle of the 20th century. The largest and
9 demographically most dynamic urban agglomerations, like New York, London and
10 Tokyo were in developed countries. As of the beginning of the 21st century, however,
11 most urban agglomerations are situated in developing countries. These developing nation
12 urban agglomerations have different production systems and living standards than the
13 urban centers in developed countries. These differences impact the carbon emissions of
14 various urban areas [Romero, this volume].

15 Although technology is perceived as the answer to decarbonizing economies, we need to
16 bear in mind that other societal factors work as constrains and windows of opportunity for that
17 purpose (e.g. institutional settings and economic dynamics, see chapter 5), and to consider that
18 it takes decades to establish a new technological paradigm of production and consumption
19 patterns. The main energy and production components of the *engineering* epoch for instance
20 (1850-1940) took at least twenty years to develop. Hence, it will likely take decades to set up
21 alternative energy sources and materials aimed at decarbonizing industrial and agricultural
22 activities. Despite efforts aimed at decoupling economic growth from its carbon impacts, CO₂
23 emissions from the combustion of fossil fuels and from land-use change increased during last
24 years. Many features of the development trends need to be studied and better understood before
25 opportunities for modifications in life styles, technologies, institutions and other drivers of
26 carbon emissions can be fully addressed.

27 28 *Geological Processes*

29 The discussion thus far has focused on reservoirs and processes relevant to human time-
30 scales. With a residence time of about 300 million years, the huge reserves of carbon stored in
31 the sedimentary rocks are not expected to play a large role in the short-term carbon budget. On

1 time-scales greater than 500,000 years about 80% of the CO₂ exchange between the solid earth
2 and the atmosphere is controlled by the carbonate-silicate cycle [Kasting et al., 1988]. In this
3 cycle, atmospheric CO₂ is used to weather calcium-silicate rock minerals on land, which are then
4 transported to the ocean via rivers as calcium and bicarbonate ions. In the oceans, plankton and
5 other organisms incorporate the ions into calcium carbonate shells. A portion of the calcium
6 carbonate is deposited onto the ocean floor and eventually CO₂ is returned to the atmosphere
7 through volcanic and diagenetic processes.

8 Currently there are vast quantities of carbon stored in ocean sediments as methane
9 hydrates and as calcium carbonate. The methane hydrates are relatively stable, but could be
10 released, if ocean temperatures increase sufficiently through global warming [Harvey and
11 Huang, 1995]. The carbonate sediments are likely to be a significant sink for fossil fuel CO₂ on
12 millennial time-scales [Archer et al., 1999]. As the oceans continue to take up anthropogenic
13 CO₂, the CO₂ will penetrate deeper into the water column, lowering the pH and making the
14 waters more corrosive to calcium carbonate. Dissolution of sedimentary carbonates binds the
15 carbon in a dissolved form that is not easily converted back into atmospheric CO₂. Carbonate
16 dissolution is typically thought to occur in the deep ocean, well removed from the anthropogenic
17 CO₂ taken up in the surface waters. In portions of the North Atlantic and North Pacific Oceans,
18 however, anthropogenic CO₂ may have already penetrated deep enough to influence the
19 dissolution of calcium carbonate in the water column and shallow sediments [Feely et al, 2002].

20 Although the processes of CO₂ uptake through weathering and CO₂ release from
21 volcanism and diagenesis appear to have been in balance on geological time-scales, short-term
22 variability in one of these fluxes can impact the carbon cycle on time-scales relevant to humans.
23 For example, volcanic eruptions result in the emission of CO₂, dust, ash and sulfur components.
24 These sulfur particles may rain out and promote acid rain. Atmospheric sulfur particles block out
25 sun light and thus cool the (regional) climate. Particles, which reach the stratosphere, may reduce
26 global temperatures for several years. The incidental occurrence of cataclysmic volcanic
27 eruptions or the coincidence of several large eruptions (eg. the eruptions of 1783 or the 1991
28 Mount Pinatubo eruption) have been known to affect the global carbon cycle, directly by their
29 CO₂ emissions and indirectly by their impact on marine and terrestrial primary production
30 [Hamblyn, 2001; Sarmiento and Gruber, 2002].

31

1 **Understanding Today's Carbon Cycle**

2 Although our understanding of the contemporary global carbon cycle has increased
3 dramatically over the past few decades, there are many aspects that are still not well understood.
4 Several specific areas where additional studies are needed have been mentioned above. However,
5 there are two general areas of research where our understanding is exceptionally weak and
6 focused research is necessary. One significant area that needs improvement is our understanding
7 of regional variability. A second, somewhat related topic is how changes in the carbon-cycle may
8 be linked to different modes of climate variability.

9 10 *Regional Budgets*

11 Although atmospheric CO₂ concentrations are changing on a global scale, the spatial
12 scales of natural processes as well as the scales of human interventions and the associated
13 societal mechanisms have a profound regional character. Industrialized countries for instance
14 constitute the highest share of fossil fuel CO₂ emissions by direct release and through trade,
15 while developing nations have become the primary CO₂ emitters through land use changes
16 [Romero, this volume]. Insufficient observations limit our ability to do regional scale
17 assessments globally. However, the potential benefits of such approaches in identifying the
18 biogeochemical and human processes responsible for controlling fluxes makes these studies very
19 important. A regional scale carbon budget assessment also provides a unique opportunity to
20 verify and bridge independent methods and observations made over a range of spatial scales (e.g.
21 top – down atmospheric inversion estimates versus land-based or ocean-based bottom-up
22 observations).

23 In the land-based bottom-up method, carbon sinks and sources from various ecosystems
24 (forests, croplands, grasslands and organic soil wetlands) are aggregated over all regions to
25 provide a large-scale perspective. The land-based approach can therefore provide information as
26 to which ecosystems and regions are accumulating, and which are losing carbon to the
27 atmosphere. However, the diversity of land mosaics, the complexity of human activities and the
28 lateral transports of carbon in different components make it difficult to provide a comprehensive
29 carbon budget.

30 Ocean-based bottom-up methods can be used to assess the complex and often competitive
31 controls of heat flux, mixing, and biology on air-sea gas exchange as well as develop proxies for

1 extrapolating limited observations to larger time and space scales. Monitoring of changes in
2 ocean interior properties can also provide valuable information on surface processes and fluxes.

3 Atmosphere-based approaches, in contrast, give no information about which ecosystems
4 or processes are contributing to a sink or source, but produce a more robust large-scale
5 assessment of the net carbon flux to the atmosphere. No one approach holds the key to
6 understanding regional variability in the carbon cycle. A suite of approaches must be used. In
7 addition to providing different, but complimentary information, a combination of the bottom-up
8 and top-down methods puts independent constraints on the integrated carbon balance of regional
9 budgets.

10 The use of multiple approaches can also be used to identify key fluxes that may have
11 been missed by the inventory approach. For example, Janssen et al. [2003] used inventories to
12 estimate that the European continent sink was on the order of 0.11 PgC yr^{-1} , compared with a
13 mean atmospheric inversion estimate of 0.29 PgC yr^{-1} . The discrepancy between these estimates
14 was attributed to the intercontinental displacement of organic matter via trade, emissions of non-
15 CO_2 gases, and a slight overestimation of fossil fuel emissions. This example illustrates the need
16 for comprehensive assessments of the major vertical and lateral fluxes as well as illustrating the
17 power of the multiple approaches for highlighting areas where additional studies are needed.

18

19 *Variability*

20 Observing and quantifying variability in natural processes and the impact of human
21 interventions is of primary importance for three reasons:

22 (1) It provides a key diagnostic of how climatic factors and societal dynamics impact exchange
23 fluxes and provides information that is needed for the development and validation of
24 comprehensive process based carbon cycle models that include human dynamics.

25 (2) Several terrestrial and oceanic carbon cycle components effectively contain a longer-term
26 “memory”, i.e. their present state is partly a result of past natural and human perturbations.
27 For example, enhanced fire frequencies or management strategies during a drier past period
28 will be reflected in the age-structure of a present forest, or the carbon content in particular
29 oceanic deep water masses will reflect climate driven variations in deep water formation in
30 the past.

1 (3) Climate variability often tends to “mask” the slow, longer term signals in the carbon cycle
2 that are of primary interest: i.e. many studies have shown that the uptake rates of the
3 terrestrial biosphere or the ocean are highly variable. In this context, climate variability
4 constitutes a “noise” from which the signals have to be discriminated. Indeed, a significant
5 fraction of the uncertainty estimates given in the global budget in Table 1.1, can be traced to
6 incomplete quantification of possible climate perturbations in the pertinent observations.

7 On the global scale climate driven variability can be inferred from atmospheric time
8 series of CO₂ and associated variables, such as ¹³C/¹²C and O₂/N₂ ratios. Continental or ocean
9 basin scale variations can be detected on time scales of up to several years by means of top-down
10 inversions of atmospheric CO₂ concentration measurements [Heimann et al., this volume].
11 However, it is difficult to separate out the terrestrial signals from the ocean signals using these
12 large-scale approaches [Greenblatt and Sarmiento, this volume]. On land and in the oceans,
13 local-scale direct observations of variability exist from in situ flux measurements at a few time-
14 series stations during the last few years. However, on the regional scale an observational gap
15 exists. The present atmospheric network is not dense enough to resolve carbon sources and sinks
16 on regional spatial scales by atmospheric inversion, while the upscaling of measured in situ
17 oceanic and terrestrial carbon fluxes is extremely difficult. On land, the large heterogeneity of
18 terrestrial ecosystems and complex atmospheric transport patterns resulting from topography
19 make it difficult to scale up local measurements. In the oceans, the complex interplay between
20 physical and biological controls on sea surface pCO₂ and the dynamics of air-sea exchange
21 complicate the extrapolation of the in situ observations .

22 Interannual variability in climate leads to large changes in atmospheric temperature and
23 rainfall patterns, as well as changes in ocean surface temperatures and circulation. All of these
24 can have dramatic effects on biological productivity and complex effects on CO₂ exchanges with
25 the atmosphere on land and in the oceans. For example, during El Niño events, the warming of
26 ocean surface waters and reduction in biological productivity in the Equatorial Pacific Ocean
27 should lead to enhanced outgasing of CO₂. However, since the upwelling of carbon rich deep
28 waters, that release CO₂ to the atmosphere, is reduced during El Niños, the net effect is a
29 significant reduction in the outgasing of CO₂ in this region. On the other hand, warmer
30 temperatures and anomalous rainfall patterns during El Niños can lead to increased terrestrial
31 biosphere respiration, forest fires and droughts. The timing of these effects and the

1 teleconnections between them have a direct impact on atmospheric CO₂ concentrations that are
2 still not completely understood today.

3 Overall, a general consensus has emerged that the interannual variability in air-sea CO₂
4 fluxes is smaller than that of terrestrial CO₂ fluxes, but the exact amplitude and spatial
5 distributions remain uncertain [Greenblatt and Sarmiento, this volume]. To better determine
6 these signals, an expanded network of time series CO₂ measurements must be maintained for the
7 atmosphere, oceans and land systems. These measurements together with intensive process
8 studies, a better use of satellite data, atmospheric observations, and rigorously validated models
9 will help us to better understand the current global carbon cycle and how it is evolving over time.

10

11 **Conclusions**

12 The current global carbon cycle is in a state of transition. Human activities over the past
13 few centuries have had a profound impact on many aspects of the system. As we begin to assess
14 ways to monitor and potentially manage the global carbon cycle, it is imperative that we better
15 understand how the system, including human dynamics and the biogeochemical processes
16 controlling CO₂ and other carbon gases in the atmosphere, has operated in the past and how it is
17 operating today. How will the land/ocean/atmosphere processes respond to human activities, and
18 what are the societal dynamics that will determine how humans will respond to changes in the
19 land, ocean, and atmosphere systems? Until we can confidently explain and model the
20 contemporary carbon cycle, our ability to predict future changes in atmospheric CO₂
21 concentrations will always be limited. We have made tremendous progress over the past few
22 decades at reducing the uncertainties in table 1.1 and figure 1.1, however there are still many
23 aspects of the system that we have yet to understand.

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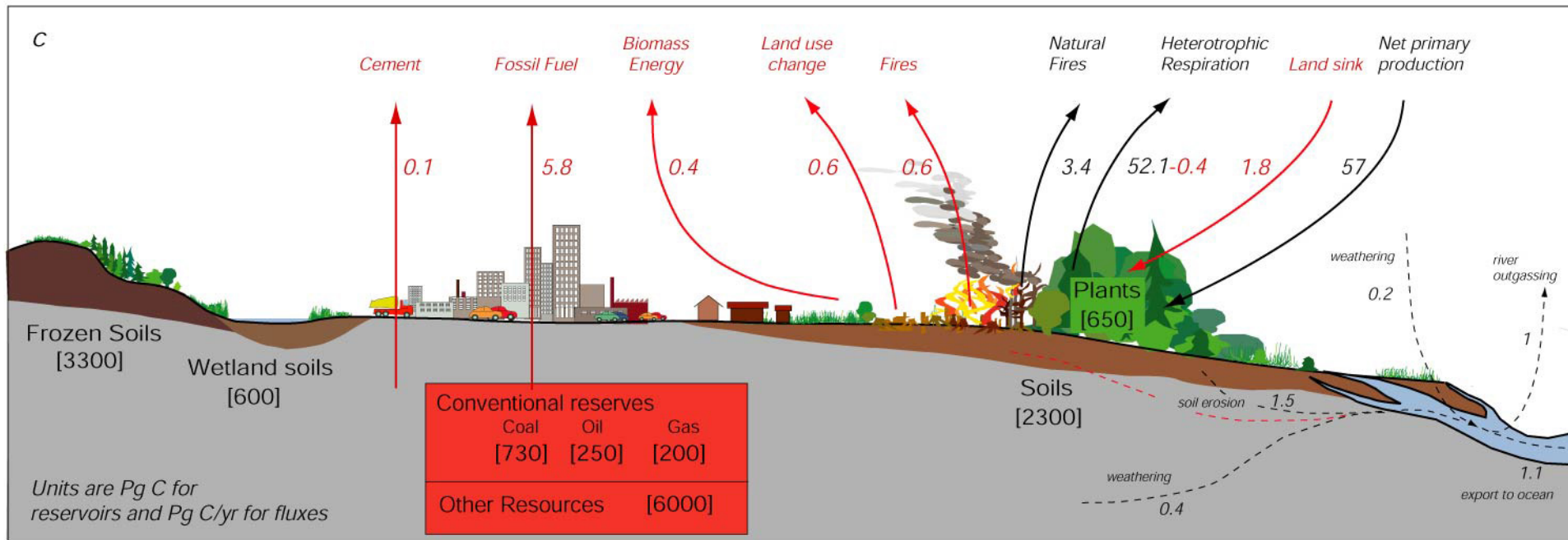
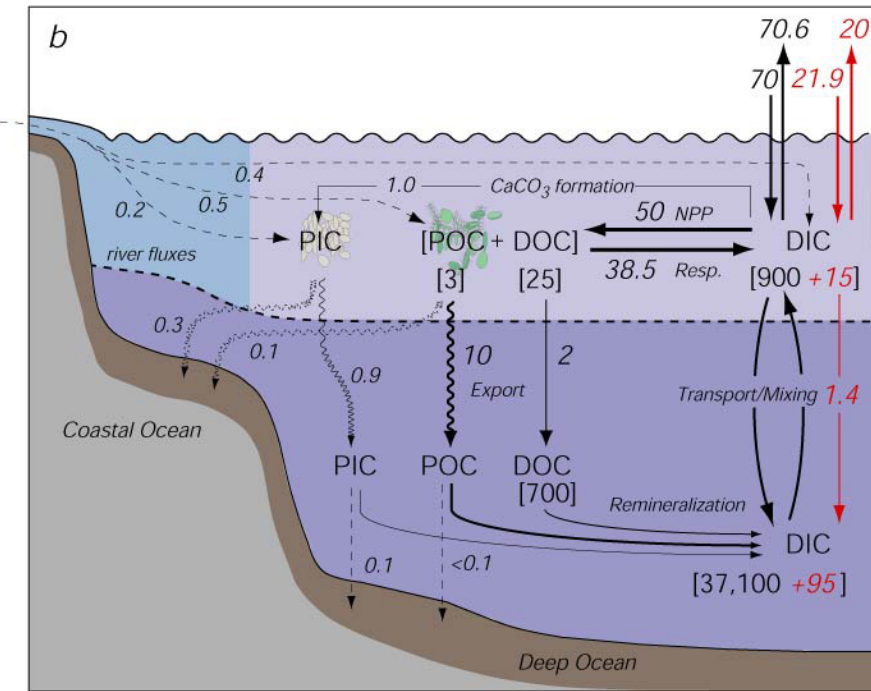
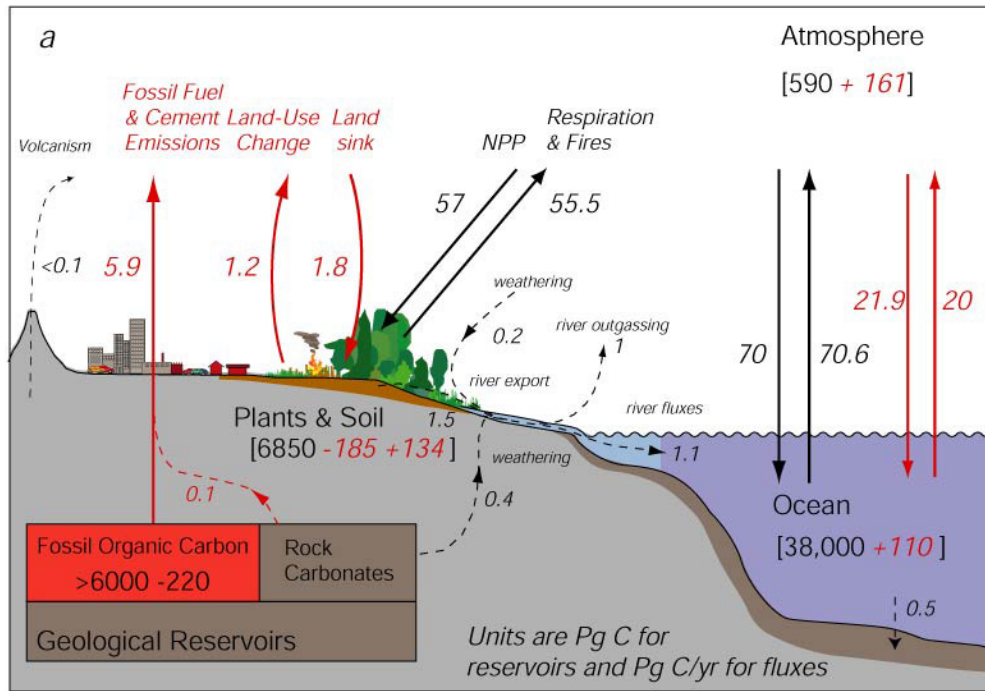
Table 1.1 The global carbon budget (PgC yr^{-1}). Positive values represent atmospheric increase (or ocean/land sources), negative numbers represent atmospheric decrease (sinks).

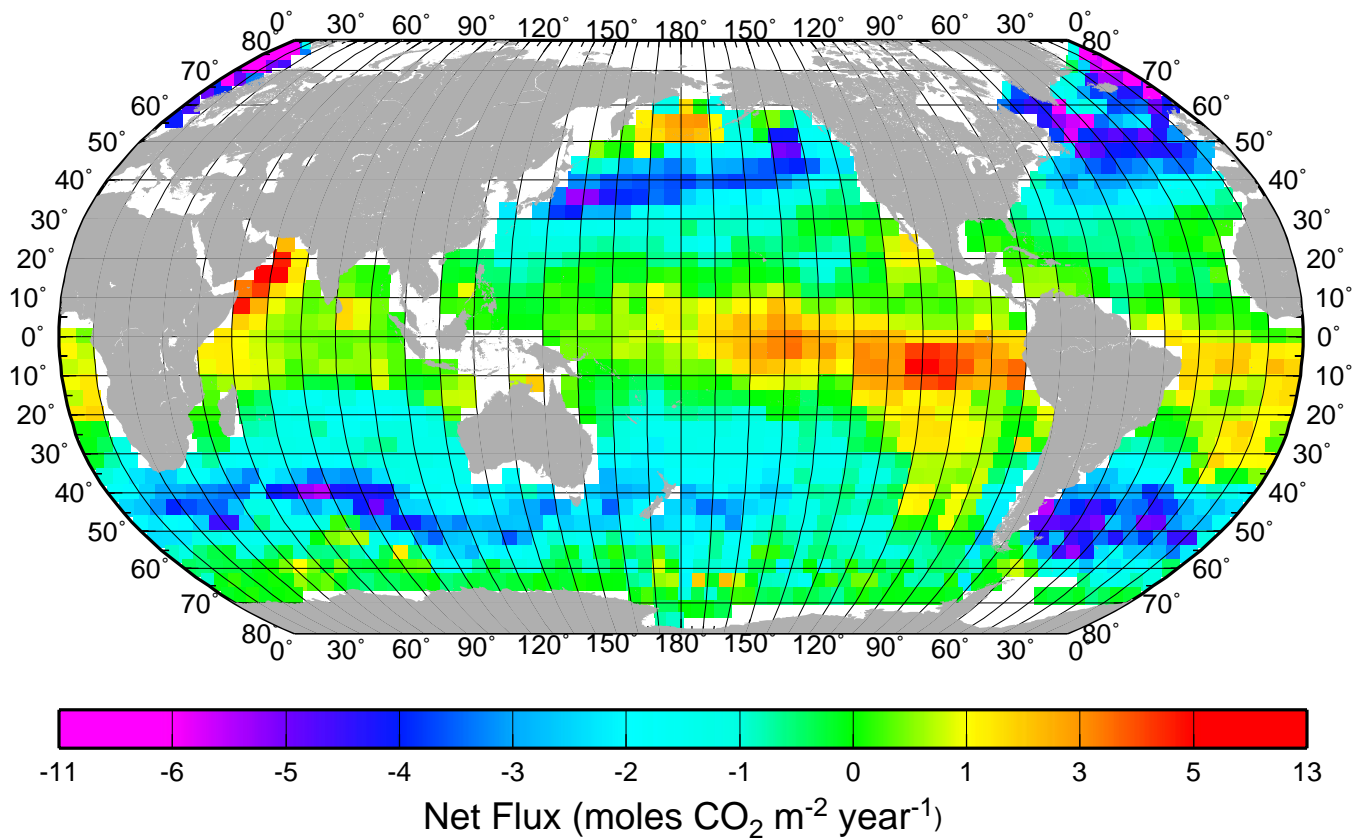
	1980s	1990s
<i>1. Prentice et al., 2001</i>		
Atmospheric increase	$+3.3 \pm 0.1$	$+3.2 \pm 0.1$
Emissions (fossil fuel, cement)	$+5.4 \pm 0.3$	$+6.3 \pm 0.4$
Ocean-atmosphere flux	-1.9 ± 0.6	-1.7 ± 0.5
Net land-atmosphere flux	-0.2 ± 0.7	-1.4 ± 0.7
<i>Land-use change</i>	$+1.7 (+0.6 \text{ to } +2.5)$	-
* <i>Residual terrestrial sink</i>	$-1.9 (-3.8 \text{ to } +0.3)$	-
<i>2. LeQuéré et al., 2003</i>		
Ocean corrected	-1.8 ± 0.8	-1.9 ± 0.7
Net land-atmosphere flux	-0.3 ± 0.9	-1.2 ± 0.8
<i>3. Houghton, 2003</i>		
Land use	$+2.0 (+0.9 \text{ to } +2.8)$	$+2.2 (+1.4 \text{ to } +3.0)$
Residual terrestrial sink	$-2.3 (-4.0 \text{ to } -0.3)$	$-3.4 (-5.0 \text{ to } -1.8)$
<i>4. De Fries et al., 2002</i>		
Land use	$+0.6 (+0.3 \text{ to } +0.8)$	$+0.9 (+0.5 \text{ to } +1.4)$
Residual terrestrial sink	$-0.9 (-3.0 \text{ to } 0)$	$-2.1 (-3.4 \text{ to } -0.9)$
<i>5. Achard et al., 2002</i>		
Land use		$+1.0 \pm 0.2$
Residual terrestrial sink		$-2.2 (-3.2 \text{ to } -1.2)$

1

Table 1.2 Plant carbon, soil carbon, and net primary production in the world's major biomes. Plant carbon and NPP are from Roy et al. [Saugier et al., 2001]. Soil carbon is from Jobbagy and Jackson [2000], to a depth of 3m. Wetland soil carbon is from (ref). Carbon in frozen soils is from (ref).				
Ecosystem	Area (10 ⁶ km ²)	NPP Pg C yr ⁻¹	Plant C Pg C	Soil C Pg C
Tropical forests	17.5	21.9	340	692
Temperate forests	10.4	8.1	139	262
Boreal forests	13.7	2.6	57	150
Arctic tundra	5.6	0.5	2	144
Mediterranean shrublands	2.8	1.4	17	124
Crops	13.5	4.1	4	248
Tropical savannas & grasslands	27.6	14.9	79	345
Temperate grasslands	15	5.6	6	172
Deserts	27.7	3.5	10	208
Subtotal	149.3	62.6	652	2344
Wetlands	?			600
Frozen soils	25.5			3300
Total	174.8?	62.6	652	6244

2





Anthropogenic CO₂ Column Inventory (mol m⁻²)

